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Evaluation of the SMOS L-MEB passive crowave soil moisture retrieval algorithm

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ABSTRACT

Soil moisture will be mapped globally by the European Soil Moisture and Ocean Salinity (SMOS) mission to be launched in 2009. The expected soil moisture accuracy will be 4.0%v/v. The core component of the SMOS soil moisture retrieval algorithm is the L-band Microwave Emission of the Biosphere (L-MEB) model which simulates the microwave emission at L-band from the soil-vegetation layer. The model parameters have been calibrated with data acquired by tower mounted radiometer studies in Europe and the United States, with a typical footprint size of approximately 10 m. In this study, aircraft L-band data acquired during the National Airborne Field Experiment (NAFE) intensive campaign held in South-eastern Australia in 2005 are used to perform the first evaluation of the L-MEB model and its proposed parameterization when applied to coarser footprints (62.5-m). The model could be evaluated across large areas including a wide range of land surface conditions, typical of the Australian environment. Soil moisture was retrieved from the aircraft brightness temperatures using L-MEB and ground measured ancillary data (soil temperature, soil texture, vegetation water content and surface roughness) and subsequently evaluated against ground measurements of soil moisture. The retrieval accuracy when using the L-MEB 'default' set of model parameters was found to be better than 4.0%/v only over grassland covered sites. Over crops the model was found to underestimate soil moisture by up to 32%v/v. After site specific calibration of the vegetation and roughness parameters, the retrieval accuracy was found to be equal or better than 4.8%v/v for crops and grasslands at 62.5-m resolution. It is suggested that the proposed value of roughness parameter H_R for crops is too low, and that variability of $H_{\rm R}$ with soil moisture must be taken into consideration to obtain accurate retrievals at these scales. The analysis presented here is a crucial step towards validating the application of L-MEB for soil moisture retrieval from satellite observations in an operational context.

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1. Introduction

Near-surface soil moisture retrieval from L-band (1.4 GHz) passive microwave brightness temperature (TB) measurements has been demonstrated from tower (Jackson et al., 1982; Wang, 1983) and airborne experiments (Jackson et al., 1984; Njoku et al., 2002). Current passive microwave algorithms for soil moisture retrieval are based on inversion of radiative transfer models which simulate the microwave emission from the earth surface given knowledge of the vegetation cover type and water content, surface soil temperature, surface roughness, and soil texture (Jackson, 1993; Wigneron et al., 1995). These models assume homogeneous conditions within each pixel, and have been developed from radiometer observations of homogenous

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pixels in Europe and the United States with resolutions on the order of 48 10's of meters.

The first satellite to make L-band observations specific to soil 50 moisture retrieval will be the European Soil Moisture and Ocean 51 Salinity (SMOS) mission (Kerr et al., 2001), to be launched in 2009. The 52 baseline SMOS payload is an L-band (1.4 GHz) two dimensional (2D) 53 interferometric radiometer that aims at providing global maps of soil 54 moisture with an accuracy better than 4%v/v every 3 days and with a 55 resolution better than 50 km (Kerr et al., 2001). SMOS operations will 56 make use of the so called 'SMOS L2' processor to retrieve soil moisture 57 and other surface parameters (e.g. vegetation optical thickness and 58 roughness) taking advantage of the dual-polarised multi angular 59 TB observations this sensor will provide. The core of the SMOS 60 L2 processor is the inversion of the L-band Microwave Emission of 61 the Biosphere (L-MEB) model (Wigneron et al., 2007), which is used 62 as a forward emission model to simulate the L-band emission of the soil- 63 canopy layer at Vertical and Horizontal polarisation (V-pol, H-pol) 64 according to incidence angle. The L-MEB model was developed from an 65

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extensive review of the current knowledge of the microwave emission of various land cover types (Wigneron et al., 2003) and has been tested with tower based studies on mostly homogeneous land surface conditions of low to moderate vegetation density, such as crops and grasstype surfaces (Wigneron et al., 2001; De Rosnay et al., 2006; Saleh et al., 2006b, 2007; Escorihuela et al., 2007). Development is also ongoing for forested, rough terrain and frozen surfaces (Della Vecchia et al., 2007; Grant et al., 2007; Talone et al., 2007). In order to retrieve soil moisture from SMOS data, the algorithm requires information on the vegetation and surface type dependent parameters of the emission model, such as the vegetation opacity, vegetation scattering albedo and roughness. A summary of published parameters for a variety of land cover types has been recently completed (Wigneron et al., 2008) and will be hereby referred to as the L-MEB 'default' parameter set.

To date the L-MEB model and its proposed parameterization has not been widely tested. There is therefore a strong need for an evaluation of the L-MEB model across a diverse range of land surface conditions globally. Consequently, this study rigorously evaluates the L-MEB model under Australian conditions for grassland and crop land cover types, using L-band aircraft data from the National Airborne Field Experiment conducted in south-eastern Australia in 2005 (NAFE'05).

2. Data

The NAFE'05 experiment was conducted in the Goulburn river catchment, in South-eastern Australia, with the aim to provide passive microwave airborne data supported by ground measurements of soil moisture for algorithm development and downscaling studies. A complete description of the experiment is provided in Panciera et al. (2008) so only the pertinent details are provided here. The general climate within the region can be described as subhumid, with average annual rainfall of 700 mm (maximum in October/November of about 50 mm), average annual pan evaporation of 1800 mm and monthly mean maximum temperatures varying between 30 °C in summer and 14 °C in winter, with minimum values of 16 °C and 2 °C, respectively. The catchment has an extensive network of in situ surface and meteorological observations and has been the location of several remote sensing related experiments (Rüdiger et al., 2007; Panciera et al., 2008). The data acquisition phase of NAFE'05 took place between October 31st and November 25th, 2005, and included passive microwave and thermal infrared airborne observations at multiple resolutions. The land cover conditions in the Goulburn catchment during the field experiment 105 included grasslands, crops (wheat, barley, sorghum and oats), open 106 woodland and forest, with the last two mainly concentrated in the 107 southern part of the study area (see Fig. 1). Soil properties in the area are 108 highly variable, including clay soil in the northern part and sandy soil in 109 the southern part of the study area. The topography in the area is gentle 110 with some flat alluvial areas around the main streams.

TB data were acquired daily at multiple resolutions over two focus 112 areas: the Krui area of approximately 10 km×30 km (western part 113 of the study area; see Fig. 1) and the Merriwa area of approximately 114 15 km×30 km (eastern part). Each area was mapped entirely at 115 various resolutions on alternate days, with flights beginning at around 116 6:00AM and ending by 2:00PM. The observations used in this study 117 (62.5 m resolution) were acquired between approximately 9:00AM 118 and 11:00AM. Parallel South–North oriented flight-lines were flown 119 to cover the area with a 1/6th of the swath (i.e., one beam) overlap. 120 Favorable meteorological conditions during the experiment allowed 121 the observation of several wetting and drying cycles. At the beginning 122 of the experiment heavy rainstorms crossed the study area, delivering 123 approximately 10 mm of cumulative rainfall, followed by more intense 124 rainfall on November 5th, 10th and 23rd (approximately 20 mm in the 125 eastern part of the catchment and 10 mm in the western part).

