Soil Moisture Retrieval Depth of P- and L-Band Radiometry: Predictions and Observations

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Abstract—The moisture retrieval depth is commonly held to be the approximately top 5 cm at L-band (~21-cm wavelength/1.41 GHz), which is seen as a limitation for hydrological applications. A widely held view is that this moisture retrieval depth increases with wavelength, ranging approximately from one-tenth to one-fourth of the wavelength. Accordingly, P-band (~40-cm wavelength/0.75 GHz) is under investigation for soil moisture observation over a deeper layer of soil. However, there is no accepted method for predicting the moisture retrieval depth, and P-band is indeed deeper than that achieved at L-band. Consequently, this research has estimated the moisture retrieval depth from theory and compared with empirical evidence from tower-based observations. Model predictions and experimental observations agreed that P-band has the potential to retrieve soil moisture over a deeper layer (~7 cm) than L-band (~5 cm) while maintaining the same correlation. However, an alternate interpretation of experimental results is that P-band has a larger correlation with soil moisture (accuracy of retrieval) than L-band but for the same 5-cm moisture retrieval depth. The results also demonstrated the increasing trend of the moisture retrieval depth for increasing wavelength, with the potential to achieving a moisture retrieval depth greater than 10 cm for P-band below 0.5 GHz. Importantly, model predictions showed that moisture retrieval depth was not only dependent on soil moisture content and observation frequency, but also the moisture gradient of the profile.

Index Terms—Coherent model, P-/UHF-band, Polarimetric P-band Multibeam Radiometer (PPMR), soil moisture retrieval/sensing depth.

I. INTRODUCTION

S

oil moisture controls various processes in the water, energy, and carbon exchange between the atmosphere and the land surface [1]. Over the past four decades, satellite remote sensing has drawn the community’s attention for its potential to provide (near) real-time global soil moisture observations. L-band (~21-cm wavelength/1.4 GHz) microwave radiometry has been widely accepted as the optimal wavelength for global remote sensing of soil moisture [2] due to: 1) its penetration into the soil and through the vegetation; 2) being a protected band allocated exclusively for radio astronomy use, meaning that it should be clear of radio frequency interference (RFI); and 3) the direct relationship with soil moisture through the soil dielectric constant. Consequently, the two dedicated satellite missions for global soil moisture mapping are at L-band, being European Space Agency (ESA)’s Soil Moisture and Ocean Salinity (SMOS) satellite [3] and National Aeronautics and Space Administration (NASA)’s Soil Moisture Active Passive (SMAP) satellite [4], providing the most widely used soil moisture data set [2], [5], [6]. However, they can only provide moisture information within the top 5-cm layer of soil or less [3], [4] due to the limited moisture sensing depth of L-band, hindering the widespread application of soil moisture products in hydrology, agriculture, and climate research.

Sensing depth is an important concept in microwave remote sensing, indicating the soil depth for which the measured microwave signal is representative. Various terminologies have been used concerning microwave sensing depth, including penetration depth [7], temperature sampling depth [8], and moisture sensing depth [9]. The various terminologies are somewhat confusing and thus need clarification. Generally, the terminology can be categorized into two main classes: one from the aspect of thermal (radiation) and the other from the aspect of reflectivity (dielectric). These are introduced below.

The penetration depth was originally defined as the length over which the energy density associated with an advancing plane wave is reduced to $1/e$ of its initial value [10]. In the early 1970s, penetration depth was estimated by plate experiments, with radiometers observing the response from a metal plate with varying depths of material placed on it [7], [11]. However, the term is somewhat ambiguous because “penetration” implies the wave is penetrating the soil, being the case for
that equates emissivity through the Fresnel equations to the equivalent soil thickness for obtaining an average soil moisture model. Herein, the moisture retrieval depth is defined as the correlated with comparing the findings from the theoretical predicted under a range of alternative moisture profiles and different wavelengths.

