# Queue Layouts, Tree-Width, and Three-Dimensional Graph Drawing\*

David R. Wood

School of Computer Science Carleton University Ottawa, Canada davidw@scs.carleton.ca

Abstract. A three-dimensional (straight-line grid) drawing of a graph represents the vertices by points in  $\mathbb{Z}^3$  and the edges by non-crossing line segments. This research is motivated by the following open problem due to Felsner, Liotta, and Wismath [Graph Drawing '01, Lecture Notes in Comput. Sci., 2002]: does every n-vertex planar graph have a threedimensional drawing with O(n) volume? We prove that this question is almost equivalent to an existing one-dimensional graph layout problem. A queue layout consists of a linear order  $\sigma$  of the vertices of a graph, and a partition of the edges into queues, such that no two edges in the same queue are nested with respect to  $\sigma$ . The minimum number of queues in a queue layout of a graph is its queue-number. Let G be an n-vertex member of a proper minor-closed family of graphs (such as a planar graph). We prove that G has a  $O(1) \times O(1) \times O(n)$  drawing if and only if G has O(1) queue-number. Thus the above question is almost equivalent to an open problem of Heath, Leighton, and Rosenberg [SIAM J. Discrete Math., 1992], who ask whether every planar graph has O(1)queue-number? We also present partial solutions to an open problem of Ganley and Heath [Discrete Appl. Math., 2001], who ask whether graphs of bounded tree-width have bounded queue-number? We prove that graphs with bounded path-width, or both bounded tree-width and bounded maximum degree, have bounded queue-number. As a corollary we obtain three-dimensional drawings with optimal O(n) volume, for series-parallel graphs, and graphs with both bounded tree-width and bounded maximum degree.

# 1 Introduction

A celebrated result independently due to de Fraysseix, Pach, and Pollack [6] and Schnyder [27] states that every *n*-vertex planar graph has a (two-dimensional) straight-line grid drawing with  $O(n^2)$  area. Motivated by applications in information visualisation, VLSI circuit design and software engineering, there is a growing body of research in three-dimensional graph drawing (see [12] for example). One might expect that in three dimensions, planar graphs would admit straightline grid drawings with  $o(n^2)$  volume. However, this question has remained an

\* Research supported by NSERC.

<sup>©</sup> Springer-Verlag Berlin Heidelberg 2002

elusive open problem. The main contribution of this paper is to prove that this question of three-dimensional graph drawing is almost equivalent to an existing one-dimensional graph layout problem regarding queue layouts. Furthermore, we establish new relationships between queue-number, tree-width and path-width; and obtain O(n) volume three-dimensional drawings of series-parallel graphs, and graphs with both bounded tree-width and bounded degree.

#### 1.1 Definitions and Notation

Throughout this paper, all graphs G are undirected, simple, connected, and finite with vertex set V(G) and edge set E(G). The number of vertices and maximum degree of G are respectively denoted by n = |V(G)| and  $\Delta(G)$ . For all disjoint subsets  $A, B \subseteq V(G)$ , the bipartite subgraph of G with vertex set  $A \cup B$  and edge set  $\{vw \in E(G) : v \in A, w \in B\}$  is denoted by G[A, B].

A tree-decomposition of a graph G consists of a tree T and a collection  $\{T_x : x \in V(T)\}$  of subsets  $T_x$  (called *bags*) of V(G) indexed by the nodes of T such that:

- $-\bigcup_{x\in V(T)}T_x = V(G),$
- $\forall$  edges  $vw \in E(G)$ ,  $\exists$  node  $x \in V(T)$  such that  $\{v, w\} \subseteq T_x$ , and
- $\forall$  nodes  $x, y, z \in V(T)$ , if y is on the xz-path in T, then  $T_x \cap T_z \subseteq T_y$ .

The width of a tree-decomposition is one less than the maximum size of a bag. A path-decomposition is a tree-decomposition where the tree T is a path. The path-width (respectively, tree-width) of a graph G, denoted by pw(G) (tw(G)), is the minimum width of a path- (tree-) decomposition of G.

### 1.2 Three-Dimensional Straight-Line Grid Drawing

A three-dimensional straight-line grid drawing of a graph, henceforth called a three-dimensional drawing, represents the vertices by distinct points in  $\mathbb{Z}^3$ , and represents each edge as a line-segment between its end-vertices, such that edges only intersect at common end-vertices. In contrast to the case in the plane, it is well known that every graph has a three-dimensional drawing. We therefore are interested in optimising certain measures of the aesthetic quality of a drawing. If a three-dimensional drawing is contained in an axis-aligned box with side lengths X - 1, Y - 1 and Z - 1, then we speak of an  $X \times Y \times Z$  drawing with volume  $X \cdot Y \cdot Z$ . We study three-dimensional drawings with small volume.