2.1. High resolution ground monitoring sites

Soil moisture was retrieved at eight locations corresponding to 128 high resolution ground sampling sites (Fig. 1), which were intensively 129 ground monitored for top 5 cm soil moisture, soil temperature, surface 130 roughness, soil texture, vegetation biomass and vegetation water 131 content. The location and extent of these sites were carefully chosen 132 with the objective of characterizing the variety of land covers, soil 133 type and topography present in the study area. Details of the high 134 resolution sites land cover, surface and soil type conditions are sum- 135 marized in Table 1. The Roscommon site was considered a 'control' site 136 as it exhibited uniform, flat, short grass conditions. All other sites 137 were characterized by either heterogeneous land cover (Midlothian, 138 Cullingral, Illogan and Pembroke) or significant topography (Stanley 139 and Dales and Merriwa Park). A total of 4 concurrent air-and ground- 140 borne mappings were available for each high resolution site across the 141 4 week long campaign.

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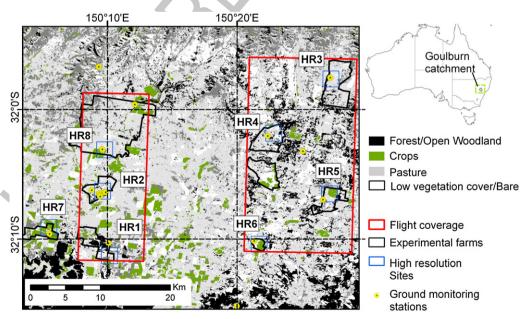


Fig. 1. The NAFE'05 study area layout, airborne and ground sampling areas extent and permanent monitoring stations. The ground sampling high resolution sites are labelled with HR1-8.

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Table 1 Characteristics of the high resolution ground sampling sites

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| t1.2 t1.3 | Fig. 1 code | High resolution site | Land cover | Topography | Vegetation water content [kg/m²] max-min | % sand | % clay | Standard deviation of roughness heights [mm] mean (stdev) | Correlation length of roughness [mm] mean (stdev) | |
|--------------|-------------|----------------------|----------------|----------------|--|-----------------|-----------------|---|---|--|
| t1.4 | HR1 | Roscommon | Grassland | Flat | 1.1-0.4 | 67ª | 15 ^a | 6.3 (1.0) | 64.7 (36.8) | |
| t1.5 | HR2 | Stanley | Grassland | Sloping | 0.4-0.1 | 6 | 54 | 10.8 (3.7) | 93.9 (45.1) | |
| t1.6 | HR3 | Dales | Grassland | Sloping | 0.8-0.2 | 31 | 51 | 9.1 (2.3) | 65.4 (37.6) | |
| t1.7 | HR4 | Midlothian | Fallow/Lucerne | Flat | 0.3-0.1 | 10 | 69 | 8.3 (3.6) | 83.9 (38.1) | |
| t1.8 | HR5 | Merriwa Park | Wheat | Gently sloping | 2.0-1.2 | 21 | 36 | 7.5 (2.7) | 93.4 (54.2) | |
| t1.9 | HR6 | Cullingral | Wheat/Barley | Flat | 0.9-0.4 | 30 ^a | 26ª | 6.6 (2.4) | 104.8 (53.4) | |
| t1.10 | HR7 | Illogan | Oats/Barley | Across Gully | 1.1-0.3 | 26 | 23 | 9.8 (3.6) | 61.7 (43.5) | |
| t1.11 | HR8 | Pembroke | Wheat/Barley | Gently sloping | 2.4-2.0 | 6 | 71 | 8.5 (2.8) | 98.0 (53.6) | |

^a Indicate soil texture data estimated from 5 cm soil samples.

Spatial distribution of the top 5 cm soil moisture was monitored at each site once a week concurrently with airborne observations. An inherent difficulty in relating the soil moisture information derived by remote sensors to that of ground sampling devices used for validation is related to the fact that the two systems have different "supports" (i.e., the integration volume or area from where the signal is detected) which is of the order of cm's for soil moisture probes such and on the rder of 100's m for the airborne acquisitions. In this study this problem was addressed by performing ground sampling at very fine scale in order to characterize the spatial variation of soil moisture within the radiometer footprint. A 150 m×150 m core area was therefore sampled on a very fine regular grid (6.25 m and 12.5 m spacing) by a team of two people to provide detailed ground truthing of the high resolution footprints, while the surrounding areas were sampled at decreasing resolution (62.5 m to 500 m) in order to cover as large an area as possible (Fig. 2). This extensive sampling was achieved with the Stevens Water Hydraprobe dielectric soil moisture probes interfaced with a handheld PC, GPS positioning and GIS. The probes were calibrated with over 120 gravimetric field samples and subsequently in the laboratory, yielding an estimated accuracy of ±3.5%v/v (Merlin et al., 2007). Soil moisture ground sampling took approximately 6-8 h daily (8:00AM-2:00PM), and flights over the sites were timed so that they always fell within this time window (9:00AM-11:00AM). Surface soil moisture can vary significantly on a diurnal basis, especially for short vegetation. Daily changes in soil moisture during the ground sampling time window were therefore monitored at the eleven monitoring stations across the study area (all on native grass). Soil moisture was found to be stable between 8:00AM and 2:00PM, with a mean soil moisture decrease across all stations and all sampling days of less then 1.1%v/v. Given that the airborne acquisitions were generally within the time window where this variation occurred, the differences between soil moisture

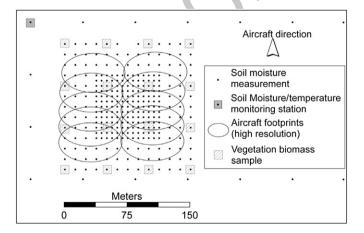


Fig. 2. Schematic of aircraft footprints and ground measurements at the high resolution ground sampling sites.

at the time of aircraft overpass and that of the ground monitoring will 175 be even smaller than that indicated above.

Vegetation biomass and vegetation water content (VWC) were 177 monitored throughout the campaign using 50 cm × 50 cm biomass 178 samples collected at the end of each sampling day at two fixed loca- 179 tions chosen to be representative of the site vegetation conditions. 180 The VWC ranged from a minimum of 0.1 kg/m² for the short lucerne 181 at Midlothian to a maximum of 2.4 kg/m² for the mature wheat 182 crop at Pembroke, with an average of 0.4 kg/m² for grassland sites and 183 2 kg/m² for crop sites. As shown in Table 1, the VWC varied sig- 184 nificantly throughout the campaign; as much as 1 kg/m² for crops. On 185 two occasions during the campaign, the first and the last weeks, the 186 spatial variability of the VWC across all high resolution sites was 187 also characterized with 16 biomass samples (see Fig. 2). The spatial 188 variability was generally small for all the grassland sites (standard 189 deviation of 0.15 kg/m²) and most crop sites (0.4 kg/m²), but was 190 significant in the case of the Pembroke site (1 kg/m²). The VWC is also 191 known to have diurnal variation, mainly associated with the presence 192 of dew on the plants in the early hours of the morning. To ensure 193 that this wouldn't interfere with the aircraft microwave observations, 194 leaf wetness sensors were installed at each high resolution site to 195 continuously record the presence of dew. These data (confirmed also 196 by field observation) indicated that at all eight high resolution sites 197 dew had completely dried off by 8:00AM throughout the campaign. 198 Therefore the VWC samples taken at the end of each sampling day 199 were considered representative of the conditions observed at the time 200 of aircraft overpasses.

