# Notes on tree- and path-chromatic number 

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#### Abstract

Tree-chromatic number is a chromatic version of treewidth, where the cost of a bag in a tree-decomposition is measured by its chromatic number rather than its size. Path-chromatic number is defined analogously. These parameters were introduced by Seymour [JCTB 2016]. In this paper, we survey all the known results on tree- and path-chromatic number and then present some new results and conjectures. In particular, we propose a version of Hadwiger's Conjecture for treechromatic number. As evidence that our conjecture may be more tractable than Hadwiger's Conjecture, we give a short proof that every $K_{5}$-minor-free graph has tree-chromatic number at most 4, which avoids the Four Colour Theorem. We also present some hardness results and conjectures for computing tree- and pathchromatic number.


## 1 Introduction

Tree-chromatic number is a hybrid of the graph parameters treewidth and chromatic number, recently introduced by Seymour [17]. Here is the definition.

[^0]A tree-decomposition of a graph $G$ is a pair $(T, \mathscr{B})$ where $T$ is a tree and $\mathscr{B}:=\left\{B_{t} \mid t \in V(T)\right\}$ is a collection of subsets of vertices of $G$, called bags, satisfying:

- for each $u v \in E(G)$, there exists $t \in V(T)$ such that $u, v \in B_{t}$, and
- for each $v \in V(G)$, the set of all $t \in V(T)$ such that $v \in B_{t}$ induces a non-empty subtree of $T$.

A graph $G$ is $k$-colourable if each vertex of $G$ can be assigned one of $k$ colours, such that adjacent vertices are assigned distinct colours. The chromatic number of a graph $G$ is the minimum integer $k$ such that $G$ is $k$-colourable.

For a tree-decomposition $(T, \mathscr{B})$ of $G$, the chromatic number of $(T, \mathscr{B})$ is $\max \left\{\chi\left(G\left[B_{t}\right]\right) \mid t \in V(T)\right\}$. The tree-chromatic number of $G$, denoted tree- $\chi(G)$, is the minimum chromatic number taken over all tree-decompositions of $G$. The pathchromatic number of $G$, denoted path- $\chi(G)$, is defined analogously, where we insist that $T$ is a path instead of an arbitrary tree. Henceforth, for a subset $B \subseteq V(G)$, we will abbreviate $\chi(G[B])$ by $\chi(B)$. For $v \in V(G)$, let $N_{G}(v)$ be the set of neighbours of $v$ and $N_{G}[v]:=N_{G}(v) \cup\{v\}$.

The purpose of this paper is to survey the known results on tree- and pathchromatic number, and to present some new results and conjectures.

Clearly, tree- $\chi$ and path- $\chi$ are monotone under the subgraph relation, but unlike treewidth, they are not monotone under the minor relation. For example, tree- $\chi\left(K_{n}\right)=n$, but the graph $G$ obtained by subdividing each edge of $K_{n}$ is bipartite and so tree- $\chi(G) \leq \chi(G)=2$.

By definition, for every graph $G$,

$$
\text { tree- } \chi(G) \leq \text { path- } \chi(G) \leq \chi(G)
$$

Section 2 reviews results that show that each of these inequalities can be strict and in fact, both of the pairs (tree- $\chi(G)$, path- $\chi(G)$ ) and (path- $\chi(G), \chi(G)$ ) can be arbitrarily far apart.

We present our new results and conjectures in Sections 3-5. In Section 3, we propose a version of Hadwiger's Conjecture for tree-chromatic number and show how it is related to a 'local' version of Hadwiger's Conjecture. In Section 4, we prove that $K_{5}$-minor-free graphs have tree-chromatic number at most 4 , without using the Four Colour Theorem. We finish in Section 5, by presenting some hardness results and conjectures for computing path- $\chi$ and tree- $\chi$.

## 2 Separating $\chi$, path $-\chi$ and tree- $\chi$

Complete graphs are a class of graphs with unbounded tree-chromatic number. Are there more interesting examples? The following lemma of Seymour [17] leads to an answer. A separation $(A, B)$ of a graph $G$ is a pair of edge-disjoint subgraphs whose union is $G$.