Cohen, Eades, Lin, and Ruskey [5] proved that every graph has a threedimensional drawing with  $O(n^3)$  volume, and this bound is asymptotically tight for the complete graph  $K_n$ . Calamoneri and Sterbini [4] proved that every 4colourable graph has a three-dimensional drawing with  $O(n^2)$  volume. Generalising this result, Pach, Thiele, and Tóth [23] proved that every k-colourable graph, for fixed  $k \geq 2$ , has a three-dimensional drawing with  $O(n^2)$  volume, and that this bound is asymptotically optimal for the complete bipartite graph with equal sized bipartitions. The first linear volume bound was established by Felsner, Wismath, and Liotta [14], who proved that every outerplanar graph has a drawing with O(n) volume. Poranen [25] proved that series-parallel digraphs have upward three-dimensional drawings with  $O(n^3)$  volume, and that this bound can be improved to  $O(n^2)$  and O(n) in certain special cases. di Giacomo, Liotta, and Wismath [7] proved that series-parallel graphs with maximum degree three have three-dimensional drawings with O(n) volume. Dujmović, Morin, and Wood [12] proved that every graph G has a three-dimensional drawing with  $O(n \cdot pw(G)^2)$ volume. This implies  $O(n \log^2 n)$  volume drawings for graphs of bounded treewidth, such as series-parallel graphs.

Since a planar graph G is 4-colourable and has  $pw(G) \in O(\sqrt{n})$ , by the above results of Calamoneri and Sterbini [4], Pach *et al.* [23], and Dujmović *et al.* [12], every planar graph has a three-dimensional drawing with  $O(n^2)$  volume. This result also follows from the classical algorithms of de Fraysseix *et al.* [6] and Schnyder [27] for producing plane grid drawings. This paper is motivated by the following open problem due to Felsner *et al.* [14].

**Open Problem 1 ([14]).** Does every planar graph have a three-dimensional drawing with O(n) volume? In fact, any  $o(n^2)$  bound would be of interest.

In this paper we prove that Open Problem 1 is almost equivalent to an existing open problem in the theory of queue layouts.

### 1.3 Queue Layouts

For a graph G, a linear order of V(G) is called a *vertex-ordering* of G. A *queue layout* of G consists of a vertex-ordering  $\sigma$  of G, and a partition of E(G) into *queues*, such that no two edges in the same queue are *nested* with respect to  $\sigma$ . That is, there are no edges vw and xy in a single queue with  $v <_{\sigma} x <_{\sigma} y <_{\sigma} w$ . The minimum number of queues in a queue layout of G is called the *queuenumber* of G, and is denoted by qn(G). A similar concept is that of a *stack layout* (or *book embedding*), which consists of a vertex-ordering of G, and a partition of E(G) into *stacks* (or *pages*) such that there are no edges vw and xy in a single stack with  $v <_{\sigma} x <_{\sigma} w <_{\sigma} y$ . The minimum number of stacks in a stack layout of G is called the *stack-number* (or *page-number*) of G, and is denoted by sn(G).

Motivated by applications in VLSI layout, fault-tolerant processing, parallel processing, matrix computations, and sorting networks, queue layouts have been extensively studied [19, 20, 24, 26, 29]. Heath and Rosenberg [20] characterised graphs admitting 1-queue layouts as the 'arched leveled planar' graphs, and proved that it is NP-complete to recognise such graphs. This result is in contrast to the situation for stack layouts — the graphs admitting 1-stack layouts are precisely the outerplanar graphs, which can be recognised in polynomial time. On the other hand, it is NP-hard to minimise the number of stacks in a stack layout which respects a given vertex-ordering [17]. However the analogous problem for queue layouts can be solved as follows. As illustrated in Fig. 1, a *k*-rainbow



Fig. 1. A rainbow of five edges in a vertex-ordering.

in a vertex-ordering  $\sigma$  consists of a matching  $\{v_i w_i : 1 \leq i \leq k\}$  such that  $v_1 <_{\sigma} v_2 <_{\sigma} \cdots <_{\sigma} v_k <_{\sigma} w_k <_{\sigma} w_{k-1} <_{\sigma} \cdots <_{\sigma} w_1$ .

