

# Improved Upper Bounds on the Crossing Number

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## ABSTRACT

The crossing number of a graph is the minimum number of crossings in a drawing of the graph in the plane. Our main result is that every graph  $G$  that does not contain a fixed graph as a minor has crossing number  $\mathcal{O}(\Delta n)$ , where  $G$  has  $n$  vertices and maximum degree  $\Delta$ . This dependence on  $n$  and  $\Delta$  is best possible. This result answers an open question of Wood and Telle [*New York J. Mathematics*, 2007], who proved the best previous bound of  $\mathcal{O}(\Delta^2 n)$ .

In addition, we prove that every  $K_5$ -minor-free graph  $G$  has crossing number at most  $2 \sum_v \deg(v)^2$ , which again is the best possible dependence on the degrees of  $G$ . We also study the convex and rectilinear crossing numbers, and prove an  $\mathcal{O}(\Delta n)$  bound for the convex crossing number of bounded pathwidth graphs, and a  $\sum_v \deg(v)^2$  bound for the rectilin-

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ear crossing number of  $K_{3,3}$ -minor-free graphs.

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## 1. INTRODUCTION

The *crossing number* of a graph<sup>1</sup>  $G$ , denoted by  $cr(G)$ , is the minimum number of crossings in a drawing<sup>2</sup> of  $G$  in the plane; see [16, 33, 51] for surveys. The crossing number

<sup>1</sup>We consider graphs  $G$  that are undirected, simple, and finite. Let  $V(G)$  and  $E(G)$  respectively be the vertex and edge sets of  $G$ . Let  $|G| := |V(G)|$  and  $\|G\| := |E(G)|$ . For each vertex  $v$  of  $G$ , let  $N_G(v) := \{w \in V(G) : vw \in E(G)\}$  be the neighbourhood of  $v$  in  $G$ . The *degree* of  $v$ , denoted by  $\deg_G(v)$ , is  $|N_G(v)|$ . When the graph is clear from the context, we write  $\deg(v)$ . Let  $\Delta(G)$  be the maximum degree of  $G$ .

<sup>2</sup>A *drawing* of a graph represents each vertex by a distinct point in the plane, and represents each edge by a simple closed curve between its endpoints, such that the only vertices an edge intersects are its own endpoints, and no three edges intersect at a common point (except at a common endpoint). A drawing is *rectilinear* if each edge is a line-segment, and is *convex* if, in addition, the vertices are in convex position. A *crossing* is a point of intersection between two edges (other than a common endpoint). A drawing with no crossings is *crossing-free*. A graph is *planar* if it has a crossing-free drawing.

is an important measure of non-planarity of a graph [50], with applications in discrete and computational geometry [32, 49], VLSI circuit design [3, 26, 27], and in several other areas of mathematics and theoretical computer science; see [50] for details. In information visualisation, one of the most important measures of the quality of a graph drawing is the number of crossings [37, 36, 38].

Computing the crossing number is  $\mathcal{NP}$ -hard [18], and remains so for simple cubic graphs [22, 35]. Moreover, the exact or even asymptotic crossing number is not known for specific graph families, such as complete graphs [42], complete bipartite graphs [29, 40, 42], and cartesian products [1, 5, 20, 41]. On the other hand, for every fixed  $k$ , Kawarabayashi and Reed [25] developed a linear-time algorithm that decides whether a given graph has crossing number at most  $k$ , and if this is the case, produces a drawing of the graph with at most  $k$  crossings.

Given that the crossing number seems so difficult, it is natural to focus on asymptotic bounds rather than exact values. The ‘crossing lemma’, conjectured by Erdős and Guy [16] and first proved by Leighton [26] and Ajtai et al. [2], gives such a lower bound. It states that every graph  $G$  with average degree greater than  $6 + \epsilon$  has

$$\text{cr}(G) \geq c_\epsilon \frac{\|G\|^3}{|G|^2}.$$

Other general lower bound techniques that arose out of the work of Leighton [26, 27] include the bisection/cutwidth method [14, 31, 47, 48] and the embedding method [46, 47].

Upper bounds on the crossing number of general families of graphs have been less studied, and are the focus of this paper. Obviously  $\text{cr}(G) \leq \binom{|G|}{2}$  for every graph  $G$ . A family of graphs has *linear* crossing number if  $\text{cr}(G) \leq c|G|$  for some constant  $c$  and for every graph  $G$  in the family. The following theorem of Pach and Tóth [34] shows that graphs of bounded genus<sup>3</sup> and bounded degree have linear crossing number.

**THEOREM 1.1** ([34]). *For every integer  $\gamma \geq 0$ , there are constants  $c$  and  $c'$ , such that every graph  $G$  with orientable genus  $\gamma$  has crossing number*

$$\text{cr}(G) \leq c \sum_{v \in V(G)} \deg(v)^2 \leq c' \Delta(G) \cdot |G|.$$

Böröczky et al. [9] extended Theorem 1.1 to graphs of bounded non-orientable genus. Djidjev and Vrt’o [15] greatly improved the dependence on  $\gamma$  in Theorem 1.1, by proving that  $\text{cr}(G) \leq c\gamma \cdot \Delta(G) \cdot |G|$ . Wood and Telle [52] proved that bounded-degree graphs that exclude a fixed graph as a minor<sup>4</sup> have linear crossing number.

<sup>3</sup>Let  $\mathbb{S}_\gamma$  be the orientable surface with  $\gamma \geq 0$  handles. An *embedding* of a graph in  $\mathbb{S}_\gamma$  is a crossing-free drawing in  $\mathbb{S}_\gamma$ . A *2-cell embedding* is an embedding in which each region of the surface (bounded by edges of the graph) is an open disk. The (*orientable*) *genus* of a graph  $G$  is the minimum  $\gamma$  such that  $G$  has a 2-cell embedding in  $\mathbb{S}_\gamma$ . In what follows, by a *face* we mean the set of vertices on the boundary of the face. Let  $F(G)$  be the set of faces in an embedded graph  $G$ . See the monograph by Mohar and Thomassen [28] for a thorough treatment of graphs on surfaces.

<sup>4</sup>Let  $vw$  be an edge of a graph  $G$ . Let  $G'$  be the graph obtained by identifying the vertices  $v$  and  $w$ , deleting loops, and replacing parallel edges by a single edge. Then  $G'$  is

**THEOREM 1.2** ([52]). *For every graph  $H$ , there is a constant  $c = c(H)$ , such that every  $H$ -minor-free graph  $G$  has crossing number*

$$\text{cr}(G) \leq c \Delta(G)^2 \cdot |G|.$$

Theorem 1.2 is stronger than Theorem 1.1 in the sense that graphs of bounded genus exclude a fixed graph as a minor, but there are graphs with a fixed excluded minor and arbitrarily large genus. On the other hand, Theorem 1.1 has better dependence on  $\Delta$  than Theorem 1.2. For other recent work on minors and crossing number see [6, 7, 8, 17, 19, 21, 22, 30, 35].

Note that for any reasonably general class of graphs to have linear crossing number, excluding a fixed minor and bounding the maximum degree (as in Theorem 1.2) is unavoidable. For example,  $K_{3,n}$  has no  $K_5$ -minor, yet its crossing number is  $\Omega(n^2)$  [40, 29]. Conversely, bounded degree does not by itself guarantee linear crossing number. For example, a random cubic graph on  $n$  vertices has  $\Omega(n)$  bisection width [10, 12], which implies that its crossing number is  $\Omega(n^2)$  [14, 26].