Lemma 1. For every graph $G$, there is a separation $(A, B)$ of $G$ such that $\chi(A \cap B) \leq$ tree- $\chi(G)$ and

$$
\chi(A-V(B)), \chi(B-V(A)) \geq \chi(G)-\text { tree- } \chi(G)
$$

Seymour [17] noted that Lemma 1 shows that the random construction of Erdős [6] of graphs with large girth and large chromatic number also have large tree-chromatic number with high probability.

Interestingly, it is unclear if the known explicit constructions of large girth, large chromatic graphs also have large tree-chromatic number. For example, shift graphs are one of the classic constructions of triangle-free graphs with unbounded chromatic number, as first noted in [7]. The vertices of the $n$-th shift graph $S_{n}$ are all intervals of the form $[a, b]$, where $a$ and $b$ are integers satisfying $1 \leq a<b \leq n$. Two intervals $[a, b]$ and $[c, d]$ are adjacent if and only if $b=c$ or $d=a$. The following lemma (first noted in [17]) shows that the gap between $\chi$ and path- $\chi$ is unbounded on the class of shift graphs.

Lemma 2. For all $n \in \mathbb{N}$, path- $\chi\left(S_{n}\right)=2$ and $\chi\left(S_{n}\right) \geq\left\lceil\log _{2} n\right\rceil$.
Proof. The fact that $\chi\left(S_{n}\right) \geq\left\lceil\log _{2} n\right\rceil$ is well-known; we include the proof for completeness. Let $\ell=\chi\left(S_{n}\right)$ and $\phi: V\left(S_{n}\right) \rightarrow[\ell]$ be a proper $\ell$-colouring of $S_{n}$. For each $j \in[n]$ let $C_{j}=\{\phi([i, j]) \mid i<j\}$. We claim that for all $j<k, C_{j} \neq C_{k}$. By definition, $\phi([j, k]) \in C_{k}$. If $C_{j}=C_{k}$, then $\phi([i, j])=\phi([j, k])$ for some $i<j$. But this is a contradiction, since $[i, j]$ and $[j, k]$ are adjacent in $S_{n}$. Since there are $2^{\ell}$ subsets of $[\ell], 2^{\ell} \geq n$, as required.

We now show that path- $\chi\left(S_{n}\right)=2$. For each $i \in[n]$, let $B_{i}=\left\{[a, b] \in V\left(S_{n}\right) \mid\right.$ $a \leq i \leq b\}$. Let $P_{n}$ be the path with vertex set [ $n$ ] (labelled in the obvious way). We claim that $\left(P_{n},\left\{B_{i} \mid i \in[n]\right\}\right)$ is a path-decomposition of $S_{n}$. First observe that $[a, b] \in B_{i}$ if and only if $a \leq i \leq b$. Next, for each edge $[a, b][b, c] \in E\left(S_{n}\right)$, $[a, b],[b, c] \in B_{b}$. Finally, observe that for all $i \in[n], X_{i}=\left\{[a, b] \in B_{i} \mid b=i\right\}$ and $Y_{i}=\left\{[a, b] \in B_{i} \mid b>i\right\}$ is a bipartition of $S_{n}\left[B_{i}\right]$. Therefore, $S_{n}$ has path-chromatic number 2, as required.

Given that shift graphs contain large complete bipartite subgraphs, the following question naturally arises.

Open Problem 1 Does there exist a function $f: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ such that for all $s \in \mathbb{N}$ and all $K_{s, s}$-free graphs $G, \chi(G) \leq f(s$, tree- $\chi(G))$ ?

It is not obvious that the parameters path- $\chi$ and tree- $\chi$ are actually different. Indeed, Seymour [17] asked if path- $\chi(G)=$ tree- $\chi(G)$ for all graphs $G$ ? Huynh and $\operatorname{Kim}[10]$ answered the question in the negative by exhibiting for each $k \in \mathbb{N}$, an infinite family of $k$-connected graphs for which tree- $\chi(G)+1=$ path- $\chi(G)$. They also prove that the Mycielski graphs [14] have unbounded path-chromatic number.