A vertex-ordering containing a k-rainbow needs at least k queues. A straightforward application of Dilworth's Theorem [9] proves the converse. That is, a fixed vertex-ordering admits a k-queue layout where k is the size of the largest rainbow. (Heath and Rosenberg [20] describe an  $O(m \log \log n)$  time algorithm to compute the queue assignment.) Thus determining qn(G) can be viewed as the following vertex layout problem.

**Lemma 1** ([20]). The queue-number qn(G) of a graph G is the minimum, taken over all vertex-orderings  $\sigma$  of G, of the maximum size of a rainbow in  $\sigma$ .

The relationship between tree-width and stack and queue layouts has previously been studied in [16, 26]. Rengarajan and Veni Madhavan [26] prove that a graph of tree-width at most two (that is, a graph with series-parallel biconnected components [2]) has a 2-stack layout and a 3-queue layout. In the special case of an outerplanar graph a 2-queue layout is constructed. More generally, Ganley and Heath [16] prove that the stack-number  $\operatorname{sn}(G) \leq \operatorname{tw}(G)+1$ , and ask whether a similar relationship holds for the queue-number.

**Open Problem 2** ([16]). Does every graph of bounded tree-width have bounded queue-number?

## 2 Our Results

This paper contributes the following two theorems. The first, proved in Section 3, provides a partial answer to Open Problem 2.

**Theorem 1.** The following classes of graphs have bounded queue-number:

- (1) graphs of bounded path-width, and
- (2) graphs of bounded tree-width and bounded maximum degree.

In particular,  $qn(G) \leq pw(G)$  and  $qn(G) \leq 36 tw(G)\Delta(G)$  for every graph G.

A similar upper bound to (1) is obtained by Heath and Rosenberg [20], who show that every graph G has  $qn(G) \leq \lceil \frac{1}{2}bw(G) \rceil$ , where bw(G) is the bandwidth of G. In many cases this result is weaker than (1) since  $pw(G) \leq bw(G)$  (see [8]). Note that since  $pw(G) \in O(tw(G) \cdot \log n)$  [2], the queue-number  $qn(G) \in O(tw(G) \cdot \log n)$ .

Theorem 2 below relates the volume of a three-dimensional drawing of a graph to its queue-number, and is proved in Section 4. While our motivation is for three-dimensional drawings of planar graphs, the theorem applies to any *proper minor-closed* family of graphs; that is, a graph family which is not the class of all graphs, and is closed under edge-contraction, edge-deletion, and deleting isolated vertices.

**Theorem 2.** Let  $\mathcal{G}$  be a proper minor-closed family of graphs, and let F(n) be a set of functions closed under taking polynomials (for example, O(1) or  $O(\operatorname{polylog} n)$ ). For every graph  $G \in \mathcal{G}$ , G has a  $F(n) \times F(n) \times O(n)$  drawing if and only if G has queue number  $\operatorname{qn}(G) \in F(n)$ .

Graphs with constant queue-number include de Bruijn graphs, FFT and Beneš network graphs [20]. By the above-mentioned result of Rengarajan and Veni Madhavan [26], and since graphs with tree-width at most some constant form a proper minor-closed family, Theorems 1 and 2 together imply the following. Part (2) is proved without using queue layouts in [12].

**Corollary 1.** The following graphs have three-dimensional drawings with O(n) volume:

- (1) de Bruijn graphs, FFT and Beneš network graphs,
- (2) graphs of bounded path-width [12],
- (3) graphs of tree-width at most two (series-parallel graphs), and
- (4) graphs of bounded tree-width and bounded maximum degree.

Corollary 1 improves and/or generalises the above-mentioned results for three-dimensional drawings of outerplanar graphs, series-parallel graphs, and graphs of bounded tree-width in [7, 12, 14, 25]. Note that the algorithm by Felsner *et al.* [14] closely parallels the construction of 2-queue layouts of outerplanar graphs due to Rengarajan and Veni Madhavan [26], both of which are based on breadth-first search, as is one of our proofs to follows.