Pach and Tóth [34] proved that the upper bound in Theorem 1.1 is best possible, in the sense that for all  $\Delta$  and  $n$ , there is a toroidal graph with  $n$  vertices and maximum degree  $\Delta$  whose crossing number is  $\Omega(\Delta n)$ . In Section 2 we extend this  $\Omega(\Delta n)$  lower bound to graphs with no  $K_{3,3}$ -minor, no  $K_5$ -minor, and more generally, with no  $K_h$ -minor. Our main result is to prove a matching upper bound for all graphs excluding a fixed minor. That is, we improve the quadratic dependence on  $\Delta(G)$  in Theorem 1.2 to linear.

**THEOREM 1.3.** *For every graph  $H$  there is a constant  $c = c(H)$ , such that every  $H$ -minor-free graph  $G$  has crossing number*

$$\text{cr}(G) \leq c \Delta(G) \cdot |G|.$$

For a graph  $G$ , let  $D^2(G) := \sum_{v \in V(G)} \deg(v)^2$ . While our upper bound in Theorem 1.3 is optimal in terms of  $\Delta(G)$  and  $|G|$ , it remains open whether every graph excluding a fixed minor has  $\mathcal{O}(D^2(G))$  crossing number, as is the case for graphs of bounded genus. Note that a  $D^2(G)$  upper bound is stronger than a  $\Delta(G) \cdot |G|$  upper bound. In particular, for every graph  $G$  with bounded average degree (such as graphs with bounded genus or those excluding a fixed minor),

$$D^2(G) \leq \Delta(G) \sum_{v \in V(G)} \deg(v) = 2\Delta(G) \cdot \|G\| \leq c \Delta(G) \cdot |G|.$$

Wood and Telle [52] conjectured that every graph excluding a fixed minor has crossing number  $\mathcal{O}(D^2(G))$ . In Section 4, we establish this conjecture for  $K_5$ -minor-free graphs, and prove the same bound on the rectilinear crossing number<sup>5</sup>

obtained from  $G$  by *contracting*  $vw$ . A graph  $H$  is a *minor* of a graph  $G$  if  $H$  can be obtained from a subgraph of  $G$  by contracting edges. A family of graphs  $\mathcal{F}$  is *minor-closed* if  $G \in \mathcal{F}$  implies that every minor of  $G$  is in  $\mathcal{F}$ .  $\mathcal{F}$  is *proper* if it is not the family of all graphs. A deep theorem of Robertson and Seymour [45] states that every proper minor-closed family can be characterised by a finite family of excluded minors. Every proper minor-closed family is a subset of the  $H$ -minor-free graphs for some graph  $H$ . We thus focus on minor-closed families with one excluded minor.

<sup>5</sup>The *rectilinear crossing number* of a graph  $G$ , denoted by  $\overline{\text{cr}}(G)$ , is the minimum number of crossings in a rectilin-

of  $K_{3,3}$ -minor-free graphs. In addition to these results, we establish in Section 5 optimal bounds on the convex crossing number of interval graphs, chordal graphs, and bounded pathwidth graphs.

It is worth noting that our proof is constructive, assuming a structural decomposition (Theorem 6.2) by Robertson and Seymour [44] is given. Demaine et al. [11] gave a polynomial-time algorithm to compute this decomposition. Consequently, our proof can be converted into a polynomial-time algorithm that, given a graph  $G$  excluding a fixed minor, finds a drawing of  $G$  with the claimed number of crossings.

## 2. LOWER BOUNDS

In this section we describe graphs that provide lower bounds on the crossing number. The constructions are variations on those by Pach and Tóth [34]. We include them here to motivate our interest in matching upper bounds in later sections.

LEMMA 2.1. *For all positive integers  $\Delta$  and  $n$ , such that  $\Delta \equiv 0 \pmod{4}$  and  $n \equiv 0 \pmod{5(\Delta/2 - 1)}$ , there is a (chordal)  $K_{3,3}$ -minor-free graph  $G$  with  $|G| = n$ ,  $\Delta(G) = \Delta$ , and*

$$\text{cr}(G) = \frac{\Delta n}{40} \left(1 + \frac{2}{\Delta - 2}\right) > \frac{\Delta n}{40}.$$

PROOF SKETCH. Start with  $K_5$  as the base graph. For each edge  $vw$  of  $K_5$ , add  $\Delta/4 - 1$  new vertices, each adjacent to  $v$  and  $w$ . The resulting graph  $G'$  is chordal and  $K_{3,3}$ -minor-free,  $\Delta(G') = \Delta$ , and  $|G'| = 5(\Delta/2 - 1)$ . Take  $\frac{n}{5(\Delta/2 - 1)}$  disjoint copies of  $G'$  to obtain a  $K_{3,3}$ -minor-free graph  $G$  on  $n$  vertices and maximum degree  $\Delta$ . Thus  $\text{cr}(G) = \text{cr}(G') \frac{n}{5(\Delta/2 - 1)}$ . A standard technique proves that  $\text{cr}(G') = (\Delta/4)^2$ . Thus  $\text{cr}(G) = (\Delta/4)^2 \frac{n}{5(\Delta/2 - 1)} = \frac{\Delta n}{40} \left(1 + \frac{2}{\Delta - 2}\right)$ , as claimed.  $\square$

A similar technique gives the following lemma.

LEMMA 2.2. *For every set  $D = \{2, d_1, \dots, d_p\}$  of positive integers such that  $d_i \equiv 0 \pmod{4}$  for  $i = 1, \dots, p$ , there are infinitely many (chordal)  $K_{3,3}$ -minor-free graphs  $G$  such that the degree set of  $G$  is  $D$  and*

$$\text{cr}(G) > \frac{D^2(G)}{200}.$$

PROOF. For each  $d_i \in D \setminus \{2\}$ , let  $n_i = \frac{5}{2}d_i - 5$ . By Lemma 2.1, there is a (chordal)  $K_{3,3}$ -minor-free graph  $G_i$  with five vertices of degree  $d_i$  and  $n_i - 5$  vertices of degree 2, such that

$$\text{cr}(G_i) > \frac{d_i n_i}{40} > \frac{5d_i^2 + (n_i - 5)2^2}{200} = \frac{D^2(G_i)}{200}.$$

Every graph  $G$  created by taking one or more disjoint copies of each of  $G_1, \dots, G_p$  is  $K_{3,3}$ -minor-free with degree set  $D$ , and  $\text{cr}(G) \geq \frac{1}{200} D^2(G)$ .  $\square$

The above results generalize to  $K_h$ -minor-free graphs, for  $h \geq 5$ .

ear drawing of  $G$ . The *convex crossing number*, denoted by  $\text{cr}^*(G)$ , is the minimum number of crossings in a convex drawing of  $G$ .

LEMMA 2.3. *For every integer  $h \geq 5$  and every  $\Delta$  such that  $\Delta \equiv 0 \pmod{h-2}$  for  $h \geq 6$  and  $\Delta \equiv 0 \pmod{3}$  for  $h = 5$ , there exists infinitely many  $K_h$ -minor-free graphs  $G$  with  $\Delta(G) = \Delta$  and*

$$\text{cr}(G) \geq ch\Delta \cdot |G|,$$

for some absolute constant  $c$ . Moreover,  $G$  is chordal for  $h \geq 6$ .

PROOF SKETCH. For  $h = 5$ , use  $K_{3,3}$  as the starting graph. For  $h \geq 6$ , use  $K_{h-1}$ . The remaining arguments follow the proof of Lemma 2.1 and use the fact that  $\text{cr}(K_{3,3}) = 1$  and  $\text{cr}(K_{h-1}) \in \Theta(h^4)$ .  $\square$

## 3. LINEAR BOUNDING FUNCTIONS

In this section we give some sufficient conditions for a graph to satisfy certain linear bounds on the crossing number. The derived bounds will be used in subsequent sections.

LEMMA 3.1. *Let  $X$  be a class of graphs closed under taking subdivisions. Suppose that*

$$\text{cr}(G) \leq c \sum_{vw \in E(G)} \deg(v) \deg(w)$$

for every graph  $G \in X$ . Then

$$\text{cr}(G) \leq 2cD^2(G)$$

for every graph  $G \in X$ .