However, can tree- $\chi(G)$ and path- $\chi(G)$ be arbitrarily far apart? Seymour [17] suggested the following family as a potential candidate. Let $T_{n}$ be the complete binary rooted tree with $2^{n}$ leaves. A path $P$ in $T_{n}$ is called a V if the vertex of $P$
closest to the root (which we call the low point of the V ) is an internal vertex of $P$. Let $G_{n}$ be the graph whose vertices are the Vs of $T_{n}$, where two Vs are adjacent if the low point of one is an endpoint of the other.

Lemma 3 ([17]). For all $n \in \mathbb{N}$, tree- $\chi\left(G_{n}\right)=2$ and $\chi\left(G_{n}\right) \geq\left\lceil\log _{2} n\right\rceil$.
Proof. For each $t \in V\left(T_{n}\right)$, let $B_{t}$ be the set of Vs in $T_{n}$ which contain $t$. We claim that $\left(T_{n},\left\{B_{t} \mid t \in V\left(T_{n}\right)\right\}\right)$ is a tree-decomposition of $G_{n}$ with chromatic number 2. First observe that if $P$ is a $V$, then $\left\{t \in V\left(T_{n}\right) \mid P \in B_{t}\right\}=V(P)$, which induces a nonempty subtree of $T_{n}$. Next, if $P_{1}$ and $P_{2}$ are adjacent Vs with $V\left(P_{1}\right) \cap V\left(P_{2}\right)=\{t\}$, then $P_{1}, P_{2} \in B_{t}$. Finally, for each $t \in B_{t}$, let $X_{t}$ be the elements of $B_{t}$ whose low point is $t$ and let $Y_{t}:=B_{t} \backslash X_{t}$. Then $\left(X_{t}, Y_{t}\right)$ is a bipartition of $G_{n}\left[B_{t}\right]$, implying that tree- $\chi\left(G_{n}\right)=2$.

For the second claim, it is easy to see that $G_{n}$ contains a subgraph isomorphic to the $n$-th shift graph $S_{n}$. Thus, $\chi\left(G_{n}\right) \geq \chi\left(S_{n}\right) \geq\left\lceil\log _{2} n\right\rceil$, by Lemma 2 .

Barrera-Cruz, Felsner, Mészáros, Micek, Smith, Taylor, and Trotter [1] subsequently proved that path $-\chi\left(G_{n}\right)=2$ for all $n \in \mathbb{N}$. However, with a slight modification of the definition of $G_{n}$, they were able to construct a family of graphs with tree-chromatic number 2 and unbounded path-chromatic number.

Theorem 2 ([1]). For each integer $n \geq 2$, there exists a graph $H_{n}$ with tree- $\chi\left(H_{n}\right)=$ 2 and path- $\chi\left(H_{n}\right)=n$.

The definition of $H_{n}$ is as follows. A subtree of the complete binary tree $T_{n}$ is called a Y if it has three leaves and the vertex of the Y closest to the root of $T_{n}$ is one of its three leaves. The vertices of $H_{n}$ are the Vs and Ys of $T_{n}$. Two Vs are adjacent if the low point of one is an endpoint of the other. Two Ys are adjacent if the lowest leaf of one is an upper leaf of the other. $\mathrm{A} V$ is adjacent to a Y if the low point of the V is an upper leaf of the Y . The proof that path $-\chi\left(H_{n}\right)=n$ uses Ramsey theoretical methods for trees developed by Milliken [13].

## 3 Hadwiger's Conjecture for tree- $\chi$ and path- $\chi$

One could hope that difficult conjectures involving $\chi$ might become tractable for tree- $\chi$ or path $-\chi$, thereby providing insightful intermediate results. Indeed, the original motivation for introducing tree- $\chi$ was a conjecture of Gyárfás [8] from 1985 , on $\chi$-boundedness of triangle-free graphs without long holes ${ }^{1}$.

Conjecture 1 (Gyárfás's Conjecture [8]). For every integer $\ell$, there exists $c$ such that every triangle-free graph with no hole of length greater than $\ell$ has chromatic number at most $c$.