# 3 Queue Layouts and Tree-Width

In this section we prove Theorem 1. Consider a vertex-ordering  $\sigma$  of a graph G. The vertex cut in  $\sigma$  at a vertex  $v \in V(G)$  is defined to be  $|\{x \in V(G) : \exists xy \in E(G), x \leq_{\sigma} v <_{\sigma} y\}|$ . The vertex separation number of G is the minimum, taken over all vertex-orderings  $\sigma$  of G, of a maximum vertex cut in  $\sigma$ . A k-rainbow in  $\sigma$  implies  $\sigma$  has a vertex cut of size k. Thus the queue-number of a graph is at most its vertex separation number of a graph equals its path-width (see [8]).

**Lemma 2.** Graphs of bounded path-width have bounded queue-number. In particular,  $qn(G) \leq pw(G)$  for every graph G. To establish our next result we employ a structure called a tree-partition [3, 10, 11, 18, 28]. Let G be a graph, let T be a tree, and let  $\{T_x : x \in V(T)\}$  be a partition of V(G) into sets (called *bags*) indexed by the nodes of T. We denote the bag containing a vertex  $v \in V(G)$  by  $T_{\alpha(v)}$ . The pair  $(T, \{T_x\})$  is a *tree-partition* of G if for every edge  $vw \in E(G)$ , either  $\alpha(v) = \alpha(w)$  or  $\alpha(v)\alpha(w) \in E(T)$ . We call vw an *intra-bag* edge if  $\alpha(v) = \alpha(w)$  and an *inter-bag* edge otherwise. The width of the tree-partition is the maximum size of a bag  $T_x$ . The *tree-partition-width* of a graph G, denoted by  $\mathsf{tpw}(G)$ , is the minimum width of a tree-partition of G. Note that tree-partition-width has also been called *strong tree-width* [3, 28].

**Lemma 3.** Graphs of bounded tree-partition-width, which includes graphs of bounded tree-width and bounded maximum degree, have bounded queue-number. In particular,  $qn(G) \leq \frac{3}{2}tpw(G) \leq 36 tw(G)\Delta(G)$  for every graph G.

*Proof.* Let  $(T, \{T_x\})$  be a tree-partition of G with width  $\mathsf{tpw}(G)$ . Let  $\pi$  be a vertex-ordering of T determined by a lexicographical breadth-first-search of T starting from an arbitrary root node. Then no two edges of T are nested in  $\pi$ . (This is why trees have queue-number one.) Also observe that each node  $x \in V(T)$  has at most one incident edge xy with  $y <_{\pi} x$ .

Let  $\sigma$  be a vertex-ordering of G such that  $v <_{\sigma} w$  implies  $\alpha(v) \leq_{\pi} \alpha(w)$ . Suppose edges  $e_1$  and  $e_2$  of G are nested in  $\sigma$ . If  $e_1$  and  $e_2$  are both intra-bag edges then their end-vertices are all in a common bag. Thus there are at most  $\frac{1}{2}$ tpw(G) intra-bag edges in a rainbow of  $\sigma$ . If  $e_1$  and  $e_2$  are both inter-bag edges then the left end-vertex of  $e_1$  and the left end-vertex of  $e_2$  are in a common bag. Thus there are at most tpw(G) inter-bag edges in a rainbow of  $\sigma$ . Therefore a rainbow in  $\sigma$  can have at most  $\frac{3}{2}$ tpw(G) edges.

The result follows from Lemma 1, and since Ding and Oporowski [10] proved that  $tpw(G) \leq 24 tw(G)\Delta(G)$  for every graph G.

Lemmata 2 and 3 establish Theorem 1.

## 4 Queue Layouts and Three-Dimensional Drawings

In this section we prove Theorem 2. Our proof depends on the following structure introduced by Dujmović *et al.* [12]. An *ordered k-layering* of a graph G consists of a partition  $V_1, V_2, \ldots, V_k$  of V(G) into *layers*, and a total order  $<_i$  of each  $V_i$ , such that for every edge vw, if  $v <_i w$  then there is no vertex x with  $v <_i x <_i w$ . The *span* of an edge vw is |i - j| where  $v \in V_i$  and  $w \in V_j$ . An *intra-layer* edge is an edge with zero span. An *X-crossing* consists of two edges vw and xy such that for distinct layers i and j,  $v <_i x$  and  $y <_j w$ . Dujmović *et al.* [12] proved the following (see Fig. 2).

**Lemma 4** ([12]). Let F(n) be a set of functions closed under taking polynomials. Then a graph G has a  $F(n) \times F(n) \times O(n)$  drawing if and only if G has an ordered k-layering with no X-crossing, for some  $k \in F(n)$ . Furthermore, if G has an ordered layering with no X-crossing and maximum edge span s then G has a  $O(s) \times O(s) \times O(n)$  drawing.