A footprint-average value of soil temperature for each TB 202 observation was estimated from the time-series of soil temperature 203 recorded every 20 min at 2.5 cm depth at the nearby monitoring 204 stations, making use of the L-band radiometer time for reference. 205 Soil texture was determined by hydrometer analysis of 30 cm deep 206 soil samples collected at or near the high resolution site. Despite 207 the availability of soil texture data for similar 5 cm deep samples, the 208 laser mastersizer particle size analysis performed on these samples is 209 reported to consistently underestimate clay content, while it properly 210 estimate the coarse fraction (Campbell, 2003), and therefore the 30 cm 211 samples were preferred. The 5 cm sample was used in two cases only 212 (Roscommon and Cullingral), as the 30 cm samples were clearly too 213 far from the high resolution sites to be representative. As these sites 214 are located in the southern part of the study area, which is dominated 215 by sandstone derived soils (sandy), the laser particle analysis is 216 expected to be sufficiently accurate in these cases. Finally, surface 217 roughness parameters were estimated by taking 1 m-long transects 218 of surface heights in two perpendicular directions in order to take 219 into account anisotropy in surface roughness (common in ploughed 220 cropped fields). Surface roughness heights and correlation lengths 221 were very uniform across the eight sites, with an average of 8.4 mm 222 and 83 mm respectively (see Table 1). Soil types covered the whole 223 range observed in the study area, from very sandy at Roscommon to 224 fine black clay at Pembroke.

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2.2. Airborne passive microwave radiometer observations

The passive microwave instrument used was the Polarimetric L-band Multibeam Radiometer (PLMR). The PLMR measured both V-pol and H-pol TB through 6 ellipses of 17° along-track and 13°-16.5° across-track with across track incidence angles of $+/-7^{\circ}$, $+/-21.5^{\circ}$ and +/-38.5°. The PLMR was calibrated daily against ground targets (blackbody and clear sky) and the calibration further checked in-flight with overpasses of a nearby water body that was monitored for soil temperature and salinity. Complete details about the calibration procedures have been given by Panciera et al. (2008). The accuracy was estimated to be better than 0.7 K at H-pol and 2 K for V-pol; it is considered that on a bare smooth soil this corresponds to better than 1.0%v/v soil moisture accuracy. The calibrated radiometer observations were geolocated taking into consideration the aircraft position, pitch, roll, and yaw information recorded for each measurement, with the beam centers projected onto a 250 m digital elevation model of the study area. The effective footprint size and ground incidence angle were also calculated taking into consideration the aircraft attitude, the terrain slope and beam geometry. Final processing included filtering data corresponding to elevated aircraft roll angles (higher then 10° from horizontal) corresponding to aircraft steep turns. This also minimises sun glint effect in the external beams.

3. The L-MEB model

The L-band Microwave Emission of the Biosphere model, L-MEB, is the core of the SMOS mission retrieval algorithm ('SMOS L2') and is based on a widely recognized approach to land emission simulation that uses a simplified (zero-order) radiative transfer equation (Wigneron et al., 1995; Jackson and Le Vine, 1996; Njoku et al., 2002). This model represents the soil as a flat surface in contact with the atmosphere, and the vegetation as a homogeneous layer. The soil emission is controlled by the microwave reflectivity of soil, which depends on the dielectric properties of the soil—water mixture, while the vegetation layer scatters and absorbs part of this radiation as well as emitting radiation itself. A complete description of the model has been given by Wigneron et al. (2007) so only a brief overview of the main model components is given here. The effects of soil and vegetation on the surface brightness temperature are represented in L-MEB by the so called ' τ - ω model' (Mo et al., 1982):

$$TB(\vartheta, P) = (1 - \omega_{\vartheta, P}) \left(1 - \gamma_{\vartheta, P}\right) \left(1 - \gamma_{\vartheta, P}\right) \left(1 - \gamma_{\vartheta, P}\right) T_{V} + (1 - \Gamma_{\vartheta, P}) \gamma_{\vartheta, P} T_{EFF}$$
(1)

where $T_{\rm EFF}$ and $T_{\rm V}$ are the effective soil and vegetation temperatures, ω and γ are respectively the single scattering albedo and the transmissivity of the vegetation layer, which vary in general with the measured polarisation P (horizontal or vertical) and the sensor observation angle ϑ . The reflectivity of a rough soil, Γ , that is also sensitive to the observation angle and measured polarisation, is controlled by the soil roughness parameters $H_{\rm R}$ and $N_{\rm RP}$ (Wang and Choudhury, 1981; Wigneron et al., 2001) by:

$$\Gamma = \Gamma^* \exp\left[-H_R \cos(\vartheta)^{N_{RP}}\right] \tag{2}$$

The reflectivity Γ^* of a smooth soil can be related to the surface soil moisture content through the Fresnel equations and a dielectric model, such as the Dobson dielectric mixing model currently used by L-MEB (Dobson et al., 1985), which takes into account soil textural properties to simulate the dielectric behavior of the soil-water mixture. Given that the Dobson model is well known to poorly represent very dry sandy soils, L-MEB switches to the Matzler dielectric model (Matzler, 1998) when soil moisture content is below 2%v/v and sand fraction is above 90%.

The vegetation parameters in Eq. (1) are the single scattering albedo ω and the vegetation transmissivity γ . The latter is determined

by the vegetation optical depth at nadir, τ_{NAD} , and the parameters tt_{V} 286 and tt_{H} (or tt_{H} and the parameter R_{tt} = $tt_{\text{V}}/t_{\text{H}}$) that correct the optical 287 depth for non-nadir views at each polarisation by:

$$\gamma(\vartheta, P) = \exp\left[-\tau_{\text{NAD}}\left(\cos^2(\vartheta) + tt_{\text{P}} * \sin^2(\vartheta)\right)\cos^{-1}(\vartheta)\right]$$
(3) 289

The optical depth at nadir $\tau_{\rm NAD}$ increases with increased water 291 in/on the vegetation, and consequently reduces the transmission 292 of the soil brightness temperature across the vegetation, and can 293 be related linearly to the VWC (kg/m²) using the so-çalled "b" 294 parameter through $\tau_{\rm NAD}$ =b*VWC (Jackson and Schmugge, 1991; 295 Van de Griend and Wigneron, 2004). The canopy optical depth has 296 been shown to increase significantly in response to rainfall, due to 297 the dielectric properties of water intercepted by plants (Saleh et al., 298 2006a). In the SMOS L2 retrieval algorithm the effect of rainfall is 299 not modeled, and data will be flagged for interception depending on 300 a polarisation index. This study follows the same approach, with 301 days immediately following significant rainfall events excluded 302 from the analysis.