Seymour [17] proved that Conjecture 1 holds with $\chi$ replaced by tree- $\chi$.

[^1]Theorem 3 ([17]). For all integers $d \geq 1$ and $\ell \geq 4$, if $G$ is a graph with no hole of length greater than $\ell$ and $\chi\left(N_{G}(v)\right) \leq d$ for all $v \in V(G)$, then tree- $\chi(G) \leq d(\ell-2)$.

Note that Theorem 3 with $d=1$ implies that tree- $\chi(G) \leq \ell-2$ for every triangle-free graph $G$ with no hole of length greater than $\ell$. A proof of Gyárfás's Conjecture [8] (among other results) was subsequently given by Chudnovsky, Scott, and Seymour [3].

The following is another famous conjectured upper bound on $\chi$, due to Hadwiger [9]; see [16] for a survey.

Conjecture 2 ([9]). If $G$ is a graph without a $K_{t+1}$-minor, then $\chi(G) \leq t$.
We propose the following weakenings of Hadwiger's Conjecture.
Conjecture 3. If $G$ is a graph without a $K_{t+1}$-minor, then tree- $\chi(G) \leq t$.
Conjecture 4. If $G$ is a graph without a $K_{t+1}$-minor, then path- $\chi(G) \leq t$.
By Theorem 2, tree- $\chi(G)$ and path $-\chi(G)$ can be arbitrarily far apart, so Conjecture 3 may be easier to prove than Conjecture 4. By Theorem 3, $\chi$ and tree- $\chi$ can be arbitrarily far apart, so Conjecture 3 may be easier to prove than Hadwiger's Conjecture. We give further evidence of this in the next section, by proving Conjecture 3 for $t=5$, without using the Four Colour Theorem.

Robertson, Seymour, and Thomas [15] proved that every $K_{6}$-minor-free graph is 5 -colourable. Their proof uses the Four Colour Theorem and is 83 pages long. Thus, even if we are allowed to use the Four Colour Theorem, it would be interesting to find a short proof that every $K_{6}$-minor-free graph has tree-chromatic number at most 5.

Conjectures 3 and 4 are also related to a 'local' version of Hadwiger's Conjecture via the following lemma.

Lemma 4. Let $\left(T,\left\{B_{t} \mid t \in V(T)\right\}\right)$ be a tree- $\chi$-optimal tree-decomposition of $G$, with $|V(T)|$ minimal. Then there are vertices $v \in V(G)$ and $\ell \in V(T)$ such that $N_{G}[v] \subseteq B_{\ell}$.

Proof. Let $\ell$ be a leaf of $T$ and $u$ be the unique neighbour of $\ell$ in $T$. If $B_{\ell} \subseteq B_{u}$, then $T-\ell$ contradicts the minimality of $T$. Therefore, there is a vertex $v \in B_{\ell}$ such that $v \notin B_{t}$ for all $t \neq \ell$. It follows that $N_{G}[v] \subseteq B_{\ell}$, as required.

Lemma 4 immediately implies that the following 'local version' of Hadwiger's Conjecture follows from Conjecture 3.

Conjecture 5. If $G$ is a graph without a $K_{t+1}$-minor, then there exists $v \in V(G)$ such that $\chi\left(N_{G}[v]\right) \leq t$.

It is even open whether Conjectures 3,4 , or 5 hold with an upper bound of $10^{100} t$ instead of $t$. Finally, the following apparent weakening of Hadwiger's Conjecture (and strengthening of Conjecture 5) is actually equivalent to Hadwiger's Conjecture.

Conjecture 6. If $G$ is a graph without a $K_{t+1}$-minor, then $\chi\left(N_{G}[v]\right) \leq t$ for all $v \in V(G)$.

Proof (Proof of equivalence to Hadwiger's Conjecture). Clearly, Hadwiger's Conjecture implies Conjecture 6 . For the converse, let $G$ be a graph without a $K_{t+1^{-}}$ minor. Let $G^{+}$be the graph obtained from $G$ by adding a new vertex $v$ adjacent to all vertices of $G$. Since $G^{+}$has no $K_{t+2}$-minor, Conjecture 6 yields $\chi\left(N_{G^{+}}[v]\right) \leq t+1$. Since $\chi\left(N_{G^{+}}[v]\right)=\chi(G)+1$, we have $\chi(G) \leq t$, as required.