Fig. 2. A three-dimensional drawing produced from an ordered layering with no X-crossing; vertices in each layer are placed on a vertical 'rod'.



Fig. 3. An ordered 2-layering and a 1-queue layout of a bipartite graph.

Dujmović *et al.* [12] proved that a graph G has an ordered (pw(G)+1)-layering with no X-crossing. That G has a three-dimensional drawing with  $O(n \cdot pw(G)^2)$ volume follows from Lemma 4. A result of Felsner *et al.* [14] also fits into this framework. To construct three-dimensional drawings of outerplanar graphs with O(n) volume, they proved that such a graph has an ordered layering with no X-crossing and maximum edge span at most one. Note that the plane grid graph, which has  $\Theta(\sqrt{n})$  path-width and tree-width, has an obvious ordered layering with no X-crossing and maximum edge span one. The 'nested triangles' graph which provides an  $\Omega(n^2)$  lower bound on the area of plane grid drawings [6], has an ordered 3-layering with no X-crossing. Thus both of these important examples of planar graphs have three-dimensional drawings with O(n) volume.

Lemma 4 implies that Theorem 2 can be proved if we show that  $qn(G) \in F(n)$ if and only if G has an ordered k-layering with no X-crossing, for some  $k \in F(n)$ . The next lemma highlights the inherent relationship between ordered layerings and queue layouts. Its proof follows immediately from the definitions (see Fig. 3).

**Lemma 5.** A bipartite graph G = (A, B; E) has an ordered 2-layering with no X-crossing and no intra-layer edges if and only if G has a 1-queue layout such that in the corresponding vertex-ordering, the vertices in A appear before the vertices in B.

We now show that a queue layout can be obtained from an ordered layering with no X-crossing. This result can be viewed as a generalisation of the



Fig. 4. Maximum rainbow in a vertex-ordering from an ordered layering.

construction of a 2-queue layout of an outerplanar graph by Rengarajan and Veni Madhavan [26] (with s = 1).

**Lemma 6.** Let G be a graph with an ordered k-layering  $\{(V_i, <_i) : 1 \le i \le k\}$ with no X-crossing and maximum edge span s. Then  $qn(G) \le s+1$ , and if there are no intra-layer edges then  $qn(G) \le s$ .

*Proof.* Let  $\sigma = V_1, \ldots, V_k$ , with each  $V_i$  ordered by  $<_i$ . Let R be the largest rainbow in  $\sigma$ . By Lemma 5, between each pair of layers there is at most one edge in R. A simple inductive argument shows that there is at most s non-intra-layer edges in R; see Fig. 4. No two intra-layer edges are nested in  $\sigma$ . Thus R has at most s + 1 edges. By Lemma 1,  $qn(G) \leq s + 1$ . If there are no intra-layer edges then R has at most s edges and  $qn(G) \leq s$ .

We now prove a converse result to Lemma 6. Consider an ordered k-layering with no X-crossing and no intra-layer edges. It is easily seen that the subgraph induced by two layers is a forest of caterpillars. A slightly smaller family of graphs is a forest of stars. A proper vertex-colouring of a graph is called a *star* colouring if each bichromatic subgraph is a forest of stars; that is, every path on four vertices receives at least three distinct colours. The minimum number of colours in a star colouring of a graph G is called the *star chromatic number* of G, and is denoted by  $\chi_{st}(G)$ . Nešetřil and Ossona de Mendez [22] proved that every planar graph G has  $\chi_{st}(G) \leq 30$ . Many other graph families have bounded star chromatic number, including graphs with bounded maximum degree [1], and graphs with bounded tree-width [15]. In particular, Fertin *et al.* [15] proved that  $\chi_{st}(G) \leq \frac{1}{2} tw(G)(tw(G) + 3) + 1$ . More generally, Nešetřil and Ossona de Mendez [22] proved that G has bounded star chromatic number if and only if G is a member of a proper minor-closed family of graphs. In this case,  $\chi_{st}(G)$  is at most a quadratic function of the maximum chromatic number of a minor of G.