PROOF. Let  $G \in X$ . Let  $G'$  be the graph obtained from  $G$  by subdividing every edge once. By assumption,  $G' \in X$  and

$$\begin{aligned} \text{cr}(G') &\leq c \sum_{vw \in E(G')} \deg(v) \deg(w) \\ &= c \sum_{vw \in E(G)} (2\deg(v) + 2\deg(w)) \\ &= 2c \sum_{vw \in E(G)} (\deg(v) + \deg(w)) \\ &= 2c \sum_{v \in V(G)} \deg(v)^2. \end{aligned}$$

The result follows since  $\text{cr}(G) = \text{cr}(G')$ .  $\square$

We can also conclude a  $\mathcal{O}(\Delta(G) \cdot |G|)$  bound from  $\sum_{vw \in E(G)} \deg(v) \deg(w)$ .

LEMMA 3.2. *Let  $G$  be a graph with bounded arboricity. In particular, every subgraph of  $G$  on  $n$  vertices has at most  $kn$  edges. Then*

$$\sum_{vw \in E(G)} \deg(v) \deg(w) \leq 16k \cdot \Delta(G) \cdot |G| \leq 16k^2 \cdot \Delta(G) \cdot |G|.$$

PROOF. Let  $i, j \geq 0$  be integers. Let

$$\begin{aligned} \Delta_i &:= \Delta(G)/2^i \\ V_i &:= \{v \in V(G) : \Delta_{i+1} < \deg(v) \leq \Delta_i\} \\ n_i &:= |V_i| \end{aligned}$$

$$E_{i,j} := \{vw \in E(G) : v \in V_i, w \in V_j\}$$

$$e_{i,j} := |E_{i,j}|.$$

Let  $S_i := \{j \geq 0 : n_j \leq n_i\}$ . Thus

$$\begin{aligned} \sum_{vw \in E(G)} \deg(v) \deg(w) &\leq \sum_{i \geq 0} \sum_{j \in S_i} \sum_{vw \in E_{i,j}} \deg(v) \deg(w) \\ &\leq \sum_{i \geq 0} \sum_{j \in S_i} e_{i,j} \Delta_i \Delta_j \\ &\leq k \sum_{i \geq 0} \sum_{j \in S_i} (n_i + n_j) \Delta_i \Delta_j \\ &\leq 2k \sum_{i \geq 0} \sum_{j \geq 0} n_i \Delta_i \Delta_j \\ &\leq 2k \sum_{i \geq 0} n_i \Delta_i \sum_{j \geq 0} \Delta_j . \end{aligned}$$

Since  $\sum_{j \geq 0} \Delta_j < 2 \cdot \Delta(G)$ ,

$$\sum_{vw \in E(G)} \deg(v) \deg(w) < 4k \cdot \Delta(G) \sum_{i \geq 0} n_i \Delta_i .$$

Observe that

$$2\|G\| = \sum_{i \geq 0} \sum_{v \in V_i} \deg(v) > \sum_{i \geq 0} n_i \Delta_{i+1} = \frac{1}{2} \sum_{i \geq 0} n_i \Delta_i .$$

Thus

$$\sum_{vw \in E(G)} \deg(v) \deg(w) < 16k \cdot \Delta(G) \cdot \|G\| .$$

□

#### 4. DRAWINGS BASED ON PLANAR DECOMPOSITIONS

Let  $G$  and  $D$  be graphs, such that each vertex of  $D$  is a set of vertices of  $G$  (called a *bag*). For each vertex  $v$  of  $G$ , let  $D(v)$  be the subgraph of  $D$  induced by the bags that contain  $v$ . Then  $D$  is a *decomposition* of  $G$  if:

- $D(v)$  is connected and nonempty for each vertex  $v$  of  $G$ , and
- $D(v)$  and  $D(w)$  touch<sup>6</sup> for each edge  $vw$  of  $G$ .

Decompositions, when  $D$  is a tree, were introduced by Robertson and Seymour [43]. Diestel and Kühn [13] first generalised the definition for arbitrary graphs  $D$ .

Let  $D$  be a decomposition of a graph  $G$ . The *width* of  $D$  is the maximum cardinality of a bag. Let  $v$  be a vertex of  $G$ . The number of bags in  $D$  that contain  $v$  is the *spread* of  $v$  in  $D$ . The *spread* of  $D$  is the maximum spread of a vertex of  $G$ . A decomposition  $D$  of  $G$  is a *partition* if every vertex of  $G$  has spread 1. The *order* of  $D$  is the number of bags.  $D$  has *linear order* if  $|D| \leq c|G|$  for some constant  $c$ . If the graph  $D$  is a tree, then the decomposition  $D$  is a *tree decomposition*. If the graph  $D$  is a path, then the decomposition  $D$  is a *path decomposition*. The decomposition  $D$  is *planar* if the graph  $D$  is planar.

A decomposition  $D$  of a graph  $G$  is *strong* if  $D(v)$  and  $D(w)$  intersect for each edge  $vw$  of  $G$ . The *treewidth* (*path-width*) of  $G$ , is 1 less than the minimum width of a strong tree (path) decomposition of  $G$ . Treewidth is particularly important in structural and algorithmic graph theory; see the surveys [4, 39].

<sup>6</sup>Let  $A$  and  $B$  be subgraphs of a graph  $G$ . Then  $A$  and  $B$  *intersect* if  $V(A) \cap V(B) \neq \emptyset$ , and  $A$  and  $B$  *touch* if they intersect or  $v \in V(A)$  and  $w \in V(B)$  for some edge  $vw$  of  $G$ .

Wood and Telle [52] showed that planar decompositions were closely related to crossing number. The next result improves a bound in [52] from  $(p-1)\Delta(G)\|G\|$  to  $(p-1)D^2(G)$ .

LEMMA 4.1. *Every graph  $G$  with a planar partition  $H$  of width  $p$  has a rectilinear drawing in which each edge crosses at most  $2\Delta(G)(p-1)$  other edges. The total number of crossings,*

$$\bar{cr}(G) \leq (p-1)D^2(G).$$

PROOF. The following drawing algorithm is in [52]. By the Fáry-Wagner Theorem,  $H$  has a rectilinear drawing with no crossings. Let  $\epsilon > 0$ . Let  $D_\epsilon(B)$  be the disc of radius  $\epsilon$  centred at each bag  $B$  of  $H$ . For each edge  $BC$  of  $H$ , let  $D_\epsilon(BC)$  be the union of all line-segments with one endpoint in  $D_\epsilon(B)$  and one endpoint in  $D_\epsilon(C)$ . For some  $\epsilon > 0$ , we have  $D_\epsilon(B) \cap D_\epsilon(C) = \emptyset$  for all distinct bags  $B$  and  $C$  of  $H$ , and  $D_\epsilon(BC) \cap D_\epsilon(PQ) = \emptyset$  for all edges  $BC$  and  $PQ$  of  $H$  that have no endpoint in common. For each vertex  $v$  of  $G$  in bag  $B$  of  $H$ , position  $v$  inside  $D_\epsilon(B)$  so that  $V(G)$  is in general position (no three collinear). Draw every edge of  $G$  straight. Thus no edge passes through a vertex. Suppose that two edges  $e$  and  $f$  cross. Then  $e$  and  $f$  have distinct endpoints in a common bag, as otherwise two edges in  $H$  would cross. (The analysis that follows is new.) Say  $v_i$  is an endpoint of  $e$  and  $v_j$  is an endpoint of  $f$ , where  $\{v_1, \dots, v_p\}$  is some bag with  $\deg(v_1) \leq \dots \leq \deg(v_p)$ . Without loss of generality  $i < j$ . Charge the crossing to  $v_j$ . The number of crossings charged to  $v_j$  is at most

$$\sum_{i < j} \deg(v_i) \cdot \deg(v_j) \leq (p-1) \deg(v_j)^2$$

So the total number of crossings is as claimed. □

Wood and Telle [52] proved that every  $K_{3,3}$ -minor-free graph has a planar partition of width 2. Thus Lemma 4.1 implies the following theorem.