In practice, the moisture sensing (sampling or observation) depth is of primary interest because it indicates the soil thickness whose moisture content can theoretically be retrieved. Njoku et al. [9] defined it as the depth below the surface over which moisture (i.e., dielectric constant) determines the reflectivity, and hence the surface emissivity. Accordingly, a common approach for estimating the moisture sensing depth has been to empirically correlate the observed brightness temperature observations with soil moisture measurements averaged over different soil thicknesses [13]–[16]. Moisture sensing depth can be determined only by model estimation rather than by direct measurement, and so it is subject to the retrieval model used. Therefore, use of the term “moisture sensing depth” is considered to be inappropriate as it can be easily misinterpreted as an indicator of the sensing capability of the instrument. More correctly, “moisture retrieval depth” is used hereafter in this article.

In principle, moisture retrieval depth depends on the soil moisture condition and the observation frequency, ranging from approximately one-tenth to one-fourth of the wavelength [8], [17]–[21]. This has inspired the scientific community to explore the potential of P-band (100–30-cm wavelength/0.3–1 GHz) in retrieving subsurface (~10 cm) soil moisture [22]–[24]. However, until now it has not been demonstrated that the moisture retrieval depth at P-band is actually greater than that at L-band due to the lack of radiometer observations at longer wavelengths. Moreover, there is no accepted model for predicting the moisture retrieval depth at different wavelengths.

This article develops a theoretical model for moisture retrieval depth and compares the moisture retrieval depth from P- and L-band radiometry to understand the potential increase at P-band. First, the moisture retrieval depth is theoretically predicted under a range of alternative moisture profiles and sensor wavelengths. Second, the radiometer and soil moisture measurements collected in a tower-based study are empirically correlated with comparing the findings from the theoretical model. Herein, the moisture retrieval depth is defined as the equivalent soil thickness for obtaining an average soil moisture that equates emissivity through the Fresnel equations to the theoretical emissivity from the coherent model.

II. Data

The P-band Radiometer Inferred Soil Moisture (PRISM) project comprises a long-term tower experiment (2017–2020) in Cora Lynn, VIC, Australia [Fig. 1(a)], and airborne campaigns (2019–2021) for undertaking a complete evaluation of P-band radiometer soil moisture remote sensing. A ten-meter-high tower has been installed, carrying two different radiometers [Fig. 1(b)], the Polarimetric P-band Multibeam Radiometer [PPMR, Fig. 1(c)] and the Polarimetric L-band Multibeam Radiometer [PLMR, Fig. 1(d)]. The PPMR and PLMR operate at 0.742–0.752 and 1.400–1.426 GHz, respectively. Moreover, the PPMR has four antenna beams at horizontal (H) and vertical (V) polarizations with 30° beamwidth distributed at angles of ±15° and ±45° when looking at nadir, while the PLMR has six antenna beams at H- and V-polarizations with 15° beamwidth distributed at angles ±7.5°, ±21°, and ±38.5° when looking at nadir. Warm and cold point calibrations of the PPMR and PLMR were performed regularly. Warm point calibrations were undertaken weekly by placing PPMR/PLMR over a blackbody chamber containing microwave absorber and 16 temperature sensors. Cold point calibrations were performed every midnight according to the tower schedule by facing the PPMR and PLMR to the sky. The calibration accuracy for both PPMR and PLMR is less than 1.5 K. Please note that the use of “P-band” and “L-band” hereafter specifically refers to the frequencies at which PPMR and PLMR are operating unless otherwise specified.

The temporal evolution of soil moisture was monitored by a station [Fig. 1(e)] having hydra-probes inserted into the soil from the surface down to 25 cm, with measurements covering each 5-cm increment. The top probe was installed vertically from the surface while the others were installed horizontally, with the probe pins being 5 cm long. These probes continuously measured soil temperature and moisture at a 20-min sampling step. Particle size analysis on soil samples collected over the field found the soil to be a silt loam consisting of 18.0% clay, 10.9% sand, and 71.1% silt. The soil bulk density of the surface soil layer in this site is 0.87 g/cm³. Refer [24] and [25] for more details for specifications of the instruments and the experimental setup.