**Lemma 7.** Let G be a graph with star chromatic number  $\chi_{st}(G) \leq c$ , and queuenumber  $qn(G) \leq q$ . Then G has an ordered t-layering with no X-crossing where

$$t \leq c (2(c-1)q+1)^{c-1}$$

**Proof.** Let  $V_1, \ldots, V_c$  be the colour classes of a star colouring of G. Pemmaraju [24] proved that a q-queue graph layout can be 'separated' by a vertex c-colouring to produce a 2(c-1)q-queue layout with the vertices in each colour class consecutive in the vertex-ordering. (The proof is a straightforward application of

Lemma 1.) Applying this result to the given queue layout and star colouring, we obtain a q'-queue layout of G with vertex-ordering  $\sigma = V_1, \ldots, V_c$ , where q' = 2(c-1)q.

For every vertex  $v \in V_i$ ,  $1 \leq i \leq c$ , and  $j \in \{1, \ldots, c\} \setminus \{i\}$ , let  $d_j(v)$  be the degree of v in  $G[V_i, V_j]$ . Define the *j*th *label* of v, denoted by  $\phi_j(v)$ , as follows. If  $d_j(v) \geq 2$  then let  $\phi_j(v) =$ 'r' (v is the root of a star in  $G[V_i, V_j]$ ). If  $d_j(v) = 1$  then let  $\phi_j(v)$  be the queue containing the edge in  $G[V_i, V_j]$  incident to v. If  $d_j(v) = 0$  then let  $\phi_j(v)$  be some arbitrary queue. Let the *label* of  $v \in V_i$ be  $\phi(v) = (\phi_1(v), \ldots, \phi_{i-1}(v), \phi_{i+1}(v), \ldots, \phi_c(v))$ . Let  $S_i$  be the set of possible labels for a vertex in  $V_i$ . Then  $|S_i| = (q'+1)^{c-1}$ .

Now group the vertices with the same colour and the same label. Let  $V_{i,L} = \{v \in V_i : \phi(v) = L\}$  for all labels  $L \in S_i$  and  $1 \le i \le c$ , and consider each  $V_{i,L}$  to be ordered by  $\sigma$ . Thus  $\{V_{i,L} : 1 \le i \le c, L \in S_i\}$  is an ordered layering of G. We denote the *j*th label of  $L \in S_i$  by L[j].

Consider a subgraph  $G[V_{i,P}, V_{j,Q}]$  for some  $1 \leq i < j \leq c$  and labels  $P \in S_i$ and  $Q \in S_j$ . We claim that all edges in  $G[V_{i,P}, V_{j,Q}]$  are in a single queue. If P[j] = 'r' and Q[i] = 'r' then  $G[V_{i,P}, V_{j,Q}]$  has no edges. If P[j] = 'r' and  $Q[i] = q_a$  for some queue  $q_a$ , then all edges in  $G[V_{i,P}, V_{j,Q}]$  are in  $q_a$ . Similarly, if Q[i] = 'r' and  $P[j] = q_a$  for some queue  $q_a$ , then all edges in  $G[V_{i,P}, V_{j,Q}]$ are in  $q_a$ . Finally, consider the case in which  $P[j] = q_a$  and  $Q[i] = q_b$  for some queues  $q_a$  and  $q_b$ . If  $a \neq b$  then there are no edges in  $G[V_{i,P}, V_{j,Q}]$ , and if a = bthen all edges in  $G[V_{i,P}, V_{j,Q}]$  are in queue  $q_a(=q_b)$ . In each case, all edges in  $G[V_{i,P}, V_{j,Q}]$  are in a single queue. By Lemma 5,  $V_{i,P}$  and  $V_{j,Q}$  form an ordered 2-layering of  $G[V_{i,P}, V_{j,Q}]$  with no X-crossing. In general,  $\{V_{i,L} : 1 \leq i \leq c, L \in S_i\}$  is an ordered layering of G with no X-crossing. The number of layers is  $c(q'+1)^{c-1} = c(2(c-1)q+1)^{c-1}$ .

Lemmata 4, 6 and 7 together with the result of Nešetřil and Ossona de Mendez [22] establish Theorem 2.

# 5 Conclusion

Theorem 2 implies that a planar graph has a three-dimensional drawing with O(n) volume if it has O(1) queue-number. Thus an affirmative answer to the following open problem due to Heath *et al.* [19] would solve Open Problem 1. In fact, the two problems are almost equivalent. It is possible, however, that a planar graph has non-constant queue-number, yet has say a  $O(n^{1/3}) \times O(n^{1/3}) \times O(n^{1/3})$  drawing.