THEOREM 4.2. *Every graph  $G$  with no  $K_{3,3}$ -minor has rectilinear crossing number*

$$\bar{cr}(G) \leq D^2(G).$$

We now extend Lemma 4.1 from planar partitions to planar decompositions.

LEMMA 4.3. *Suppose that  $D$  is a planar decomposition of a graph  $G$  with width  $p$ , in which each vertex  $v$  of  $G$  has spread at most  $s(v)$ . Then  $G$  has crossing number*

$$cr(G) \leq 4p \sum_{v \in V(G)} s(v) \cdot \deg(v)^2 .$$

Moreover,  $G$  has a drawing with the claimed number of crossings, in which each edge  $vw$  is represented by a polyline with at most  $s(v) + s(w) - 2$  bends.

PROOF. For each vertex  $v$  of  $G$ , let  $X(v)$  be a bag of  $D$  that contains  $v$ . For each edge  $vw$  of  $G$ , let  $P(vw)$  be a minimum length path in  $D$  between  $X(v)$  and  $X(w)$ , such that  $v$  or  $w$  is in every bag in  $P(vw)$ . Let  $G'$  be the subdivision of  $G$  obtained by subdividing each edge  $vw$  of  $G$  once for each internal bag in  $P(vw)$ . Then  $D$  defines a planar partition  $D'$  of  $G'$ , where each original vertex  $v$  is in  $X(v)$ ,

and each division vertex is in the corresponding bag. We say a division vertex  $x$  of  $vw$  belongs to  $v$  and  $v$  owns  $x$ , if  $x$  corresponds to a bag in  $D$  that contains  $v$ . If  $x$  corresponds to a bag that contains both  $v$  and  $w$ , then arbitrarily choose  $v$  or  $w$  to be the owner of  $x$ .

Apply the drawing algorithm in Lemma 4.1 to the planar partition  $D'$  of  $G'$ . We obtain a rectilinear drawing of  $G'$ , which defines a drawing of  $G$  since  $G'$  is a subdivision of  $G$ . Each edge  $vw$  of  $G$  is represented by a polyline with  $\max\{|P(vw)| - 2, 0\}$  bends, which is at most  $s(v) + s(w) - 2$ . We now bound the number of crossings in the drawing of  $G'$ , which in turn bounds the number of crossings in the drawing of  $G$ .

Let  $\preceq$  be a total order on  $V(G)$  such that if  $\deg(v) < \deg(w)$  then  $v \prec w$  for all  $v, w \in V(G)$ .

Say edges  $e$  and  $f$  of  $G'$  cross. As proved in Lemma 4.1,  $e$  and  $f$  have distinct endpoints in a common bag  $B'$ . Let  $x$  and  $y$  be these endpoints of  $e$  and  $f$  respectively. Let  $v$  and  $w$  be the vertices of  $G$  that own  $x$  and  $y$  respectively. Without loss of generality,  $v \preceq w$ . Charge the crossing to the pair  $(w, B)$ , where  $B$  is the bag in  $D$  corresponding to  $B'$ .

Consider a bag  $B = \{v_1, \dots, v_p\}$  in  $D$ , where  $v_1 \prec \dots \prec v_p$ . Thus  $\deg(v_1) \leq \dots \leq \deg(v_p)$ . Consider a vertex  $v_i \in B$ . If  $X(v_i) = B$  then  $\deg(v_i)$  edges of  $G'$  are incident to  $v_i$ , which is the only vertex in  $B'$  that belongs to  $v_i$ . If  $X(v_i) \neq B$  then there are at most  $\deg(v_i)$  division vertices in  $B'$  that belong to  $v_i$ , and there are at most  $2\deg(v_i)$  edges of  $G'$  incident to a division vertex in  $B'$  that belongs to  $v_i$  (since each division vertex has degree 2 in  $G'$ ). Thus the number of crossings charged to  $(v_i, B)$  is at most

$$\sum_{j=1}^i 2\deg(v_j) \cdot 2\deg(v_i) \leq 4i \deg(v_i)^2 \leq 4p \deg(v_i)^2.$$

For each vertex  $v$  of  $G$ , since  $v$  is in at most  $s(v)$  bags of  $D$ , the number of crossings charged to some pair  $(v, B)$  is at most  $4p \cdot s(v) \cdot \deg(v)^2$ . Hence the total number of crossings is at most

$$4p \sum_{v \in V(G)} s(v) \cdot \deg(v)^2.$$

□

LEMMA 4.4. *Let  $D$  be a planar decomposition of a graph  $G$ , such that every bag in  $D$  is a clique in  $G$ , and every pair of adjacent vertices in  $G$  are in at most  $c$  common bags in  $D$ . Then*

$$\text{cr}(G) \leq c \sum_{vw \in E(G)} \deg(v) \deg(w).$$

PROOF. Draw  $G$  as in the proof of Lemma 4.3. We now count the crossings in  $G$  between edges  $vw$  and  $xy$  that have no common endpoint. Each crossing between  $vw$  and  $xy$  can be charged to a bag  $B$  that contains distinct vertices  $p$  and  $q$ , where  $p \in \{v, w\}$  and  $q \in \{x, y\}$ . Since  $B$  is a clique,  $pq$  is an edge of  $G$ . Charge the crossing to the pair  $(pq, B)$ . At most one crossing between  $vw$  and  $xy$  is charged to  $(pq, B)$ . Thus at most  $\deg(p) \deg(q)$  crossings are charged to  $(pq, B)$ . Since  $p$  and  $q$  are in at most  $c$  common bags, the number of crossings charged to  $pq$  is at most  $c \deg(p) \deg(q)$ . Thus the total number of crossings between edges with no common endpoint is at most  $c \sum_{pq} \deg(p) \deg(q)$ . It is folklore that  $\text{cr}(G)$  equals the minimum, taken over all drawings of  $G$ , of the

number of crossings between pairs of edges of  $G$  with no endpoint in common. Hence  $\text{cr}(G) \leq c \sum_{pq} \deg(p) \deg(q)$ . □

Wood and Telle [52] constructed planar decompositions of  $K_5$ -minor-free graphs as follows.

LEMMA 4.5 ([52]). *Let  $G$  be a  $K_5$ -minor-free graph. Then  $G$  has a set of at most  $|G| - 2$  edges  $E$  such that if  $V$  is the set of vertices of  $G$  that are not incident to an edge in  $E$ , then  $G$  has a planar decomposition  $D$  of width 2 with  $V(D) = \{\{v\} : v \in V\} \cup \{\{v, w\} : vw \in E\}$  with no duplicate bags.*

Since the bags of  $D$  correspond to vertices and edges of  $G$  (with no duplicates) each vertex of  $G$  has spread  $s(v) \leq \deg(v)$ . Thus Lemmas 4.3 and 4.5 imply that every graph  $G$  with no  $K_5$ -minor has crossing number

$$\text{cr}(G) \leq 8 \sum_{v \in V(G)} \deg(v)^3.$$

This result represents a qualitative improvement over the  $\mathcal{O}(\Delta(G)^2|G|)$  bound in [52]. But we can do better. In particular, Lemmas 4.5 and 4.4 with  $c = 1$  imply that

$$\text{cr}(G) \leq \sum_{vw \in E(G)} \deg(v) \deg(w).$$

Thus Lemma 3.1 implies:

THEOREM 4.6. *Every graph  $G$  with no  $K_5$ -minor has crossing number*

$$\text{cr}(G) \leq 2D^2(G).$$

## 5. INTERVAL GRAPHS AND CHORDAL GRAPHS

A graph is *chordal* if every induced cycle is a triangle. An *interval graph* is the intersection graph of a set of intervals in  $\mathbb{R}$ . Every interval graph is chordal.