The soil effective temperature $T_{\rm EFF}$ should be calculated taking into 304 account the soil temperature profile in the entire soil column which 305 contributes to the emission (2 to 5 cm at L-Band). In L-MEB a novel 306 formulation is used that calculates $T_{\rm EFF}$ as a function of two temperature $\frac{3}{3}05$ measurements, one at the soil surface ($T_{\rm SURF}$) and the other at a greater 308 depth ($T_{\rm DEPTH}$) (Wigneron et al., 2001) by:

$$T_{\text{EFF}} = T_{\text{DEPTH}} + (T_{\text{SURF}} - T_{\text{DEPTH}})^* (\theta/w_0)^{b_0}$$
 (4)

Here θ stands for the top 5 cm soil moisture. Parameters w_0 and b_0 312 were calibrated using data from the SMOSREX experiment (De Rosnay 313 et al., 2006), using soil temperatures at 2 cm and 50 cm respectively 314 for T_{SURE} and T_{DEPTH_k} Due to the lack of soil temperature measurements 315 deeper than 15 cm at all the soil moisture monitoring stations in this 316 study Eq. (4) was applied assuming that the value of soil temperature 317 at 15 cm depth is a good estimate of T_{DEPTH_k} This assumption was 318 verified with soil temperature data at 15 cm and 60 cm collected at 319 a meteorological stations located at the Stanley farm. The 60 cm 320 temperature was found to have a positive bias of 0.9 K with respect to 321 that at 15 cm, with an error standard deviation of 1.1 K. This impacts 322 the calculation of T_{EFE_k} only on very dry conditions (when the effect 323 T_{DEPTH_k} is important), yielding an error of less then 0.6 K, which is not 324 significant for soil moisture retrieval purposes.

3.1. Optimisation scheme

In this study the forward emission model (2) is inverted to solve 327 two different optimisation problems: (i) the retrieval of soil moisture 328 given *a priori* (prescribed or calibrated) values for the vegetation and 329 soil surface dependant parameters given TB; and (ii) the calibration of 330 model vegetation and soil surface parameters given ground measured 331 soil moisture and TB. The number of parameters that can be retrieved 332 simultaneously depends on the number of simultaneous and in- 333 dependent TB's available under different measurement configurations 334 (i.e., polarisation and incidence angle). In this study both soil moisture 335 retrieval and calibration problems were solved through iterative least 336 squares minimisation of the cost function:

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$$CF = \frac{\sum \left(TB_{\vartheta,pol}^{o} - TB_{\vartheta,pol}\right)^{2}}{\sigma_{TB}^{2}} + \frac{\sum_{i} \left(p_{i} - p_{i}^{ini}\right)^{2}}{\sigma_{P}^{2}}$$

$$(5) 338$$

The cost function in Eq. (5) includes the classical cumulative 340 squared error between simulated and measured brightness tempera- 341 tures (TB and TB° respectively), and an additional term which accounts 342 for the squared error between the current value (p_i) and the initial 343 guess ($p_i^{\rm ini}$) of each retrieved quantity (e.g. soil moisture in the soil 344 moisture retrieval problem or parameters $H_{\rm R}$, b, etc. in the calibration 345

problem). The second term in Eq. (5) allows the user to constrain the retrieved values about a physically plausible estimate for each parameter ($p_i^{\rm ini}$) through the standard deviation associated with this estimate (σ_p). This optimisation method has been developed for the retrieval of surface parameters from SMOS data, where the possibility of constraining parameters values stems from the availability of the values retrieved on previous overpasses (Wigneron et al., 2007). However, in this study the constraints were not used (i.e. no *a priori* knowledge was assumed), in order to find the 'real' optimum for each quantity. Therefore the value $\sigma_p^2 = 1$ was used in all cases.

3.2. L-MEB default parameters

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t2.13

A considerable amount of research has been devoted in the past decade toward estimating a set of generic parameters for the ' τ – ω model' including a variety of vegetation and surface types. Building upon these studies, a set of parameters has been recently compiled by Wigneron et al_{χ} (2008). This parameter set is briefly reviewed here before being applied to the soil moisture retrieval.

The main component of the ' τ - ω model' is the vegetation optical thickness, whose attenuation properties can be related to the VWC using the so-called b parameter, which is in general dependent on the sensor frequency, polaris and view angle vell as on the vegetation structure (Jackson et al., 1991; Van de Griend et al., 2004). At L-band a value of $b=0.12\pm0.03$ was found to be represen \bigcirc of most agricultural crops for a variety of view angles (Van de Griend et al., 2004). This estimate was later refined to 0.08 by Wigneron et al. (2007) after introduction of the view angle dependence of the vegetation thickness in Eq. (3). Similar values (0.12-0.15) have been estimated over grasslands (Saleh et al., 2006b, 2007). In these studies the effect of the litter layer (that is common in grasslands as opposed to crop fields) was also investigated for the first time. These results have suggested that the attenuation effects due to the water content in this layer is higher than in the standing vegetation, leading to the higher values of 0.15 for parameter b. The use of Eq. (3) allows b to be considered as polarisation and incidence angle independent, and therefore values are tabulated depending only on the canopy type.

The variation of the optical depth with view angle is modulated through two 'vegetation structure' parameters tt_h and tt_v which characterize the isotropy of the structure of the standing vegetation; a value of tt_h or $t_v > 1$ or $t_v > 1$ or $t_v > 1$ or $t_v > 1$ will correspond, respectively, to an increase or decrease of $t_v > 1$ with the view angle at each polarisation. These are generally set to '1' in the case of isotropic canopies like that of native grasses (Saleh et al., 2007; Wigneron et al., 2007), while values as high as '8' for tt_v have been estimated for vertically dominated crops such as wheat and corn, with tt_h generally closer to unity (Wigneron et al., 2007).

The scattering of the soil microwave signal within the vegetation 391 layer is parameterized with the scattering albedo ω in Eq. (1). Values 392 of ω have been found to be significantly larger then zero only for 393 corn fields and grasslands at V-pol (ω_v =0.05), and negligible for all the 394 other crop types and at H-pol (Wigneron et al., 2004, 2007). As the 395 dependence of ω on the view angle has not been clearly demonstrated 396 to date in the literature, the value of ω in L-MEB is tabulated only as a 397 function of the vegetation type (i.e., dependence on view angle is 398 neglected).

Among the soil and vegetation parameters that appear in L-MEB, 400 the roughness parameter H_R is one of two which have the largest 401 impact on the soil moisture retrieval accuracy (the other one being the 402 vegetation parameter b). The dependence of this parameter on the 403surface roughness characteristics (standard deviation of heights SD, 404 autocorrelation length LC, etc.) is nevertheless not well known. Two 405 studies (Mo et al., 1982; Wigneron et al., 2001) found that the best 406 geophysical parameter to model $H_{\rm R}$ was the roughness slope para- 407 meter (m=SD/LC), but many studies also observed a significant 408 dependence of parameter H_R on soil moisture (Wigneron et al., 409 2001; Escorihuela et al., 2007; Saleh et al., 2007). The dependence of 410 H_R on soil moisture has been explained by an effect called "dielectric 411 roughness", believed to be related to a variation of the dielectric 412 properties within the soil which can be caused by non-uniformities 413 in the soil moisture. To date the relationship between H_R and soil 414 moisture has not been well understood, particularly in the case of 415 crops.

Given that, apart for corn fields, the values of $H_{\rm R}$ over crops with 417 relatively smooth soils were found to be low ($H_{\rm R}\sim 0.1$ –0.2) (Jackson 418 et al., 1999; Wigneron et al., 2007), a constant value (i.e., not 419 dependent on soil moisture) of 0.2 was used in this study. However, 420 for grasslands the relationship $H_{\rm R}=1.3$ –1.13* θ proposed by (Saleh 421 et al., 2007) was used in the case of tall grasses with the presence 422 of litter layer (i.e., to compensate for the effect of the layer on the soil 423 emissivity), while a constant value of 0.5 was set for the other cases as 424 estimated by Wigneron et al. (2004) and Saleh et al. (2007).