## $4 K_{5}$-minor-free graphs

As evidence that Conjecture 3 may be more tractable than Hadwiger's Conjecture, we now prove it for $K_{5}$-minor-free graphs without using the Four Colour Theorem. We begin with the planar case.

Theorem 4. For every planar graph $G$, tree- $\chi(G) \leq 4$.
Proof. We use the same tree-decomposition previously used by Eppstein [5] and Dujmović, Morin, and Wood [4].

Say $G$ has $n$ vertices. We may assume that $n \geq 3$ and that $G$ is a plane triangulation. Let $F(G)$ be the set of faces of $G$. By Euler's formula, $|F(G)|=2 n-4$ and $|E(G)|=3 n-6$. Let $r$ be a vertex of $G$. Let $\left(V_{0}, V_{1}, \ldots, V_{t}\right)$ be the bfs layering of $G$ starting from $r$. Let $T$ be a bfs tree of $G$ rooted at $r$. Let $T^{*}$ be the subgraph of the dual $G^{*}$ with vertex set $F(G)$, where two vertices are adjacent if the corresponding faces share an edge not in $T$. Thus

$$
\left|E\left(T^{*}\right)\right|=|E(G)|-|E(T)|=(3 n-6)-(n-1)=2 n-5=|F(G)|-1=\left|V\left(T^{*}\right)\right|-1 .
$$

By the Jordan Curve Theorem, $T^{*}$ is connected. Thus $T^{*}$ is a tree.
For each vertex $u$ of $T^{*}$, if $u$ corresponds to the face $x y z$ of $G$, let $C_{u}:=P_{x} \cup P_{y} \cup P_{z}$, where $P_{v}$ is the vertex set of the $v r$-path in $T$, for each $v \in V(G)$. See [5, 4] for a proof that $\left(T^{*},\left\{C_{u}: u \in V\left(T^{*}\right)\right\}\right)$ is a tree-decomposition of $G$.

We now prove that $G\left[C_{u}\right]$ is 4-colourable. Let $\ell$ be the largest index such that $\{x, y, z\} \cap V_{\ell} \neq \emptyset$. For each $k \in\{0, \ldots, \ell\}$, let $G_{k}=G\left[C_{u} \cap\left(\bigcup_{j=0}^{k} V_{j}\right)\right]$. Note that $G_{\ell}=G\left[C_{u}\right]$. We prove by induction on $k$ that $G_{k}$ is 4-colourable. This clearly holds for $k \in\{0,1\}$, since $\left|V\left(G_{1}\right)\right| \leq 4$.

For the inductive step, let $k \geq 2$. For each $i \in\{0, \ldots, \ell\}$, let $W_{i}=C_{u} \cap V_{i}$. Since $W_{i}$ contains at most one vertex from each of $P_{x}, P_{y}$, and $P_{z},\left|W_{i}\right| \leq 3$.

First suppose $\left|W_{i}\right| \leq 2$ for all $i \leq k$. Since all edges of $G$ are between consecutive layers or within a layer, we can 4 -colour $G_{k}$ by using the colours $\{1,2\}$ on the even layers and $\{3,4\}$ on the odd layers.

Next suppose $\left|W_{k}\right| \leq 2$. We are done by the previous case unless $k=\ell,\left|W_{\ell}\right| \in$ $\{1,2\}$, and $\left|W_{\ell-1}\right|=3$. By induction, let $\phi^{\prime}: V\left(G_{\ell-2}\right) \rightarrow[4]$ and $\phi: V\left(G_{\ell-1}\right) \rightarrow[4]$ be 4-colourings of $G_{\ell-2}$ and $G_{\ell-1}$, respectively. If $\left|W_{\ell}\right|=1$, then clearly we can extend $\phi$ to a 4-colouring of $G_{\ell}$. So, we may assume $\left|W_{\ell}\right|=2$.