THEOREM 5.1. *Every interval graph  $G$  has convex crossing number*

$$\begin{aligned} \text{cr}^*(G) &\leq \frac{1}{2}(\omega(G) - 2) \sum_{v \in V(G)} \deg(v)(\deg(v) - 1) \\ &\leq (\omega(G) - 2)(\omega(G) - 1)(\Delta(G) - 1)|G|. \end{aligned}$$

PROOF. Jamison and Laskar [23] proved that  $G$  is an interval graph if and only if there is a linear order  $\preceq$  of  $V(G)$  such that if  $u \prec v \prec w$  and  $uw \in E(G)$  then  $uv \in E(G)$ . Orient the edges of  $G$  left to right in  $\preceq$ . Position  $V(G)$  on a circle in the order of  $\preceq$ , with the edges drawn straight. Say edges  $xy$  and  $vw$  cross. Without loss of generality,  $x \prec v \prec y \prec w$ . Thus  $vy \in E(G)$ . Charge the crossing to  $vy$ . Say the out-neighbours of  $v$  are  $w_1, \dots, w_d$ . The in-neighbourhood of each  $w_i$  is a clique including  $v$ . Hence each  $w_i$  has at most  $\omega(G) - 2$  in-neighbours to the left of  $v$ . Now  $v$  has  $d - i$  neighbours to the right of  $w_i$ . Thus the number of crossings charged to  $vw_i$  is at most  $(\omega(G) - 2)(d - i)$ . Hence the number of crossings charged to outgoing edges at  $v$  is at most  $\frac{1}{2}(\omega(G) - 2)(d - 1)d$ . Therefore the total number of crossings is at most  $\frac{1}{2} \sum_v (\omega(G) - 2)(d_v - 1)d_v$ , where  $d_v$  is the out-degree of  $v$ . The other claims follow since  $\|G\| < (\omega(G) - 1)|G|$ . □

It is well known that the pathwidth of a graph  $G$  equals the minimum  $k$  such that  $G$  is a spanning subgraph of an interval graph  $G'$  with  $\omega(G') \leq k + 1$ .

**THEOREM 5.2.** *Every graph  $G$  with pathwidth  $k$  has convex crossing number*

$$\text{cr}^*(G) \leq k^2 \cdot \Delta(G) \cdot |G|.$$

**PROOF.**  $G$  is a spanning subgraph of an interval graph  $G'$  with  $\omega(G') \leq k + 1$ . Apply the drawing algorithm in the proof of Theorem 5.1 to  $G'$ . Say edges  $xy$  and  $vw$  of  $G$  cross. Without loss of generality,  $x \prec v \prec y \prec w$ . Thus  $vy \in E(G')$ . Charge the crossing to  $vy$ . Now  $v$  has at most  $\Delta(G)$  neighbours in  $G$  to the right of  $y$ . The in-neighbourhood of  $y$  is a clique in  $G'$  including  $v$ . Hence  $y$  has at most  $k$  neighbours to the left of  $v$ . Thus the number of crossings charged to  $vy$  is at most  $k \cdot \Delta(G)$ . Since  $G'$  has less than  $k \cdot |G|$  edges, the total number of crossings is at most  $k^2 \cdot \Delta(G) \cdot |G|$ .  $\square$

**LEMMA 5.3.** *Let  $D$  be an outerplanar decomposition of a graph  $G$ . Then  $G$  has a convex drawing such that if two edges  $e$  and  $f$  cross then some bag of  $D$  contains both an endpoint of  $e$  and an endpoint of  $f$ .*

**PROOF.** Assign each vertex  $v$  of  $G$  to a bag  $B(v)$  that contains  $v$ . Fix a crossing-free convex drawing of  $D$ . Replace each bag  $B$  of  $D$  by the set of vertices of  $G$  assigned to  $B$ . Draw the edges of  $G$  straight. Consider two edges  $vw$  and  $xy$  of  $G$ . Thus there is a path  $P$  in  $D$  between  $B(v)$  and  $B(w)$  and every bag in  $P$  contains  $v$  or  $w$ . Similarly, there is a path  $Q$  in  $D$  between  $B(x)$  and  $B(y)$  and every bag in  $Q$  contains  $x$  or  $y$ . Now suppose that  $vw$  and  $xy$  cross. Without loss of generality, the endpoints are in the cyclic order  $(v, x, w, y)$ . Thus in the crossing-free convex drawing of  $D$ , the vertices  $(B(v), B(x), B(w), B(y))$  appear in this cyclic order. Since  $D$  is crossing-free,  $P$  and  $Q$  have a bag  $X$  of  $D$  in common. Thus  $X$  contains  $v$  or  $w$ , and  $x$  or  $y$ .  $\square$

**THEOREM 5.4.** *Every chordal graph  $G$  has convex crossing number*

$$\text{cr}^*(G) \leq \sum_{vw \in E(G)} \deg(v) \deg(w).$$

**PROOF.** It is well known that every chordal graph has a strong tree decomposition in which each bag is a clique. By Lemma 5.3,  $G$  has a convex drawing such that if two edges  $vw$  and  $xy$  of  $G$  cross then some bag  $B$  of  $D$  contains  $v$  or  $w$ , and  $x$  or  $y$ . Say  $B$  contains  $v$  and  $x$ . Since  $B$  is a clique,  $vx$  is an edge. Charge the crossing to  $vx$ . In every crossing charged to  $vx$ , one edge is incident to  $v$  and the other edge is incident to  $x$ . Since edges are drawn straight, no two edges cross twice. Thus the number of crossings charged to  $vx$  is at most  $\deg(v) \deg(x)$ . Hence the total number of crossings is as claimed.  $\square$

**THEOREM 5.5.** *Every chordal graph  $G$  with no  $(k + 2)$ -clique (which includes every  $k$ -tree) has convex crossing number*

$$\text{cr}^*(G) \leq 16k^2 \cdot \Delta(G) \cdot |G|.$$

**PROOF.** It is well known that  $G$  has less than  $kn$  edges. Thus the claim follows from Lemma 3.2 and Theorem 5.4.  $\square$

## 6. EXCLUDING A FIXED MINOR

In this section we prove our main result (Theorem 1.3): for every graph  $H$  there is a constant  $c = c(H)$ , such that every  $H$ -minor-free graph  $G$  has a crossing number at most  $c \Delta(G) \cdot |G|$ . The proof is based on Robertson and Seymour's rough characterization of  $H$ -minor-free graphs, which we now introduce. For an integer  $h \geq 1$  and a surface  $S$ , Robertson and Seymour [44] defined a graph  $G$  to be  $h$ -almost embeddable in  $S$  if  $G$  has a set  $X$  of at most  $h$  vertices (called *apices*) such that  $G - X$  can be written as  $G_0 \cup G_1 \cup \dots \cup G_h$  such that:

- $G_0$  has an embedding in  $S$ .
- The graphs  $G_1, \dots, G_h$  (called *vortices*) are pairwise disjoint.
- There are faces<sup>7</sup>  $F_1, \dots, F_h$  of the embedding of  $G_0$  in  $S$ , such that each  $F_i = V(G_0) \cap V(G_i)$ .
- If  $F_i = (u_{i,1}, u_{i,2}, \dots, u_{i,|F_i|})$  in clockwise order about the face, then  $G_i$  has a strong  $|F_i|$ -path decomposition  $Q_i$  of width at most  $h$ , such that each vertex  $u_{i,j}$  is in the  $j$ -th bag of  $Q_i$ .