Estimates of N_{RV} and N_{RH} for Eq. (2), generally considered zero at L- 426 band, have been recently updated through long time series observa- 427 tions over bare soils from the Surface Monitoring Of the Soil Reservoir 428 Experiment (SMOSREX; De Rosnay et al., 2006), with $N_{RX} = 1$ or 0 and 429 $N_{RH} = 0$ or 1 respectively (Escorihuela et al., 2007). Uncertainties on the 430 calibrated values of these two parameters are relatively low (their 431 variability is relatively low over a large range of roughness conditions). 432

The land cover information for each of the NAFE'05 high resolution 433 sites (see Table 1) was used to extract the most adequate set of 434 parameters to be used in this study for each site from the L-MEB 435 default database. The resulting parameter sets are shown in Table 2. 436 The eight sites fall into three main SMOS surface types: crop (wheat 437 type), grassland with litter and grassland without litter. For all the 438

Table 2L-MEB default parameters and high resolution evaluation of the L-MEB soil moisture retrieval

| SMOS surface type | High resolution site | Default parameters | | | | | | | Soil moisture retrieval [%v/v] | | | | |
|--|-------------------------|--------------------|-------------|-------------------|--------------|-----------------|-----------------|------------------|--------------------------------|------|------|----------------|------------|
| | | H_{R} | $Q_{\rm R}$ | N_{RH} | $N_{\rm RV}$ | tt _H | tt _v | $\omega_{\rm H}$ | ω_{V} | b | RMSE | r ² | Bias |
| Grassland without litter (Saleny 2007) | Roscommon | 0.5 | 0 | 0 | 0 | 1 | 1 | 0 | 0.05 | 0.15 | 1.6 | 0.98 | -1.3 |
| Grassland with litter (Saleh et al., 2007) | Stanley | $1.3-1.13*\theta$ | 0 | 1 | 0 | 1 | 1 | 0 | 0.05 | 0.12 | 1.3 | 0.99 | 0.6 |
| | Dales Midlothian | | | | | | | | | | 3. | 0.85 0.68 | 1.7 2.8 |
| Crop — wheat (Wigner or et al., 2007) | Merriwa P. | 0.2 | 0 | 0 | -1 | 1 | 8 | 0 | 0 | 0.08 | 21.4 | 0.94 | -19.5 |
| | Cullingral | | | | | | | | | | 19.4 | 0.87 | -19.2 |
| | Illogan | | | | | | | | | | 9.9 | 0.96 | 0.4 |
| | Pembroke | | | | | | | | | | 32.5 | 0.95 | -30.8 |

 θ is the volumetric soil moisture. Parameters are: roughness (H_R) ; polarisation mixing (Q_R) ; roughness exponent (N_{RP}) , vegetation structure (tt_P) and scattering albedo (ω_P) , all at V and H pol), and vegetation parameter (b).

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crop sites, the most suitable parameters were found to be those estimated over crops with mainly vertically oriented plant structure like wheat. In the NAFE'05 study area the predominant crop is wheat followed by barley which has a very similar plant structure. Given that no specific parameters are available in literature for oats, also present at Illogan, this site was assigned the same wheat-type crop parameters. While oats still have a predominantly vertical structure, this is less defined than in wheat and barley. Stanley and Dales were characterized by grazing lands covered by very tall grass, hence the presence of litter on the ground. Roscommon was a very short grass site with exposed, un-littered bare soil between the grass clumps. Midlothian was split between a nearly bare fallow field and a lush, short lucerne field. In the absence of specific literature parameters for the radiative transfer properties of lucerne, it was assumed that the anisotropic structure of lucerne was similar to that of grass clumps. Therefore the site was treated as grassland.

4. High resolution soil moisture retrieval

The accuracy of the L-MEB soil moisture retrieval was tested using the high resolution aircraft observations (62.5 m footprint) over the high resolution soil moisture monitoring sites listed in Table 1. At these sites all the factors known to affect the microwave emission were well monitored, and the spatial variability of soil moisture within the aircraft footprint is known in great detail (6.25 m and 12.5 m spacing). Direct comparison of the L-MEB retrieval with ground measured soil moisture therefore allows detailed evaluation of the effectiveness of the model physics and parameterization with minimum uncertainty on the ancillary data used and on the soil moisture heterogeneity within the pixel.

In order to compare the L-MEB soil moisture retrieval with the ground measurements, PLMR footprints covering each high resolution site were extracted for the nominally 62.5 m resolution data. Approximately 5–8 independent, bi-polarised TB observations of the same area at a variety of incidence angles were available for each high resolution site. A value of ground measured soil moisture was calculated for each observation by averaging all the ground measurements falling within the footprint (Fig. 2). The number of ground measurements per footprint varies due to local topographic conditions affecting the effective footprint size but was generally in the range 50–100 points per footprint.

Fig. 3 shows the observed mean V-pol and H-pol TB together with the mean and standard deviation of the ground measured soil moisture plotted daily at all eight high resolution sites for the four sampling dates available at each individual site. For better visualization, the TB data shown in this plot have been referenced to the same incidence angle (38°) using the ratios between the grand means of TB observations at each incidence angle for each day. As previously discussed, heavy rainfall fell over the entire study area prior to the commencement of the sampling operations, with further rain until November 10th; this is reflected in the very wet conditions observed at all sites on the first two sampling dates, particularly at the sites characterized by clay soils (Pembroke and Stanley). After November 10th and until November 23rd, a gradual drying down was observed. On November 23rd another rainfall event produced moderately wet conditions which were recorded at the two sites monitored after that date (Stanley and Illogan). The whiskers in Fig. 3 indicate the standard deviation of ground measured soil moisture within the high resolution footprint (averaged over the 5-8 footprints falling within each high resolution site and fully ground monitored at high resolution). The soil moisture standard deviation was on average 5.6%v/v. Maximum spatial variability was recorded at Midlothian (10.0%v/v) due to the strong contrast between the land cover in the two halves of the high resolution site (fallow field and a lush lucerne crop). High spatial variability was also recorded at Stanley (9.4%v/v) and Dales (8.8%v/v) due to the steep topography. Minimum soil moisture heterogeneity was recorded at the Roscommon 'control' site (3.1%v/v).

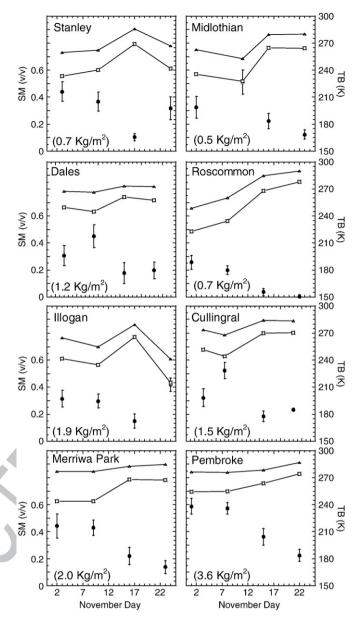


Fig. 3. High resolution brightness temperatures ("TB", lines) and ground measured soil moisture ("SM", solid symbols) plotted daily at the eight high resolution sites. Triangles are V polarised and squares are H polarised brightness temperatures. The temporal mean of the vegetation wet biomass recorded throughout the campaign at each site is indicated in brackets as a reference of the canopy density.