**Open Problem 3 ([19, 20]).** Does every planar graph have O(1) queue-number?

In 1992, Heath and Rosenberg [20] and Heath *et al.* [19] conjectured that every planar graph *does* have O(1) queue-number. More recently, Pemmaraju [24] provided 'evidence' that the planar graph obtained by repeated stellation of  $K_3$  (that is, by adding a degree three vertex to every face) has non-constant queue-number. This graph does have  $O(\log n)$  queue-number [24]. Pemmaraju [24] and Heath [private communication, 2002] conjecture that every planar graph has  $O(\log n)$  queue-number. By Theorem 2, this would imply that every planar graph has a three-dimensional drawing with  $O(n \operatorname{polylog} n)$  volume. Note that if the stellated  $K_3$  graph, which has tree-width three, has non-constant queue-number then Open Problem 2 would also have a negative answer [16].

The best known upper bound on the queue-number of a planar graph is  $O(\sqrt{n})$ , which follows from Lemma 2 and the fact that the path-width of a planar graph is  $O(\sqrt{n})$  (see [2]). This result can also be proved using a variant of the randomised algorithm of Malitz [21] (see [19]), or the derandomised algorithm of Shahrokhi and Shi [29].

As a final word, we estimate the constants in the O(n) volume bound of Corollary 1. Take a graph G with bounded tree-width  $\mathsf{tw}(G) \leq k$  and bounded maximum degree  $\Delta(G) \leq d$ . Then  $\chi_{\mathrm{st}}(G) \leq \frac{1}{2}k^2 + o(k^2)$  [15] and  $\mathsf{qn}(G) \leq 36kd$ by Lemma 3. By Lemma 7, G has an ordered layering with no X-crossing and approximately  $k^2(36k^3d)^{k^2/2}$  layers. By Lemma 4, G has a three-dimensional drawing with approximately  $O(k^4(36k^3d)^{k^2} \cdot n)$  volume. As another example, a series-parallel graph G has  $\mathsf{tw}(G) \leq 2$  [2],  $\mathsf{qn}(G) \leq 3$  [26], and  $\chi_{\mathrm{st}}(G) \leq 6$  [15]. By Lemma 7, G has an ordered layering with no X-crossing and at most  $6 \cdot 31^5$ layers. By Lemma 4, the constant in the O(n) volume bound of Corollary 1 for series-parallel graphs is at least  $36 \cdot 31^{10} \approx 2.9 \times 10^{16}$ . It is an interesting open problem to construct linear volume three-dimensional drawings with a smaller constant in the O(n) volume bound.

### Acknowledgements

Thanks to Prosenjit Bose, Jurek Czyzowicz, Hubert de Fraysseiz, Patrice Ossona de Mendez, and Pat Morin for helpful discussions.

Note added in proof: Dujmović and Wood [13] recently solved Open Problem 2. That is, graphs of bounded tree-width have bounded queue-number, and hence have three-dimensional drawings with linear volume.

## References

- N. ALON, C. MCDIARMID, AND B. REED, Acyclic coloring of graphs. Random Structures Algorithms, 2(3):277–288, 1991.
- [2] H. L. BODLAENDER, A partial k-arboretum of graphs with bounded treewidth. *Theoret. Comput. Sci.*, 209(1-2):1–45, 1998.
- [3] H. L. BODLAENDER AND J. ENGELFRIET, Domino treewidth. J. Algorithms, 24(1):94–123, 1997.
- [4] T. CALAMONERI AND A. STERBINI, 3D straight-line grid drawing of 4-colorable graphs. Inform. Process. Lett., 63(2):97–102, 1997.
- [5] R. F. COHEN, P. EADES, T. LIN, AND F. RUSKEY, Three-dimensional graph drawing. Algorithmica, 17(2):199–208, 1996.

