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# Degree constrained book embeddings <sup>☆</sup>

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#### Abstract

A *book embedding* of a graph consists of a linear ordering of the vertices along a line in 3-space (the *spine*), and an assignment of edges to half-planes with the spine as boundary (the *pages*), so that edges assigned to the same page can be drawn on that page without crossings. Given a graph G = (V, E), let  $f: V \to \mathbb{N}$  be a function such that  $1 \leq f(v) \leq \deg(v)$ . We present a Las Vegas algorithm which produces a book embedding of G with  $O(\sqrt{|E| \cdot \max_v \lceil \deg(v)/f(v) \rceil})$  pages, such that at most f(v) edges incident to a vertex v are on a single page. This result generalises that of Malitz [*J. Algorithms* 17 (1) (1994) 71–84].

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## 1. Introduction

This paper describes a Las Vegas algorithm for producing a book embedding of a graph, given constraints on the number of edges incident to each vertex which can be assigned to a single page. All graphs are undirected and simple. We denote

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the number of vertices of a graph G = (V, E) by n = |V|, the number of edges of G by m = |E|, and the maximum degree of G by  $\Delta(G)$ , or  $\Delta$  if the graph in question is clear.

Book embeddings, first introduced by Bernhart and Kainen [1], are a graph layout style with numerous applications (see [4]). A *book* consists of a line in 3-space, called the *spine*, and a number of *pages*, each a half-plane with the spine as boundary. A *book embedding*  $(\pi, \rho)$  of a graph consists of a linear ordering  $\pi$  of the vertices, called the *spine ordering*, along the spine of a book and an assignment  $\rho$  of edges to pages so that edges assigned to the same page can be drawn on that page without crossings. That is, for any two edges vw and xy, if  $v <_{\pi} x <_{\pi} w <_{\pi} y$  then  $\rho(vw) \neq \rho(xy)$ . The *book thickness* or *page number* of a graph G is the minimum number of pages in a book embedding of G.

Determining the book thickness of a graph is  $\mathcal{NP}$ -hard, even with a fixed spine ordering [11]. A number of results establish upper bounds on the book thickness of certain classes of graphs [1,6,7,10,18], such as the celebrated theorem of Yannakakis [23] that every planar graph has book thickness at most four. For graphs with genus  $\gamma$ , Malitz [14] proved that the book thickness is  $O(\sqrt{\gamma})$ . Since  $\gamma \leq m$ , the book thickness is  $O(\sqrt{m})$ , a result proved independently by the same author [15]. While the proofs of Malitz are probabilistic, Shahrokhi and Shi [20] describe a deterministic algorithm, which given a vertex *k*-colouring of a graph *G*, computes a book embedding of *G* with  $O(\sqrt{km})$  pages.

Note that a book embedding may assign all of the edges incident to a vertex to a single page. In this paper we study book embeddings where the number of edges incident to a vertex on a single page is constrained. (A similar approach is taken for the graph-theoretic thickness by Bose and Prabhu [3], and for edge colouring by Hakimi and Kariv [12].) We define the *page degree* of a vertex v in a particular book embedding to be the maximum number of edges incident to v on a single page. A *constraint function* of a graph G = (V, E) is a function  $f : V \to \mathbb{N}$  such that  $1 \leq f(v) \leq \deg(v)$  for all vertices  $v \in V$ . For some constraint function f of G, a *degree-f* book embedding of G is one in which the page degree of every vertex v is at most f(v). If for all vertices  $v \in V$ , f(v) = c for some constant c, a degree-f book embedding is simply called a *degree-c* book embedding.

Galil, et al. [8,9] refer to a graph which admits a degree-1 book embedding with *k* pages as a *k-pushdown* graph. Motivated by problems in computational complexity, they established lower bounds on the size of a separator in 3pushdown graphs. Implicit in the work of Biedl, et al. [2] is a degree-1 book embedding of the complete graph  $K_n$  with *n* pages. In this paper we consider the following problem: given a graph G = (V, E) and an arbitrary constraint function *f* of *G*, produce a degree-*f* book embedding of *G* with few pages. Define

$$Q_f(G) = \max_{v \in V} \left\lceil \frac{\deg(v)}{f(v)} \right\rceil.$$

Obviously  $Q_f(G)$  is a lower bound on the number of pages in a degree-f book embedding of G.

Consider the following naive method to produce a degree-f book embedding of a graph G = (V, E). Take a book embedding of G with pages labeled  $\{1, 2, ..., P\}$ , and construct an auxiliary graph H with vertex-set  $V \times \{1, 2, ..., P\}$  and an edge  $\{(v, i), (w, i)\}$  for each edge  $vw \in E$  assigned to page i. Then apply Theorem 3 of Hakimi and Kariv [12] to determine a (non-proper) edge-colouring of H with at most f(v) edges incident to each vertex (v, i) of H, and with at most  $Q_f(G) + 1$  colours. Combining this edge colouring with the original book embedding gives a degree-f book embedding of G with at most  $P \cdot (Q_f(G) + 1)$  pages. If for instance the original book embedding of G is determined by the above-mentioned algorithm of Malitz [15] then the number of pages in the degree-f book embedding is  $O(\sqrt{m} Q_f(G))$ . In this paper we establish the following result.