Note that $\phi$ extends to a 4-colouring of $G_{\ell}$ unless every vertex of $W_{\ell-1}$ is adjacent to every vertex of $W_{\ell}$ and the two vertices of $W_{\ell}$ are adjacent. If $G\left[W_{\ell-1}\right]$ is a triangle, then $G\left[W_{\ell-1} \cup W_{\ell}\right]=K_{5}$, which contradicts planarity. If $G\left[W_{\ell-1}\right]$ is a path, say $a b c$, then we obtain a $K_{5}$-minor in $G$ by contracting all but one edge of the $a-c$ path in $T$. If $W_{\ell-1}$ is a stable set, then $\phi^{\prime}$ can be extended to a 4 -colouring of $G_{\ell-1}$ such that all vertices in $W_{\ell-1}$ are the same colour. This colouring can clearly be extended to a 4-colouring of $G_{\ell}$. The remaining case is if $G\left[W_{\ell-1}\right]$ is an edge $a b$ together with an isolated vertex $c$. It suffices to show that there is a colouring of $G_{\ell-1}$ that uses at most two colours on $W_{\ell-1}$, since such a colouring can be extended to a 4-colouring of $G_{\ell}$. Note that $\phi^{\prime}$ can be extended to such a colouring unless $\phi^{\prime}$ uses three colours on $W_{\ell-2}$ and $a$ and $b$ are adjacent to all vertices of $W_{\ell-2}$. Since $\phi$ is a 4-colouring, this implies that $\phi$ uses at most two colours on $W_{\ell-2}$. Thus we may recolour $\phi$ so that only two colours are used on $W_{\ell-1}$, as required.

Henceforth, we may assume $\left|W_{k}\right|=3$. By induction, let $\phi: V\left(G_{k-1}\right) \rightarrow[4]$ be a 4 -colouring of $G_{k-1}$. Let $\phi_{k-1}=\phi\left(W_{k-1}\right)$.

If $\left|\phi_{k-1}\right|=1$, then we can extend $\phi$ to a 4 -colouring of $G_{k}$ by using $[4] \backslash \phi_{k-1}$ to 3 -colour $W_{k}$.

Suppose $\left|\phi_{k-1}\right|=2$. By induction, $G_{k-2}$ has a 4 -colouring $\phi^{\prime}$. If $W_{k-1}$ is a stable set, then we can extend $\phi^{\prime}$ to a 4-colouring of $G_{k-1}$ such that all vertices of $W_{k-1}$ are the same colour. Thus, $\left|\phi_{k-1}^{\prime}\right|=1$, and we are done by the previous case. Let $a, b \in W_{k-1}$ such that $a b \in E\left(G_{k-1}\right)$. Let $c$ be the other vertex of $W_{k-1}$ (if it exists). By relabeling, we may assume that $\phi(a)=1, \phi(b)=2$, and $\phi(c)=2$. Let $N(a)$ be the set of neighbours of $a$ in $W_{k}$ and $N(b, c)$ be the set of neighbours of $\{b, c\}$ in $W_{k}$. Observe that $\phi$ extends to a 4-colouring of $G_{k}$ unless $N(a)=N(b, c)=W_{k}$. However, if, $N(a)=N(b, c)=W_{k}$, then we obtain a $K_{5}$-minor in $G$ by using $T$ to contract $W_{k}$ onto $\{x, y, z\}$ and $c$ onto $b$ (if $c$ exists). This contradicts planarity.

The remaining case is $\left|\phi_{k-1}\right|=3$. In this case, $\phi$ extends to a 4-colouring of $G_{k}$, unless there exist distinct vertices $a, b \in W_{k-1}$ such that $a$ and $b$ are both adjacent to all vertices of $W_{k}$. Again we obtain a $K_{5}$-minor in $G$ by using $T$ to contract $W_{k}$ onto $\{x, y, z\}$ and contracting all but one edge of the $a-b$ path in $T$.