**THEOREM 6.1.** *For all integers  $h \geq 1$  and  $\gamma \geq 0$ , there is a constant  $k = k(h, \gamma) \geq h$ , such that every graph  $G$  that is  $h$ -almost embeddable in some surface whose Euler genus is at most  $\gamma$ , has crossing number at most  $k \Delta(G) \cdot |G|$ .*

**PROOF.** Let  $X$  and  $\{G_0, G_1, \dots, G_h\}$  be the parts of  $G$  as specified in the definition of  $h$ -almost embeddable graphs. Let  $\Delta := \Delta(G)$  and  $n := |G|$ . Start with an embedding of  $G_0$  in  $S$ . For each  $i \in \{1, \dots, h\}$ , draw vortex  $G_i$  inside of the face  $F_i$  on  $S$ , as prescribed in Theorem 5.2. Then the resulting drawing of  $G - X$  in  $S$  has at most  $h^2 \Delta n$  crossings. Replace each crossing by a dummy degree-4 vertex. The resulting graph  $G'$  has Euler genus at most  $\gamma$ . By Theorem 1.1,  $\text{cr}(G') \leq cD^2(G') \leq cD^2(G) + c^4 h^2 \Delta n$ . Since  $\text{cr}(G - X) \leq h^2 \Delta n + \text{cr}(G')$ , we conclude that  $\text{cr}(G - X) \leq cD^2(G) + (16c + 1)h^2 \Delta n$ .

Consider a drawing of  $G - X$  in the plane that achieves at most this many crossings. Add each vertex of  $X$  to the drawing at some arbitrary position and draw its incident edges to obtain a drawing of  $G$ . Since  $|X| \leq h$ , there are at most  $h\Delta$  edges in  $G$  that are not in  $G - X$ . Each such edge crosses at most  $\|G\|$  edges in the drawing of  $G$ . Recall that in the  $H$ -minor-free graph  $G$ , the number of edges is at most  $c'|G|$ , where  $c' = c'(H)$  is a constant. Thus  $\text{cr}(G) \leq \text{cr}(G - X) + h\Delta \|G\| \leq k\Delta(G) |G|$ .  $\square$

Let  $G_1$  and  $G_2$  be disjoint graphs. Suppose that  $C_1$  and  $C_2$  are cliques of  $G_1$  and  $G_2$  respectively, each of size  $k$ , for some integer  $k \geq 0$ . Let  $C_1 = \{v_1, v_2, \dots, v_k\}$  and  $C_2 = \{w_1, w_2, \dots, w_k\}$ . Let  $G$  be a graph obtained from  $G_1 \cup G_2$  by identifying  $v_i$  and  $w_i$  for each  $i \in \{1, \dots, k\}$ , and possibly deleting some of the edges  $v_i v_j$ . Then  $G$  is a  $k$ -clique-sum of  $G_1$  and  $G_2$  joined at  $C_1 = C_2$ . An  $\ell$ -clique-sum for some  $\ell \leq k$  is called a  $(\leq k)$ -clique-sum.

The following rough characterization of  $H$ -minor-free graphs is a deep theorem by Robertson and Seymour [44]; see the recent survey [24].

<sup>7</sup>Recall that we identify a face with the set of vertices on its boundary.

**THEOREM 6.2.** (Graph Minor Structure Theorem [44]) *For every graph  $H$ , there is a positive integer  $h = h(H)$ , such that every  $H$ -minor-free graph  $G$  can be obtained by  $(\leq h)$ -clique-sums of graphs that are  $h$ -almost embeddable in some surface in which  $H$  cannot be embedded.*

By the graph minor structure theorem, Theorem 1.3 is directly implied by the following theorem.

**THEOREM 6.3.** *For all integers  $h \geq 1$  and  $\gamma \geq 0$  there is a constant  $c = c(h, \gamma) \geq h$ , such that every graph  $G$  that can be obtained by  $(\leq h)$ -clique-sums of graphs that are  $h$ -almost embeddable in some surface of Euler genus at most  $\gamma$  has crossing number at most  $c \Delta(G) \cdot |G|$ .*

The remainder of this section is dedicated to proving Theorem 6.3. Let  $\Delta := \Delta(G)$ . Let  $U$  be the set of integers  $\{1, 2, \dots, |U|\}$ , such that  $\{G_i : i \in U\}$  is the set (of the minimum cardinality) of graphs such that for all  $i \in U$ ,  $G_i$  is  $h$ -almost embeddable in some surface of Euler genus  $\leq \gamma$ , and  $G$  is obtained by  $(\leq h)$ -clique-sums of graphs in the set. These graphs can be ordered  $G_1, \dots, G_{|U|}$ , such that for all  $j \geq 2$ , there is a minimum integer  $i < j$ , such that  $G_i$  and  $G_j$  are joined at some clique  $C$  in the construction of  $G$ . We say  $G_j$  is a *child* of  $G_i$ ,  $G_i$  is a *parent* of  $G_j$ , and  $P_j := V(C)$  is the *parent clique* of  $G_j$ . We consider the parent clique of  $G_1$  to be the empty set; that is,  $P_1 = \emptyset$ . This defines a rooted tree  $T$  with vertex set  $U$  where  $ij$  is an edge of  $T$  if and only if  $G_j$  is a child of  $G_i$ . Let  $U_i$  denote the set of children of  $i$  in  $T$ . Let  $T_i$  denote the subtree of  $T$  rooted at  $i$ . For  $S \subseteq V(T)$ , let  $G[S]$  be the graph induced in  $G$  by  $\bigcup\{V(G_\ell) : \ell \in S\}$ . For example, for  $S = \{i\}$ , then  $G[S]$  is a spanning subgraph of  $G_i$ .

The proof outline is as follows. For each  $G_i$ ,  $i \in U$ , we define an auxiliary graph  $K_i$  (closely related to  $G_i$ ), such that

$$\|K_i\| = \mathcal{O}\left(\sum_{v \in V(G_i) \setminus P_i} \deg_G(v)\right).$$

We draw each  $K_i$  in the plane with at most  $f(h)\Delta\|K_i\|$  crossings, where  $f$  is some function of the parameter  $h$ . We then join the drawings of  $K_1, \dots, K_{|U|}$  into a drawing of  $G$ , where the price of the joining is at most an additional  $f(h)\Delta$  crossings for each edge of  $K_i$ ,  $i \in U$ . Thus the crossing number of  $G$  is at most  $f_1(h)\Delta \sum_{i \in U} \|K_i\|$ , which, by the above claim on the number of edges of  $K_i$ , is at most

$$\begin{aligned} & f_2(h) \Delta \sum_{i \in U} \sum_{v \in V(G_i) \setminus P_i} \deg_G(v) \\ & \leq f_2(h) \Delta \sum_{v \in V(G)} \deg_G(v) \\ & = 2f_2(h) \Delta \|G\| \\ & \leq f_3(h) \Delta \|G\|, \end{aligned}$$

which is the desired result.

**Defining  $K_i$ .** For each  $i \in U$ , let  $G_i^- := G_i - P_i$ . Note that, for each  $v \in V(G)$ , there is precisely one value  $t \in U$  for which  $v \in G_t^-$ . Thus  $\{V(G_1^-), \dots, V(G_{|U|}^-)\}$  is a partition of  $V(G)$ . For each  $i \in U$ , define  $K_i$  as follows. Start with  $G_i^-$ . For each child  $G_j$  of  $G_i$  (that is, for each  $j \in U_i$ ), add a new vertex  $c_j$  to  $G_i^-$ . For each edge  $vw \in E(G)$  such that  $v \in V(G_i^-) \cap P_j$  (that is,  $v \in P_j \setminus P_i$ ) and  $w \in G_\ell^-$  where  $\ell \in V(T_j)$ , connect  $v$  and  $c_j$  by an edge. Subdivide

that edge once and label the subdivision vertex by the triple  $(v, w, \mathcal{P}_{vw})$ , where  $\mathcal{P}_{vw}$  is the path in  $T$  from  $i$  to  $\ell$  (thus,  $\mathcal{P}_{vw} = (i, j, \dots, \ell)$ ). The resulting graph is  $K_i$ . Note that for each  $v$  in  $G_i^-$ ,  $\deg_{K_i}(v) = \deg_{G-P_i}(v)$ .