The radiometer data show significant sensitivity to the top 5 cm 503 soil moisture, with higher values of TB for dry soil and lower values on 504 wet soil. The range of TB is slightly higher at H-pol than at V-pol. This 505 effect might have been partially smoothed here by the angle 506 'correction' applied to the TB data. The H-pol TB show a range of 507 approximately 40 K for a variation in soil moisture around 25%v/v over 508 low vegetated grasslands (e.g., Roscommon). This range is almost 509 halved to approximately 25 K at sites with crops of higher vegetation 510 biomass (Pembroke, Merriwa Park); as expected, the presence of a 511 mature crop above the ground reduces the sensitivity of TB to soil 512 moisture, although the soil microwave signal is still detectable above 513 the canopy and can be used to retrieve soil moisture.

4.1. Soil moisture retrieval with L-MEB default parameters

The L-MEB soil moisture retrieval was first evaluated using the L-MEB 516 default values for the surface type dependent parameters. Soil moisture 517

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was retrieved at all high resolution sites using a two channel retrieval (Hpol and V-pol) on each TB observation and the resulting soil moisture was compared with the mean ground observed soil moisture within the TB footprint. The value of soil temperatures at 2.5 cm and 15 cm from the nearest permanent monitoring station at the time of TB acquisition were used by the direct emission model to calculate the effective temperature. The value of the VWC estimated daily from the biomass samples collected at the high resolution site was used to characterize the temporally varying contribution of the vegetation to the emission. The temperature of the vegetation canopy was assumed to be in equilibrium with the soil temperature at 2.5 cm; this is a common assumption in passive microwave soil moisture retrieval studies due to the lack of adequate canopy temperature measurements (e.g., Njoku and Entekhabi, 1996; Van de Griend et al., 2003). Analysis of the above canopy infrared measurements recorded at some of the monitoring stations showed that at the time when the high resolution acquisitions considered here were undertaken (9-11AM), the difference between the two temperatures can be significant, more in the case of crops (13 K mean difference) than that of grasses (6 K). The consequences of this uncertainty on the soil moisture retrieval are discussed later in this section.

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Fig. 4a shows the scatter plot between L-MEB soil moisture retrieval using the L-MEB default parameters and the ground measured soil moisture. Grassland sites show the best results, with errors generally smaller than the standard deviation of ground measured soil moisture within the footprint. This indicates a good correspondence between ground average soil moisture and sensoraveraged response and a correct parameterization of the model. Over crops the model shows a tendency to underestimate soil moisture, particularly in wet conditions. Error statistics are summarized for each site in Table 2. The Root Mean Square Error (RMSE) between observed and estimated soil moisture was better than the proposed SMOS accuracy (4.0%v/v) for most grassland sites, while for the crop sites errors up to 32.5%v/v were obtained. The retrieval error shows a notable correlation with the VWC (compare with Table 1, 5th column) being higher for Pembroke and Merriwa Park, two mature crops, and smaller for the short grasslands at Roscommon and Stanley. This suggests that such large errors could be due to the low default values of parameter *b* tabulated for crops and leading to underestimation of the vegetation opacity. The parameterization of the roughness parameter H_R as a function of soil moisture well reproduced the soil moisture dynamics for grassland sites, although in the case of Roscommon a successful retrieval was achieved using a constant H_R value (0.5). This is a result of the relatively limited soil moisture range exhibited at the site (below approximately 20%v/v, Fig. 3) due to the sandy soil texture. It is to be noted that the soil moisture retrieval at the Midlothian site was less accurate than at the other grassland sites. Midlothian was a site with unique land cover conditions in the study area, including a mix of lucerne, for which no 565 parameters were available in literature, and fallow, characterized by 566 significant dead biomass at the surface. This resulted in a strong soil 567 moisture gradient within the high resolution area. It is likely that 568 the combination of these three factors (Incorrect parameterisation, 569 effect of the dead biomass layer and strong soil moisture gradient) 570 determined the error obtained. Given the accurate retrievals at the 571 other grassland site, the Midlothian site was not considered further 572 in the analysis.

The results presented suggest that the set of L-MEB default surface 574 type parameters are directly applicable to Australian conditions in 575 the case of the native grasses present in the NAFE'05 study area. For 576 the wheat-type crops considered in this study, using the default 577 parameters leads to large soil moisture retrieval errors. There are a 578 number of factors that could be the cause of such errors, such as the 579 effect of heterogeneity of the vegetation cover (which was observed 580 to be higher at the crop sites) or error in the estimates of ancillary 581 parameters such as effective temperature, canopy temperature, soil 582 texture and the VWC. A sensitivity analysis of the L-MEB model soil 583 moisture outputs to the value of the input parameters and ancillary 584 data error was performed in order to understand which of these 585 factors could lead to the large errors observed. To this end, starting 586 from a reference scenario with fixed values for all parameters, the 587 values of the input vegetation specific and ancillary parameters 588 were 23changed within expected ranges individually, while keeping 589 all the other parameters fixed to the reference values. At each step the 590 retrieved soil moisture was then compared to that of the reference 591 scenario to estimate the error in soil moisture retrieval induced by 592 changes in the value of the vegetation specific parameter or errors in 593 the input ancillary data. The process was repeated for 4 scenarios; the 594 mature crop at Pembroke and the short grassland site at Roscommon 595 (see Table 1) for both the wettest and driest conditions (see Fig. 3). 596 This is shown in Fig. 5 and discussed hereby.

The top 2.5 cm and 15 cm soil temperatures were estimated using 598 data from monitoring stations which were not always immediately 599 adjacent to the high resolution sites, but rather as much as 1 km 600 distant. This could lead to error in the estimation of the soil effective 601 temperature in the direct emission model. The maximum spatial 602 difference in soil temperature between monitoring stations recorded 603 on sampling days was 6.5 K. It was assumed that this is the maximum 604 error in soil temperature estimation at the high resolution sites and 605 through the sensitivity analysis it was estimated that the associated 606 soil moisture error should not be greater than approximately 5%v/v. 607 The same analysis revealed that the soil moisture error associated 608 with the assumption that the canopy temperature is in equilibrium 609 with the top 2.5 cm soil temperature at the time of the day when 610 high resolution observations were acquired (9–11AM) should not 611

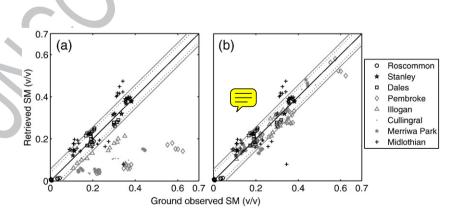


Fig. 4. Evaluation of L-MEB soil moisture retrieval with high resolution aircraft data using (a) L-MEB default parameters and (b) calibrated parameters. Gray symbols are sites classified as crops, black symbols are sites as grassland. Black dashed lines indicated the SMOS target accuracy (4%v/v). Gray lines indicate the typical standard deviation of the ground monitored soil moisture at sub-footprint scale.