The tower automatically rotated the mast on a schedule such that PPMR and PLMR alternatively observed the same soil, with P- and L-band observations separated by no more than half an hour. The instrument was tilted to produce a range of incidence angles from 30° to 60°, with the footprints of PPMR and PLMR at 30° and 60° incidence angle shown in Fig. 1(a). The spatial homogeneity of the soil moisture at this site was ensured by regular spatial measurements of surface soil moisture (~5 cm) using the Monash University Hydra-probe Data Acquisition System (HDAS) [26]. Data at 30° incidence angle from May 9, 2019, to June 12, 2019, an observation period during which the field was maintained as flat bare ground with dynamic moisture conditions, have been used for the empirical correlation analysis in this article. To minimize the presence of weeds, the experiment site was freshly plowed on May 7, 2019.

III. Method

A. Radiative Transfer Theory

Radiometers measure the intensity of thermal emission from soil in the form of a brightness temperature (TBR), where subscript P denotes either H- or V-polarization. For an
ideal bare soil medium with a smooth surface and uniform moisture (SM) and temperature (T) profile, the well-known radiative transfer approximation, also known as the zero-order noncoherent model, can be used to estimate the emissivity (\(e_p\)) from [20]

\[
\text{TB}_p = e_p T.
\] (1)

Kirchoff’s reciprocity theorem relates emissivity to soil Fresnel reflectivity (\(\Gamma^*_p\)) through

\[
e_p = 1 - \Gamma^*_p
\] (2)

where \(\Gamma^*_p\) can be computed using the Fresnel equation as a function of the relative soil dielectric constant \(\varepsilon_r (\varepsilon_r = \varepsilon'_r - i \varepsilon'_r)\) including real ('') and imaginary ('') parts, and the incidence (observation) angle (\(\theta\)) from nadir, expressed as

\[
\Gamma^*_H = \left| \frac{\cos(\theta) - \sqrt{\varepsilon_r - \sin^2(\theta)}}{\cos(\theta) + \sqrt{\varepsilon_r - \sin^2(\theta)}} \right|^2
\]

\[
\Gamma^*_V = \left| \frac{\varepsilon_r \cos(\theta) - \sqrt{\varepsilon_r - \sin^2(\theta)}}{\varepsilon_r \cos(\theta) + \sqrt{\varepsilon_r - \sin^2(\theta)}} \right|^2
\].

Finally, the soil dielectric constant can be related to the soil moisture by a dielectric mixing model such as [27–29].

For real soils, moisture and temperature vary vertically due to solar radiation, precipitation, infiltration, and gravity, so are by no means uniform. Stratified coherent models are able to simulate the TB for a soil medium with nonuniform temperature and moisture, expressed as [19], [30]

\[
\text{TB}_p = \int_0^\infty T(z) f_p(z)dz
\] (5)

where \(T(z)\) is the soil temperature at depth \(z\), and \(f_p(z)\) is the fractional absorption which is calculated from solution of a differential equation with a flux conservation boundary condition at the air/soil interface, such that

\[
f_H(z) = \int_0^\infty \frac{k}{k \cos(\theta)} \varepsilon_r(z) \psi(z) \left| \frac{1}{\varepsilon_r(z)} \frac{d \phi(z)}{dz} \right|^2 dz
\]

\[
f_V(z) = \int_0^\infty \frac{1}{k \cos(\theta)} \varepsilon'_r(z) \left( \left| \frac{1}{\varepsilon'_r(z)} \frac{d \phi(z)}{dz} \right|^2 + \left| k_2 \phi(z) \right|^2 \right) dz
\] (7)

where \(k\) is the free space wavenumber \((2\pi/\lambda)\), \(k_2 = k \sin(\theta)\), and the functions \(\psi(z)\) and \(\phi(z)\) are determined from [30]

\[
\frac{d^2 \psi(z)}{dz^2} + \left[ \varepsilon_r(z) k_2^2 - k_x^2 \right] \psi(z) = 0
\]

\[
\varepsilon_r(z) \frac{d}{dz} \left( \frac{1}{\varepsilon'_r(z)} \frac{d \phi(z)}{dz} \right) + \left[ \varepsilon'_r(z) k_2^2 - k_x^2 \right] \phi(z) = 0.
\] (9)

Refer to Njoku [31] for more details on the mathematical derivation of the coherent model.