We finish the proof by using Wagner's characterization of $K_{5}$-minor-free graphs [19], which we now describe. Let $G_{1}$ and $G_{2}$ be two graphs with $V\left(G_{1}\right) \cap$ $V\left(G_{2}\right)=K$, where $K$ is a clique of size $k$ in both $G_{1}$ and $G_{2}$. The $k$-sum of $G_{1}$ and $G_{2}$ (along $K$ ) is the graph obtained by gluing $G_{1}$ and $G_{2}$ together along $K$ (and keeping all edges of $K$ ). The Wagner graph $V_{8}$ is the graph obtained from an 8 -cycle by adding an edge between each pair of antipodal vertices.

Theorem 5 (Wagner's Theorem [19]). Every edge-maximal $K_{5}$-minor-free graph can be obtained from 1-, 2-, and 3-sums of planar graphs and $V_{8}$.

Theorem 6. For every $K_{5}$-minor-free graph $G$, tree- $\chi(G) \leq 4$.
Proof. Let $G$ be a $K_{5}$-minor-free graph. We proceed by induction on $|V(G)|$. We may assume that $G$ is edge-maximal. First note that if $G=V_{8}$, then tree- $\chi(G) \leq$ $\chi(G)=4$. Next, if $G$ is planar, then tree- $\chi(G) \leq 4$ by Theorem 4 (whose proof
avoids the Four Colour Theorem). By Theorem 5, we may assume that $G$ is a $k$-sum of two graphs $G_{1}$ and $G_{2}$, for some $k \in[3]$. Let $K$ be the clique in $V\left(G_{1}\right) \cap V\left(G_{2}\right)$ along which the $k$-sum is performed. Since $G_{1}$ and $G_{2}$ are both $K_{5}$-minor-free graphs with $\left|V\left(G_{1}\right)\right|,\left|V\left(G_{2}\right)\right|<|V(G)|$, we have tree- $\chi\left(G_{1}\right) \leq 4$ and tree- $\chi\left(G_{2}\right) \leq 4$ by induction. For $i \in[2]$, let $\left(T^{i},\left\{B_{t}^{i} \mid t \in V\left(T^{i}\right)\right\}\right)$ be a tree-decomposition of $G_{i}$ with chromatic number at most 4 . Since $K$ is a clique in $G_{i}, K \subseteq B_{x}^{1} \cap B_{y}^{2}$ for some $x \in$ $V\left(T^{1}\right)$ and $y \in V\left(T^{2}\right)$. Let $T$ be the tree obtained from the disjoint union of $T^{1}$ and $T^{2}$ by adding an edge between $x$ and $y$. Then $\left(T,\left\{B_{t}^{1} \mid t \in V\left(T^{1}\right)\right\} \cup\left\{B_{t}^{2} \mid t \in V\left(T^{2}\right)\right\}\right)$ is a tree-decomposition of $G$ with chromatic number at most 4 .

## 5 Computing tree- $\chi$ and path- $\chi$

We finish by showing some hardness results for computing tree- $\chi$ and path $-\chi$. We need some preliminary results. For a graph $G$, let $K_{t}^{G}$ be the graph consisting of $t$ disjoint copies of $G$ and all edges between distinct copies of $G$.

Lemma 5. For all $t \in \mathbb{N}$ and all graphs $G$ without isolated vertices,

$$
(t-1) \chi(G)+2 \leq \text { tree- } \chi\left(K_{t}^{G}\right) \leq \text { path }-\chi\left(K_{t}^{G}\right) \leq t \chi(G) .
$$

Proof. Let $\left(T,\left\{B_{t} \mid t \in V(T)\right\}\right)$ be a tree- $\chi$-optimal tree-decomposition of $K:=K_{t}^{G}$, with $|V(T)|$ minimal. By Lemma 4, there exists $\ell \in V(T)$ and $v \in V(K)$ such that $N_{K}[v] \subseteq B_{\ell}$. Since $G$ has no isolated vertices, $v$ has a neighbour in the same copy of $G$ in which it belongs. Therefore,

$$
\text { tree- } \chi(K) \geq \chi\left(B_{\ell}\right) \geq \chi\left(N_{K}[v]\right) \geq 2+(t-1) \chi(G)
$$

For the other inequalities, tree- $\chi(K) \leq$ path- $\chi(K) \leq \chi(K)=t \chi(G)$.
We also require the following hardness result of Lund and Yannakakis [12].
Theorem 7 ([12]). There exists $\varepsilon>0$, such that it is NP-hard to correctly determine $\chi(G)$ within a multiplicative factor of $n^{\varepsilon}$ for every $n$-vertex graph $G$.