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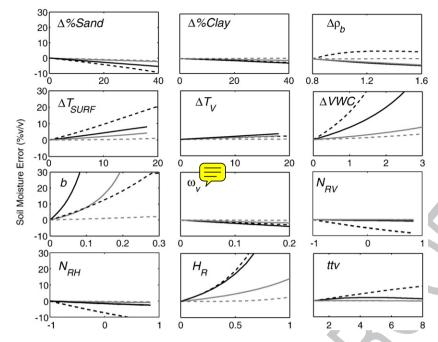


Fig. 5. Analysis of the L-MEB microwy persons sion model sensitivity to errors in the ancillary data used (top two rows) and changes in the input radiative transfer parameters (bottom two rows) with respect to the refered harios of a crop site (VWC=2.4 kg/m², solid lines) and a grassland site (VWC=0.7 kg/m², dashed lines) on wet (black line) and dry (gray line) conditions. $ρ_b$ =soil bulk density [g/crt²]; T_{SURF} =soil temperature at 2.5 cm [K], T_V =vegetation canopy temperature [K], VWC=vegetation water content [kg/m²]. All parameters are fixed to the L-MEB default values when not changed.

be greater than approximately 3%v/v, as this is the maximum soil moisture error observed in Fig. 5 for errors in canopy temperature up to 20 K. For soil textural properties, the values of % sand and % clay were derived from the analysis of 30 cm deep soil samples collected at or nearby the high resolution sites. As there might be a difference between the soil textural properties used and those of the top 5 cm layer which contributes to the soil microwave emission at L-band, a sensitivity study was undertaken showing that large errors in soil textural property estimations can lead to significant errors in retrieved soil moisture only for extreme errors in soil texture (approximately 10%v/v error for a 40% sand and clay estimate error), which are considered unlikely here.

The VWC sampling technique used (daily 50 cm×50 cm) biomass samples at 2 fixed locations in the high resolution site) could lead to an error in VWC estimation due to both the small sample size (bound to be heterogeneous in the case of crops, for example) and the spatial variation of the VWC across the area. Analysis of this error using the 16 samples taken on several occasions at each site showed the daily value of the VWC used for crops could be underestimated by approximately 0.12 kg/m² for most crops (Cullingral, Illogan and Merriwa Park) and as much as 1 kg/m² for Pembroke. The impact of these biases on the soil moisture retrieval was investigated by adding them to the daily VWC recorded at each sites and repeating the retrieval. Results indicated that increasing the VWC improved the RMSE of soil moisture retrieval at Pembroke by 4.1%v/v, while at the other crop sites the improvement was only 0.4%v/v. It is evident that the problem of VWC sampling only marginally accounts for the large errors at the crop sites, although the spatial variation of the VWC across the high resolution sites might explain the scatter observed in daily errors at the same area (Fig. 4a).

Although the errors associated with ancillary data estimation discussed above are in some cases significant and certainly affect the accuracy of the soil moisture retrieval presented here, it is evident that they cannot explain the large errors observed at the crop sites (approximately 20–30%v/v). This suggests that the errors could be due to the inadequate values of the default parameters when applied to the heterogeneous aircraft footprints. Amongst these,

parameters b and H_{R_h} are the best candidates, having the highest 649 impact on the L-MEB soil moisture output (Fig. 5).

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4.2. Calibration option 1: b and H_R

A site specific calibration of the parameters *b* and $H_{\rm R}$ was performed 652 for the crop sites (Pembroke, Merriwa Park, Illogan and Cullingral). 653 Initially, both parameters were jointly calibrated at each site. To this 654 end, all V-pol and H-pol high resolution observations of each site were 655 used together with the ground soil moisture and ancillary data to 656 retrieve both parameters on each sampling day. The temporal average 657 for each parameter was then computed and used to evaluate the soil 658 moisture retrieval on all sampling days, using the same two-channel 659 retrieval described earlier. As already noted, both parameters are 660 considered as view angle independent, as the angular dependence 661 is treated in L-MEB through Eqs. (2) and (3). This implies that one 662 single value of each parameter can be retrieved by using the 5 to 8 TB 663 observations available over each site on each day.

Given that H-pol TB is more sensitive to soil moisture while V-pol 665 responds more to the canopy signal, the simultaneous retrieval of b 666 and H_R is expected to allow decoupling of the soil surface (H_R) from 667 the vegetation (b) component of the observed TB. The value of the 668 calibrated parameters and the respective soil moisture retrieval RMSE 669 are shown in Table 3 ('calibration 1'). The calibration of b and H_R 670 significantly improved the retrieval for most sites. For the site with the 671 highest VWC (Pembroke), the retrieval accuracy was improved from 672 32.5%v/v to 8.9%v/v. The calibrated values of b and H_R were signi- 673 ficantly higher than the default ones indicating that the default para- 674 meters (i) underestimate the effect of the vegetation layer in masking 675 the soil signal and (ii) underestimate the scattering of the soil signal at 676 the surface. At the Cullingral site very high values of b were obtained. 677 It should be noted that the TB observations available at this site 678 were mostly within a narrow range of incidence angles close to nadir 679 (see Table 3, column 2). At these angles the polarisation difference is 680 reduced and so too is the ability of the algorithm to decouple the 681 vegetation and soil signal. For the remaining sites, the retrieved values 682 for b were in the range 0.2–0.5 while for H_{R_h} was in the range 0.2–0.6. 683

Table 3
Site specific calibration of parameters b and H_R and resulting soil moisture RMSE for the NAFE'05 crop sites

| High resolution site | Range of incidence angles | Parameter set | b | H_{R} | Soil moisture RMSE [%v/v] |
|----------------------|---------------------------|------------------|------|--------------------------------|------------------------------|
| Merriwa P. | 5°-42° | L-MEB default | 0.08 | 0.2 | 21.4 |
| | | Calibration 1 | 0.26 | 0.46 | 6.4 |
| | | Calibration 2 | 0.08 | 1.03 | 5.1 |
| | | Calibration 3 | 0.08 | $H_{R} = 1.5 - 1.6 * \theta$ | 4.8 |
| Cullingral | 6°-23° | L-MEB default | 0.08 | 0.2 | 19.4 |
| | | Calibration 1 | 1.15 | 0.46 | 18.2 |
| | | Calibration 2 | 0.08 | 1.29 | 14.5 |
| | | Calibration 3 | 0.08 | $H_{R} = 1.6 - 1.0 * \theta$ | 3.0 |
| Illogan | 3°-44° | L-MEB default | 0.08 | 0.2 | 9.9 |
| | | Calibration 1 | 0.48 | 0.19 | 7.2 |
| | | Calibration 2 | 0.08 | 0.49 | 3.5 |
| | | Calibration 3 | 0.08 | $H_{\rm R}$ =0.7-0.9* θ | 2.9 |
| Pembroke | 16°-39° | L-MEB default | 0.08 | 0.2 | 32.5 |
| | | Calibration 1 | 0.19 | 0.57 | 8.9 |
| | | Calibration 2 | 0.08 | 1.12 | 8.0 |
| | | Calibration 3 | 0.08 | $H_R = 1.6 - 1.2 * \theta$ | 4.0 |

All retrieved values averaged across four dates. θ indicates soil moisture. 'Calibration 1'=calibration of both b and H_R ; 'calibration 2'=calibration of only H_R , b fixed to default; 'calibration 3'=calibration of linear regression of H_R vs soil moisture, b fixed to default.