In order to apply the radiative transfer approximation to soils with nonuniform temperature, the so-called effective temperature \(T_{\text{eff}}\) was proposed to substitute the physical temperature \(T\) in (1). Accordingly, \(T_{\text{eff}}\) is defined as the equivalent temperature for an ideal isothermal soil medium having the same microwave response as that for the natural soil, expressed as [19]

\[
T_{\text{eff}} = \frac{\int_0^\infty T(z) f(z)dz}{\int_0^\infty f(z)dz}.
\] (10)

Subsequently, \(T_{\text{eff}}\) has been simplified to a parameter associated with observation frequency, surface and deep temperature.
of the soil [32]. Thus, with known $TB_P$ and $T = T_{\text{eff}}$, $e_P$ can be obtained from (1). However, the question of what representative depth of soil over which the emissivity (i.e., dielectric constant and thus moisture) is estimated remains.

B. Moisture Retrieval Depth Model

To quantify the above-mentioned representative depth, the moisture retrieval depth ($\delta_m$) is defined as the equivalent soil thickness $[0, z]$ for obtaining an average soil moisture that equates the emissivity through the Fresnel equations (hereafter referred to as the Fresnel emissivity) to the theoretical emissivity from the coherent model (hereafter referred to as the coherent emissivity). The mathematical explanations are as follows.

Equating (1) and (5) and substituting (10), one obtains

$$e(SM(0 - \delta_m)) = \int_0^\infty f(z)dz$$

(11)

where $SM(0 - \delta_m)$ is the soil moisture averaged over $0 - \delta_m$, $e(SM(0 - \delta_m))$ denotes the Fresnel emissivity determined by $SM(0 - \delta_m)$, and $\int_0^\infty f(z)dz$ is the coherent emissivity. Equation (11) can therefore be used to determine the soil thickness whose averaged soil moisture produces a Fresnel emissivity (term on the left-hand side) that equals the coherent emissivity (term on the right-hand side).

To account for the roughness effects of a natural soil medium, the widely used roughness model [15], [33] was adopted, such that

$$e_R = (e^* - 1) \exp(-HR \cos^2(\theta) + 1)$$

(12)

for low frequencies, i.e., P- and L-bands, where $e^*$ and $e_R$ are the Fresnel/coherent emissivity before and after accounting for the roughness effects, respectively, and $HR$ is a roughness parameter that characterizes the intensity of the roughness effects. Substituting the Fresnel and coherent emissivity in (11) with $e_R$ demonstrates that roughness has no impact on moisture retrieval depth according to this model. Consequently, roughness effects were not considered in this article.

C. Moisture Retrieval Depth Prediction

In this article, (11) was used to predict the moisture retrieval depth for a range of typical soil moisture profiles (Fig. 2) using the soil properties of Cora Lynn. Dielectric constant was estimated from soil moisture by the Mironov model [34], because it accounts for the interfacial (Maxwell–Wagner) relaxation of water in the soil at P-band [34], differing from the dielectric model developed dedicatedly for SMOS at L-band [29]. Inputs to the Mironov model include soil moisture, frequency, bulk density, and clay content of the soil. It neglects the insignificant dependence of temperature on the dielectric constant by assuming a constant temperature of 20 °C. In summary, the required inputs of this moisture retrieval depth model are therefore the soil moisture profile, soil properties (clay content and bulk density), observation frequency, and incidence angle. The incidence angle used was 30° for consistency with the TB observations used in this article.

Fig. 2. Simulated typical soil moisture profiles with constant moisture assumed below 50 cm.