**Drawing  $K_i$ .** Suppose that for each  $i \in U$ , we remove each  $c_j$ ,  $j \in U_i$ , from  $K_i$ . Consider the union of the resulting graphs, over all  $i \in U$ . Suppose that, for each vertex labelled  $(v, w, \mathcal{P}_{vw})$  in the union, we connect this vertex and  $w$  by an edge. The resulting graph is a subdivision of  $G$ . This is the strategy that we will follow when constructing a drawing of  $G$ . Namely, first draw each  $K_i$ , and then take the (disjoint) union of all the drawings. Next, remove all  $c_j$ 's. Finally, to obtain a drawing of  $G$ , route each missing edge of  $G$ . In particular, for a missing edge between  $(v, w, \mathcal{P}_{vw})$  and  $w$  with  $\mathcal{P}_{vw} = (i, j, \dots, \ell)$ , we route that edge from  $(v, w, \mathcal{P}_{vw})$  in the drawing of  $K_i$ , through the drawing of  $K_j$ , etc., until we finally reach  $w$  in the drawing of  $K_\ell$ .

We first claim that the number of edges in  $K_i$  is as stated in the outline. In addition to the edges in  $E(G_i^-)$ ,  $K_i$  contains two edges for each edge  $vw \in E(G)$ , such that  $v \in G_i^-$  and  $w \in G_\ell^-$ , where  $\ell \in V(T_i) \setminus i$ . Thus

$$\|K_i\| \leq 2 \sum_{v \in V(G_i^-)} \deg_G(v) = 2 \sum_{v \in V(G_i) \setminus P_i} \deg_G(v).$$

**LEMMA 6.4.** *For each  $i \in U$ , the crossing number of  $K_i$  is at most  $f(h)\Delta\|K_i\|$ .*

**PROOF.** For each  $G_i$ , let  $A_i$  denote the set of apex vertices of  $G_i$  that are not in  $P_i$ . Remove all the vertices of  $A_i$  from  $K_i$ . We now prove that the resulting graph  $K_i - A_i$  can be drawn in some surface  $S$  of Euler genus at most  $\gamma$  with at most  $f(h)\Delta\|K_i - A_i\|$  crossings. That will complete the proof since Theorem 1.1 implies that  $\text{cr}(K_i - A_i) \leq f(h)\Delta\|K_i - A_i\|$ , the same way it did in the proof of Theorem 6.1. Then we add back each vertex of  $A_i$  to the drawing of  $K_i - A_i$  at some arbitrary position in the plane and draw its incident edges to obtain a drawing of  $K_i$ . As in the proof of Theorem 6.1,  $\text{cr}(K_i) \leq \text{cr}(K_i - A_i) + h\Delta\|K_i\| \leq f_2(h)\Delta\|K_i\|$ .

Thus it remains to prove that  $K_i - A_i$  can be drawn in  $S$  with at most  $f(h)\Delta\|K_i - A_i\|$  crossings. The graph  $Q := G_i^- - A_i$  is an apex-free  $h$ -almost embeddable graph on  $S$ , with parts  $\{Q_0, Q_1, \dots, Q_h\}$ , where  $Q_0$  is the subgraph of  $Q$  embedded in  $S$  and  $\{Q_1, \dots, Q_h\}$  are its vortices. For each  $j \in U_i$ , let  $C_j$  denote the subgraph of  $K_i - A_i$  induced by  $c_j$  and the vertices at distance at most two from  $c_j$ . The vertices at distance 2 from  $c_j$  form a clique  $C \subseteq (P_j \setminus P_i) \setminus A_i \subseteq K_i - A_i$ . It is simple to verify that  $C_j$  has a strong tree decomposition  $J$  of width at most  $h + 2$ , where  $J$  is a rooted star whose root bag contains  $C \cup \{c_j\}$ ; for each  $(v, w, \mathcal{P}_{vw}) \in C_j$  (where  $v \in C$ ),  $J$  contains a leaf bag with  $\{w, c_j, (v, w, \mathcal{P}_{vw})\}$ ; if  $v \notin C$ , then  $v$  is in  $A_i$  and the leaf bag contains  $\{c_j, (v, w, \mathcal{P}_{vw})\}$ .

We now add the vortices and  $C_j$ 's to  $Q_0$  to obtain a drawing of  $K_i - A_i$  in  $S$  while creating at most  $f(h)\Delta\|K_i - A_i\|$  crossings in  $S$ .

For each  $j \in U_i$ ,  $C_j$  is joined to a clique  $C$  of  $Q$ . If  $C$  contains a vertex  $v$  of a vortex  $Q_\ell$ , where  $\ell \in \{1, \dots, h\}$ , then each vertex of  $C$  is in  $Q_\ell$ . In that case, we say that  $C_j$  *belongs to the face  $F_\ell$*  of the embedding of  $Q_0$  in  $S$ . Otherwise, all the vertices of  $C$  are in  $Q_0$ . In that case, an extended version of the graph minor decomposition theorem (see [24]) states that  $|C| \leq 3$  and moreover, if  $|C| = 3$ , then the 3-cycle

induced by  $C$  is a face in  $Q_0$ . In that case, we say that  $C_j$  belongs to that face. If  $|C| \leq 2$  we assign  $C_j$  to any face of  $Q_0$  incident to all the vertices of  $C$ .

Now consider a face  $F$  of  $Q_0$ . If  $F = F_\ell$  for some  $\ell$  ( $1 \leq \ell \leq h$ ), take its vortex  $Q_\ell$ , and all  $C_j$ ,  $j \in U_i$ , that belong to  $F$ . Let  $F'$  be the subgraph of  $K_i - A_i$  induced by the union of  $F$  and all of these. If  $F$  is not one of the vortex faces, then we define  $F'$  similarly by taking the union of  $F$  and all  $C_j$ ,  $j \in U_i$ , that belong to  $F$ . If  $F'$  contains a vortex  $Q_\ell$ , consider a strong path decomposition  $P_F$  of  $F \cup Q_\ell$ , as defined by the  $h$ -almost embedding. If  $F$  has no vortex, then its strong path decomposition  $P_F$  is just a bag containing  $|F| \leq 3$  vertices of  $F$  in it. For each  $C_j$  in  $F'$ , the join clique  $C$  of  $C_j$  is in some bag of  $P_F$ . Extend the decomposition  $P_F$  and  $J$  by adding an edge between that bag of  $P_F$  and the root of  $J$ . It is simple to verify that the resulting strong tree decomposition of  $F'$  can be converted into a strong path decomposition of width at most  $h + 3$ . Thus by Theorem 5.2,  $F'$  can be drawn inside of  $F$  with at most  $(h + 3)^2 \Delta |F'|$  crossings. Accounting for all the faces of  $Q_0$  gives  $f_4(h) \Delta \|K_i - A_i\|$  bound on the number of crossings in the resulting drawing of  $K_i - A_i$  in  $S$ , as required.  $\square$

In addition to having at most as many crossings as proved in Lemma 6.4, we will need a drawing of  $K_i$  that has the following additional properties.