These values allowed retrieval of soil moisture with accuracy better than 8.9%v/v.

4.3. Calibration option 2: H_R alone

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The coupled b and $H_{\rm R}$ calibration presented in the previous section determined a sensible improvement of the L-MEB soil moisture default retrieval over crops. Nevertheless, the accuracy achieved is still far from the 4.0%v/v accuracy target of SMOS. A second approach was to assume that the default value of parameter b proposed for crops (0.08) is correct and thus calibrate only $H_{\rm R}$. This was justified by three reasons: (i) the value 0.08 for wheat-type crops resulted from an extensive review of estimates of b at L-band for various crop types, and therefore it is expected to be quite accurate (Wigneron et al., 2007); (ii) parameter b is expected to be less variable then parameter $h_{\rm R}$ across the similar wheat-type crops present in the study area and (iii) parameter $H_{\rm R}$ and its link to geophysical variables (soil type, surface roughness and soil moisture) have not yet been well understood.

For all sites a significant improvement in soil moisture accuracy was achieved when calibrating H_R alone (see Table 3, 'calibration 2') with respect to the calibration of both b and H_R , soil moisture retrieval improved for all sites with an improvement of at least 1.0%v/v and up to 3.7%v/v in some cases. Calibrated values of H_R were in the range $0.5_1.3$, being much higher than both the default values $(0.1_0.2)$ and those obtained in 'calibration 1' $(0.2_0.5)$. Although this is partly a result of having constrained parameter b to a fixed (low) value, which might therefore partially absorb the underestimation of the vegetation opacity, these results suggest that the default value of parameter H_R for crops is too low for the crop types considered in this study.

4.4. Calibration option 3: H_{R_n} as a function of soil moisture

In calibrations 1 and 2 the parameters b and $H_{\rm R}$, were considered stable in time, i.e., one average value was calculated from the calibration on individual days to evaluate the soil moisture retrieval. An examination of the retrieved values of both parameters across the 4 sampling dates showed that while parameter b was fairly constant in time (as expected given that it relates to the vegetation structure) the values of parameter $H_{\rm R}$ exhibited a notable variation in time, and this was fairly consistent with the soil moisture conditions. In particular, higher values of $H_{\rm R}$ were derived for dry soils. This is consistent with several previous studies over crops and grasslands

(Wigneron et al., 2001; Escorihuela et al., 2007; Saleh et al., 2007). The 723 dependence of $H_{\rm R}$ on soil moisture could be explained by an effect of 724 volume scattering: as the soil dries out, emission originates from 725 deeper layers within the soil. Possibly, the spatial fluctuations of the 726 dielectric constant within the soil volume are strong during drying 727 out, producing an important "dielectric" roughness effect. Therefore, 728 $H_{\rm R}$ could be considered as an effective parameter that accounts for 729 (i) "geometric roughness" effects, in relation with spatial variations in 730 the soil surface height, and (ii) "dielectric roughness" effects in 731 relation with the variation of the dielectric constant at the soil surface 732 and within the soil which can be caused by non-uniformities in the 733 soil characteristics.

In line with these studies, a simple linear regression was used to 735 model the relationship between H_R and soil moisture and the effect of 736 this assumption on the soil moisture retrieval accuracy investigated. 737 The site specific regression coefficients are shown in Table 3 738 ('calibration 3') together with the associated soil moisture retrieval 739 RMSE. An improvement in soil moisture retrieval accuracy was 740 achieved at all sites after calibration of the soil moisture dependence 741 of H_{R_s} . In particular, RMSE was reduced by 11.5%v/v at Cullingral and by 742 4.0%v/v at Pembroke. For Merriwa Park and Illogan the improvement 743 was not significant, indicating that at these sites a fairly accurate soil 744 moisture retrieval is achieved without a soil moisture dependent 745 parameterization of H_{R_x} After calibration of H_{R_x} soil moisture could be 746 retrieved with an accuracy better than 4.8%v/v at all the sites analyzed 747 except Midlothian (RMSE=7.4%v/v). Scatter plots of the retrieved 748 versus ground measured soil moisture after calibration are shown in 749 Fig. 4b.

The coefficients of the regression of $H_{\rm R}$ as a function of soil 751 moisture obtained were quite uniform across the four crop sites. In 752 particular, the slope of the relationship for the different crops was in 753 the range 0.9–1.6, which is close to 1.3 already observed for 754 grasslands by Saleh et al. (2007, #2 ν). The resulting value of $H_{\rm R}$ 755 Q6 decreased on average from 1.3 on very dry soils (5.0% ν / ν) to 0.8 on 756 very wet soils (50.0% ν / ν). At Illogan, low values of both the slope and 757 intercept of the regression were retrieved, resulting in lower and more 758 stable values of $H_{\rm R}$, with respect to soil moisture. A hypothesis was 759 made to relate the slope and intercept of the regression to soil 760 geophysical parameters such as soil texture, standard deviation of 761 surface height (SD) and surface roughness autocorrelation length (LC) 762 available for each site (see Table 1). However, the relative narrow 763 range of surface roughness conditions and soil types available across 764 the four sites makes it difficult to generalize our observations.

5. Summary and conclusions

In this study the L-MEB model, which is core to the future SMOS 767 level 2 processor, was evaluated using L-band passive microwave 768 aircraft observations at 62.5 m resolution over a variety of vegetation 769 covers, soil types and topography under Australian conditions. 770 Unprecedented spatial detail in soil moisture monitoring allowed 771 rigorous validation of the model. This study is unique in that the L- 772 MEB model, developed and tested using tower-mounted radiometers, 773 has never before been applied to aircraft observations over such a 774 large area, and never before on Australian conditions.

Soil moisture was retrieved with an accuracy better than 4.8%v/v 776 on all the surface types considered when using site specific calibration 777 of roughness parameter $H_{\rm R}$. However, the L-MEB default parameter 778 set of vegetation and surface specific parameters was found to be 779 suitable only for grasslands (maximum error 3.7%v/v), with crops 780 errors as high as 32.5%v/v. It was suggested that the L-MEB default 781 values of $H_{\rm R}$ are too low for the vertically dominated crops (wheat and 782 barley) analysed in this study. Moreover, a linear parameterization 783 of $H_{\rm R}$ as a function of soil moisture was necessary to achieve soil 784 with previous results obtained using tower mounted radiometer both 786 with previous results obtained using tower mounted radiometer both 786

values of H_{R_i} are too low for the vertically dominated crops (wheat and 782 barley) analysed in this study. Moreover, a linear parameterization 783 of H_{R_i} as a function of soil moisture was necessary to achieve soil 784 moisture accuracy better than 5.08 V_{R_i} for crop sites. This is consistent 785 with previous results obtained using tower mounted radiometer both 786

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over grasslands and crops in Europe (Wigneron et al., 2001; Saleh et al., 2007), and is also in line with observations over the NAFE'05 study area by an independent study using the EMIRAD radiometer (Saleh, person. comm.). The next step will be that of understanding whether the impact of roughness on the soil moisture retrieval is sensitive to the spatial resolution of observation, and therefore whether it will constitute a problem at the scale of satellite footprints as well, This problem will be addressed in the near future using the NAFE'05 airborne measurements over mixed pixels made at larger scale (about 1 km).

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