<table>
<thead>
<tr>
<th>Profile</th>
<th>$SM_i$ (cm$^3$/cm$^3$)</th>
<th>$\Delta SM$ (cm$^3$/cm$^3$)</th>
<th>$\beta$ (cm$^{-1}$)</th>
<th>$d$ (cm)</th>
<th>Moisture gradient over 0-10 cm layer (cm/cm)</th>
<th>Moisture gradient over 10-20 cm layer (cm/cm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>60</td>
<td>-25</td>
<td>0.5</td>
<td>50</td>
<td>0.025</td>
<td>0</td>
</tr>
<tr>
<td>2</td>
<td>20</td>
<td>15</td>
<td>0.5</td>
<td>50</td>
<td>0.015</td>
<td>0</td>
</tr>
<tr>
<td>3</td>
<td>7</td>
<td>15</td>
<td>0.5</td>
<td>50</td>
<td>0.015</td>
<td>0</td>
</tr>
<tr>
<td>4</td>
<td>7</td>
<td>15</td>
<td>0.05</td>
<td>50</td>
<td>0.006</td>
<td>0.004</td>
</tr>
<tr>
<td>5</td>
<td>60</td>
<td>-25</td>
<td>0.07</td>
<td>50</td>
<td>0.013</td>
<td>0.006</td>
</tr>
<tr>
<td>6</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>0.010</td>
<td>0.001</td>
</tr>
</tbody>
</table>

Six typical soil moisture profiles $SM(z)$ (Fig. 2) were used for the estimation of the moisture retrieval depth assuming constant moisture below 50 cm. Profiles 1–5 were simulated using the functions from [30] being

$$SM(z) = SM_i + \Delta SM \frac{e^{-\beta z} - 1}{e^{-\beta d} - 1} \quad 0 \leq z \leq d$$

(13)

$$SM(z) = SM(d) \quad z \geq d$$

(14)

and the parameters listed in Table I, where $SM_i$ is the moisture content at the soil surface, $\Delta SM$ is the increment of moisture between the surface and depth $d$ below the surface, beyond which the moisture content is assumed to be constant, and $\beta$ determines the moisture gradient of the profile.

Profiles 1 and 5 may both occur during rain but with different rainfall amounts, duration, and intensity. Profiles 2–4 simulate drying profiles. Profiles 2 and 3 have the same moisture gradient near the surface but different profile moisture, while profile 4 has a smaller surface moisture gradient. Differing from profiles 1–5, profile 6 represents the actual moisture profile observed at the Cora Lynn tower site, generated by interpolating the soil moisture measurements at different depths from the station. Profile depth and layer thickness of all six profiles were assumed to be 10 m.
(i.e., \( z < 10 \) m) and 0.1 mm, respectively, to avoid any possible boundary or numerical approximation artifacts.

D. Moisture Retrieval Depth Observation

For empirical estimation of moisture retrieval depth using the tower observations, the microwave polarization difference index (MPDI) [35] expressed as

\[
MPDI = \frac{TB_V - TB_H}{TB_V + TB_H}
\]

was correlated with the averaged soil moisture measurements over different soil thicknesses. MPDI was used instead of TB to exclude the impact of diurnal or day-to-day variations in soil temperature on brightness temperature, and thus be more highly related to the dielectric properties (i.e., moisture) of the soil [36].

IV. RESULTS AND DISCUSSION

A. Predicted Moisture Retrieval Depth

Prior to estimation of the moisture retrieval depth, the complex relative dielectric constant and emissivity were compared for P- and L-bands in Fig. 3. It can be seen that the real components of the dielectric constant are basically the same across soil moisture at P- and L-bands whereas P-band has a slightly larger imaginary component than L-band, in line with [37] and [38]. The emissivity was predicted by both the Fresnel and coherent model and found to be the same for the scenario of an assumed uniform moisture and temperature profile. The slight difference in dielectric constant resulted in a small unapparent offset in emissivity at P- and L-bands, particularly when soil moisture is less than 0.3 cm\(^3\)/cm\(^3\).

Fig. 4 depicts the estimated coherent and Fresnel emissivity across soil thickness corresponding to the moisture profiles 1–6 of Fig. 2 at 30° incidence angle at H-polarization. The impact of incidence angle and polarization on moisture retrieval depth was also investigated (results not shown), with only minor variations found. This is in line with [8], which explained that the direction of propagation through the medium remains closely normal to the interface even for oblique incidence as a result of Snell’s law. The impact of soil texture on moisture retrieval depth was also tested by assuming a clay content of 50% instead of 18% (results not shown) with a difference of not more than 1 cm between the two results.