- [6] H. DE FRAYSSEIX, J. PACH, AND R. POLLACK, How to draw a planar graph on a grid. Combinatorica, 10(1):41–51, 1990.
- [7] E. DI GIACOMO, G. LIOTTA, AND S. WISMATH, Drawing series-parallel graphs on a box. In S. WISMATH, ed., Proc. 14th Canadian Conf. on Computational Geometry (CCCG '02), The University of Lethbridge, Canada, 2002.
- [8] J. DÍAZ, J. PETIT, AND M. SERNA, A survey of graph layout problems. ACM Comput. Surveys, to appear.
- [9] R. P. DILWORTH, A decomposition theorem for partially ordered sets. Ann. of Math. (2), 51:161–166, 1950.
- [10] G. DING AND B. OPOROWSKI, Some results on tree decomposition of graphs. J. Graph Theory, 20(4):481–499, 1995.
- [11] G. DING AND B. OPOROWSKI, On tree-partitions of graphs. Discrete Math., 149(1-3):45–58, 1996.
- [12] V. DUJMOVIĆ, P. MORIN, AND D. R. WOOD, Path-width and three-dimensional straight-line grid drawings of graphs. In M. GOODRICH, ed., Proc. 10th International Symp. on Graph Drawing (GD '02), Lecture Notes in Comput. Sci., Springer, to appear.
- [13] V. DUJMOVIĆ AND D. R. WOOD, Tree-partitions of k-trees with applications in graph layout. Tech. Rep. TR-02-03, School of Computer Science, Carleton University, Ottawa, Canada, 2002.
- [14] S. FELSNER, S. WISMATH, AND G. LIOTTA, Straight-line drawings on restricted integer grids in two and three dimensions. In P. MUTZEL, M. JÜNGER, AND S. LEIPERT, eds., Proc. 9th International Symp. on Graph Drawing (GD '01), vol. 2265 of Lecture Notes in Comput. Sci., pp. 328–342, Springer, 2002.
- [15] G. FERTIN, A. RASPAUD, AND B. REED, On star coloring of graphs. In A. BRANSTÄDT AND V. B. LE, eds., Proc. 27th International Workshop on Graph-Theoretic Concepts in Computer Science (WG '01), vol. 2204 of Lecture Notes in Comput. Sci., pp. 140–153, Springer, 2001.
- [16] J. L. GANLEY AND L. S. HEATH, The pagenumber of k-trees is O(k). Discrete Appl. Math., 109(3):215–221, 2001.
- [17] M. R. GAREY, D. S. JOHNSON, G. L. MILLER, AND C. H. PAPADIMITRIOU, The complexity of coloring circular arcs and chords. SIAM J. Algebraic Discrete Methods, 1(2):216–227, 1980.
- [18] R. HALIN, Tree-partitions of infinite graphs. Discrete Math., 97:203–217, 1991.
- [19] L. S. HEATH, F. T. LEIGHTON, AND A. L. ROSENBERG, Comparing queues and stacks as mechanisms for laying out graphs. *SIAM J. Discrete Math.*, 5(3):398– 412, 1992.
- [20] L. S. HEATH AND A. L. ROSENBERG, Laying out graphs using queues. SIAM J. Comput., 21(5):927–958, 1992.
- [21] S. M. MALITZ, Graphs with E edges have pagenumber  $O(\sqrt{E})$ . J. Algorithms, **17(1)**:71–84, 1994.
- [22] J. NEŠETŘIL AND P. OSSONA DE MENDEZ, Colorings and homomorphisms of minor closed classes. Tech. Rep. 2001-025, Institut Teoretické Informatiky, Universita Karlova v Praze, Czech Republic, 2001.
- [23] J. PACH, T. THIELE, AND G. TÓTH, Three-dimensional grid drawings of graphs. In G. DI BATTISTA, ed., Proc. 5th International Symp. on Graph Drawing (GD '97), vol. 1353 of Lecture Notes in Comput. Sci., pp. 47–51, Springer, 1998.
- [24] S. V. PEMMARAJU, Exploring the Powers of Stacks and Queues via Graph Layouts. Ph.D. thesis, Virginia Polytechnic Institute and State University, Virginia, U.S.A., 1992.

- [25] T. PORANEN, A new algorithm for drawing series-parallel digraphs in 3D. Tech. Rep. A-2000-16, Dept. of Computer and Information Sciences, University of Tampere, Finland, 2000.
- [26] S. RENGARAJAN AND C. E. VENI MADHAVAN, Stack and queue number of 2trees. In D. DING-ZHU AND L. MING, eds., Proc. 1st Annual International Conf. on Computing and Combinatorics (COCOON '95), vol. 959 of Lecture Notes in Comput. Sci., pp. 203–212, Springer, 1995.
- [27] W. SCHNYDER, Planar graphs and poset dimension. Order, 5(4):323-343, 1989.
- [28] D. SEESE, Tree-partite graphs and the complexity of algorithms. In L. BUDACH, ed., Proc. International Conf. on Fundamentals of Computation Theory, vol. 199 of Lecture Notes in Comput. Sci., pp. 412–421, Springer, 1985.
- [29] F. SHAHROKHI AND W. SHI, On crossing sets, disjoint sets, and pagenumber. J. Algorithms, 34(1):40–53, 2000.