Our first theorem is a hardness result for approximating tree- $\chi$ and path- $\chi$.
Theorem 8. There exists $\varepsilon^{\prime}>0$, such that it is NP-hard to correctly determine tree- $\chi(G)$ within a multiplicative factor of $n^{\varepsilon^{\prime}}$ for every $n$-vertex graph $G$. The same hardness result holds for path- $\chi$ with the same $\varepsilon^{\prime}$.

Proof. We show the proof for tree- $\chi$. The proof for path- $\chi$ is identical. Let $\varepsilon^{\prime}=\frac{\varepsilon}{3}$, where $\varepsilon$ is the constant from Theorem 7. Let $G$ be an $n$-vertex graph.

Note that $K_{n}^{G}$ has $n^{2}$ vertices, and $\left(n^{2}\right)^{\varepsilon^{\prime}}=n^{\frac{2 \varepsilon}{3}}$. If $k \in\left[\frac{\operatorname{tree}-\chi\left(K_{n}^{G}\right)}{n^{\frac{2 \varepsilon}{3}}}, n^{\frac{2 \varepsilon}{3}}\right.$ tree- $\left.\chi\left(K_{n}^{G}\right)\right]$, then $\frac{k}{n} \in\left[\frac{\chi(G)}{n^{\varepsilon}}, n^{\varepsilon} \chi(G)\right]$ by Lemma 5 . Therefore, if we can approximate tree- $\chi\left(K_{n}^{G}\right)$ within a factor of $\left(n^{2}\right)^{\varepsilon^{\prime}}$, then we can approximate $\chi(G)$ within a factor of $n^{\varepsilon}$.

For the decision problem, we use the following hardness result of Khanna, Linial, and Safra [11].

Theorem 9 ([11]). Given an input graph $G$ with $\chi(G) \neq 4$, it is NP-complete to decide if $\chi(G) \leq 3$ or $\chi(G) \geq 5$.

As a corollary of Theorem 9, we obtain the following.
Theorem 10. It is NP-complete to decide if tree- $\chi(G) \leq 6$. It is also NP-complete to decide if path $-\chi(G) \leq 6$.

Proof. Let $G$ be a graph without isolated vertices and $\chi(G) \neq 4$. By Lemma 5, if tree- $\chi\left(K_{2}^{G}\right) \leq 6$, then $\chi(G) \leq 3$ and if tree- $\chi\left(K_{2}^{G}\right) \geq 7$, then $\chi(G) \geq 5$. Same for path- $\chi$. Finally, a tree- or path-decomposition and a 6-colouring of each bag is a certificate that tree- $\chi(G) \leq 6$ or path- $\chi(G) \leq 6$.

Combining the standard $O\left(2^{n}\right)$-time dynamic programming for computing pathwidth exactly (see Section 3 of [18]) and the $2^{n} n^{O(1)}$-time algorithm of Björklund, Husfeldt, and Koivisto [2] for deciding if $\chi(G) \leq k$, yields a $4^{n} n^{O(1)}$ time algorithm to decide to path- $\chi(G) \leq k$. As far as we know, there is no faster algorithm for deciding path $-\chi(G) \leq k$ (except for small values of $k$, where faster algorithms for deciding $k$-colourability can be used instead of [2]).

Finally, unlike for $\chi(G)$, we conjecture that it is still NP-complete to decide if tree- $\chi(G) \leq 2$.

Conjecture 7. It is NP-complete to decide if tree- $\chi(G) \leq 2$. It is also NP-complete to decide if path- $\chi(G) \leq 2$.

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[^1]:    ${ }^{1}$ A hole in a graph is an induced cycle of length at least 4.