By definition, the simulated coherent emissivity for each profile is a single value and thus plotted in Fig. 4 as the solid horizontal line. The Fresnel emissivity was calculated using the averaged soil moisture over an increasing soil thickness, shown as the dashed curve. The moisture retrieval depth for P- and L-bands is thus indicated by the intersection of the two models. However, this theoretical retrieval depth is subject to uncertainties.

To account for uncertainties, the moisture retrieval depth was calculated as the vertical dotted lines shown in Fig. 4. One major uncertainty source is considered to be the dielectric model because most dielectric models are semiempirical. A comprehensive evaluation of nine commonly used dielectric models by Park et al. [39] found an average median absolute bias of around 0.03 cm\(^3\)/cm\(^3\) when compared with measurements. Accordingly, the potential bias in emissivity can be estimated depending on the soil moisture and frequency. Moreover, in a practical sense, soil is a continuous medium and thus high correlation exists between the soil moisture of neighboring layers, potentially enlarging the moisture retrieval depth further. Therefore, the moisture retrieval depth in this article was increased to the point where the difference between the Fresnel and coherent emissivity was equal to the above-mentioned bias in emissivity.

The calculated moisture retrieval depths in Fig. 4 ranged within 0.8–10.5 and 0.6–8.4 cm for P- and L-band at 30° incidence angle, respectively. Overall, larger moisture retrieval depth at P-band than L-band can be observed for all profiles, especially profiles 2, 3, 4, and 6. However, P- and L-bands did not show much difference for profiles 1 and 5 due to the extremely high surface soil moisture. Fig. 5 demonstrates that, overall, the moisture retrieval depth increased with wavelength, particularly when the frequency dropped below 1 GHz, though minimally for profiles 1 and 5 due to the extremely high surface soil moisture. The moisture retrieval depth of
Fig. 4. Coherent and Fresnel emissivity at 30° incidence angle and H-polarization across soil thickness and moisture retrieval depth indicated by vertical dotted lines. Subplots 1–6 correspond to soil moisture profiles 1–6. In subplots 1 and 5, P- and L-bands are very close to being overlapped.

Fig. 5. Moisture retrieval depth at 30° incidence angle and H-polarization against frequency from 0.3 to 10 GHz, corresponding to soil moisture profiles 1–6. Profile 6 has some “waves” across frequency due to the irregular fluctuation of soil moisture with depth.

Fig. 6 shows the data collected over the flat bare soil study site at an incidence angle of 30° from May 9, 2019, to June 12, 2019. Only the TB data collected at approximately 6 A.M. were plotted and used here, to minimize uncertainties from a nonuniform soil temperature profile, and any diurnal temperature variations. The data gaps in the TB, shown by...
correlation (accuracy of retrieval), confirming the prediction result for profile 6. Importantly, these empirical results are limited to the specific moisture conditions, soil properties, and incidence angle used in the Cora Lynn site.

V. CONCLUSION

This article compared the moisture retrieval depth of P- and L-band radiometry to demonstrate the potential of P-band for a future satellite mission with deeper subsurface moisture sensing. Theoretical simulations were first performed to predict the moisture retrieval depth with simulated soil profiles by equating the coherent and Fresnel emissivity. Empirical correlation analysis was then applied to the MPDI from observed brightness temperature and soil moisture measurements collected in a tower-based experiment over a flat bare soil.

A higher correlation between soil moisture and MPDI was found at P-band than L-band for the same moisture retrieval depth, suggesting that P-band can either retrieve soil moisture over the same moisture retrieval depth as L-band (\(\sim 5\) cm) but with higher accuracy, or that a larger moisture retrieval depth (\(\sim 7\) cm) can be achieved while maintaining the same accuracy. These empirical results agreed with predictions. Moreover, predictions showed that the moisture retrieval depth increased with wavelength such that P-band can potentially provide soil moisture retrievals for a depth greater than 10 cm when using a frequency lower than 0.5 GHz. However, it was found that the moisture retrieval depth achieved was dependent on the moisture gradient of the profile in addition to the soil moisture content and observation frequency.

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References


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