LEMMA 6.5. *For each  $i \in U$ , there is a drawing of  $K_i$  with at most  $f(h) \Delta \|K_i\|$  crossings such that:*

- (1) *No pair of vertices in  $K_i$  has the same  $x$ -coordinate.*
- (2) *For each  $j \in U_i$ , there is a square<sup>8</sup>  $D_j$  such that  $D_j \cap K_i = c_j$ , and  $c_j$  is an internal point of the top side of  $D_j$ , and no vertex in  $V(K_i) \setminus \{c_j\}$  has the same  $x$ -coordinate as any point of  $D_j$ .*
- (3) *For any two  $j, t \in U_i$ , there is no line parallel to the  $y$ -axis that intersects both  $D_j$  and  $D_t$ .*
- (4) *Moreover, given a circular ordering  $\sigma_j$  of the edges incident to each vertex  $c_j$  in  $K_i$ ,  $j \in U_i$ , there is a drawing of  $K_i$  that satisfies (1)–(3) such that the circular ordering of the edges incident to each  $c_j$  respects  $\sigma_j$ .*

PROOF. Apply Lemma 6.4 to  $K_i$  to obtain a drawing of  $K_i$  with at most  $s := f(h) \Delta \|K_i\|$  crossings. Clearly, the edges incident to  $c_j$  can be bent without changing the number of crossings such that there is a small enough square  $D_j$  that satisfies all the properties imposed on  $D_j$ , as stated in (2). Similarly, condition (3) is satisfied by shrinking the squares further, if necessary. By an appropriate rotation, the conditions on the  $x$ - and  $y$ -coordinates imposed in (1)–(3) are satisfied.

Consider a disk  $C_j$  centered at  $c_j$ , such that  $c_j$  is the only vertex of  $K_i$  that intersects  $C_j$ , and the only edges of  $K_i$  that intersect  $C_j$  are the edges incident to  $c_j$ . Order the edges around  $c_j$  with respect to  $\sigma_j$  by moving (that is, bending) the edges incident to  $c_j$  within  $C_j \setminus D_j$ . This may introduce new crossings. Each new crossing point is in  $C_j \setminus D_j$  and thus it occurs between a pair of edges incident to  $c_j$ . There are at most  $h \Delta$  edges incident to  $c_j$ . Thus each edge incident to  $c_j$  gets at most  $h \Delta$  new crossings. Therefore, the resulting drawing of  $K_i$  satisfies conditions (1)–(4) and has at most  $s + h \Delta \|K_i\| \leq f'(h) \Delta \|K_i\|$  crossings.  $\square$

<sup>8</sup>By a *square*, we mean a 4-sided regular polygon together with its interior.

**Joining the  $K_i$ 's into a drawing of  $G$ .** We obtain a drawing of  $G$  from the union of the drawings of  $K_i$ ,  $i \in U$ , as follows. Join the drawings of these graphs in the order determined by a breath-first search on  $T$ , as follows. For each  $G_i$ , consider a drawing of  $K_i$  together with the squares incident to its children, as defined in Lemma 6.5. For each  $j \in U_i$ , place the drawing of  $K_j$  strictly inside of the square  $D_j$  of  $K_i$  (while scaling the drawing of  $K_j$ , if necessary). Denote by  $K$  the resulting drawing of  $\bigcup_i K_i$ . This procedure introduces no new crossings, thus by Lemma 6.5, the number of crossings in  $K$  is at most  $\sum_{i \in U} f'(h) \Delta \|K_i\|$ .

We still have the freedom to choose an arbitrary ordering  $\sigma_j$  (cf. Lemma 6.5(4)) to be used in the drawing of  $K_j$ . Define the ordering  $\sigma_j$  of edges around each vertex  $c_j$  ( $j \in U \setminus \{1\}$ ) as follows. Consider an edge  $e_1$  joining  $c_j$  and  $(v, w, \mathcal{P}_{vw})$ , and an edge  $e_2$  joining  $c_j$  and  $(a, b, \mathcal{P}_{ab})$ . Define  $e_1 \leq_{\sigma_j} e_2$  if the  $x$ -coordinate of  $w$  in  $K$  is less than the  $x$ -coordinate of  $b$  in  $K$ . If  $w = b$ , order  $e_1$  and  $e_2$  according to the  $x$ -coordinates of  $v$  and  $a$ . Since no pair of vertices in  $K$  have the same  $x$ -coordinate,  $\sigma_j$  is a linear order of the edges incident to  $c_j$ .

For each  $j \in U \setminus \{1\}$ , we may assume that the graph induced in  $K$  by  $c_j$  and its neighbours (the subdivision vertices), is a crossing-free star in  $K$ ; that is, no edge of this star is crossed by any other edge of  $K$ .

For each  $i \in U$ , remove each  $c_j$ ,  $j \in U_i$ , from  $K$ . The subdivision vertices of  $K$  become degree-1 vertices. For each such subdivision vertex  $(v, w, \mathcal{P}_{vw})$ , where  $\mathcal{P}_{vw} = (i, j, \dots, \ell)$ , draw an edge from  $(v, w, \mathcal{P}_{vw})$  to the point on the top side of the square  $D_j$  that has the same  $x$ -coordinate as the vertex  $w$  in  $K$ . Since  $w \in G[T_j] - P_j$ , it is drawn inside  $D_j$ , and thus such a point on the top side of  $D_j$  exists. If  $w$  is an endpoint of  $s \geq 2$  such edges, draw  $s$  points very close together on the top side of  $D_j$  and connect each of the  $s$  edges to one of these  $s$  points in the order  $\sigma_j$ . (In fact, imagine that these points are almost overlapping; that is, their  $x$ -coordinates are almost the same as that of  $w$  in  $K$ ). Since the star incident to  $c_j$  is crossing-free in  $K$ , this can be done so that the resulting drawing  $K_i^-$  has the same number of crossings as  $K_i$ . Label each point on the top side of  $D_j$  by the same label as the subdivision vertex it is adjacent to. (In fact, consider that point on the top side of  $D_j$  to be the subdivision vertex instead of the old one). Draw a line-segment between each subdivision vertex  $(v, w, \mathcal{P}_{vw})$  on the top side of  $D_j$  and  $w$ . Call these segments *vertical segments*. This defines a drawing of  $G$ . We now prove that the number of crossings in  $G$  does not increase much compared to the number of crossings in  $K$ . Specifically, it increases by at most  $f(h) \Delta \sum_{i \in U} \|K_i\|$ .

Note that Lemma 6.5 does not define the square  $D_1$ . Let  $D_1$  be the whole plane. For each  $i \in U$ , let  $D_i^-$  be the region  $D_i \setminus \{\bigcup_{j \in U_i} D_j\}$ . Denote by  $d_i$  the number of crossings in the drawing of  $G$  restricted to  $D_i^-$ . Then  $\text{cr}(G) \leq \sum_i d_i$ .

We now prove that for each  $i \in U$ ,  $d_i \leq f(h) \Delta \|K_i\|$ , which will complete the proof. Quantity  $d_i$  is at most the number of crossings in  $K_i^-$  plus the number of crossings caused by the vertical segments intersecting  $D_i^-$ . By construction (cf. properties (2) and (3) of Lemma 6.5), each vertical segment that intersects  $D_i^-$  is a part of an edge that has one endpoint in  $G_s^-$  where  $i \in V(T_s) \setminus s$  (that is,  $G_s^-$  is an ancestor of  $G_i^-$ ) and its other endpoint is either in  $G_i^-$  (and, thus in  $K_i^-$ ) or is in a descendent  $G_\ell^-$  of  $G_i^-$ . Thus the number of vertical segments that cross  $D_i^-$  is at most  $f(h) \Delta$ . No pair of vertical



segments cross in  $D_i^-$  due to their ordering. Thus each new crossing in  $D_i^-$  (that is, a crossing not present in the drawing of  $K_i^-$ ) occurs between a vertical segment and an edge of  $K_i^-$ . Thus each edge of  $K_i^-$  accounts for at most  $f(h)\Delta$  new crossings, and thus  $d_i \leq f(h)\Delta\|K_i^-\| \leq f(h)\Delta\|K_i\|$ , as desired. This completes the proof of Theorem 6.3.

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