**Theorem 1.** Let f be a constraint function of a connected graph G = (V, E) with m edges. Then there exists a degree- f book embedding of G with  $O(\sqrt{m Q_f(G)})$  pages.

Thus our result represents an improvement over the naive method by a factor of  $\Omega(\sqrt{Q_f(G)})$ . Theorem 1, and its proof, generalises the above-mentioned bound of  $O(\sqrt{m})$  on the book thickness due to Malitz [15], which in turn is based on ideas of Chung, et al. [4]. In particular we describe a Las Vegas algorithm which, with high probability, determines the desired degree-*f* book embedding in  $O(m \log^2 n \log \log m)$  time. See [17] for information about Las Vegas algorithms. Note that Theorem 1 has recently been applied to produce multilayer VLSI constructions with improved volume bounds [22].

#### 2. Preliminary results

The following definitions are from [15]. A 2-coloured bipartite graph is a bipartite graph  $G = (V_L, V_R; E)$  whose vertices have been coloured LEFT and RIGHT such that adjacent vertices are coloured differently. For some edge  $e \in E$ , L(e) refers to the end-vertex of e in  $V_L$ , and R(e) refers to the end-vertex of e in  $V_R$ . A canonical ordering of a 2-coloured bipartite graph  $G = (V_L, V_R; E)$  is a linear ordering of the vertices of G such that all LEFT vertices precede all RIGHT vertices.

Let  $\pi$  be a canonical ordering of a 2-coloured bipartite graph  $G = (V_L, V_R; E)$ . Two edges vw and xy are said to *cross* if  $v <_{\pi} x <_{\pi} w <_{\pi} y$ . Two edges are *disjoint* if they have no common endpoint and they do not cross. Two edges *intersect* if they have a common endpoint or they cross. For (traditional) book embeddings the number of pairwise crossing edges provides a lower bound on the

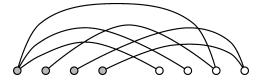


Fig. 1. A completely intersecting canonical ordering of a graph.

number of pages, whereas for degree-1 book embeddings the number of pairwise intersecting edges plays the same role. *G* is *completely intersecting* with respect to  $\pi$  if *E* can be labeled  $e_1, e_2, \ldots, e_k$  such that

$$L(e_1) \leq_{\pi} L(e_2) \leq_{\pi} \dots \leq_{\pi} L(e_k) \quad \text{and} \\ R(e_1) \leq_{\pi} R(e_2) \leq_{\pi} \dots \leq_{\pi} R(e_k).$$

Intuitively, G is completely intersecting with respect to  $\pi$ , if in a degree-1 book embedding with  $\pi$  as the spine ordering, every edge must be placed on a unique page, as illustrated in Fig. 1.

**Lemma 1.** If a 2-coloured bipartite graph G is completely intersecting with respect to some canonical ordering then G is a forest.

**Proof.** Let  $\pi$  be a canonical ordering of *G*. Suppose to the contrary that *G* is not a forest and *G* is completely intersecting with respect to  $\pi$ . Then *G* contains a cycle  $(v_1, w_1, v_2, w_2, \ldots, v_k, w_k, v_{k+1})$  with  $v_1 = v_{k+1}$  for some  $k \ge 2$ . Without loss of generality we can assume that  $v_1$  is the leftmost vertex. We proceed by induction on *i* with the following induction hypothesis: "for every  $i \ge 1$ ,  $v_i <_{\pi} v_{i+1}$  and  $w_i <_{\pi} w_{i+1}$ ."

To prove the basis of the induction, observe that if  $w_2 <_{\pi} w_1$  then  $v_1 w_1$ does not intersect  $v_2 w_2$ ; hence  $w_1 <_{\pi} w_2$ . By our initial assumption,  $v_1 <_{\pi} v_2$ . Suppose that  $v_1 <_{\pi} \cdots <_{\pi} v_i$  and  $w_1 <_{\pi} \cdots <_{\pi} w_i$ . If  $v_{i+1} <_{\pi} v_i$  then  $v_{i+1} w_i$ does not intersect  $v_i w_{i-1}$ ; thus  $v_i <_{\pi} v_{i+1}$ . If  $w_{i+1} <_{\pi} w_i$  then  $v_i w_i$  does not intersect  $v_{i+1} w_{i+1}$ ; thus  $w_i <_{\pi} w_{i+1}$ . Therefore the inductive hypothesis holds, which is a contradiction as it implies that  $v_1 <_{\pi} v_{k+1}$  and  $v_1 = v_{k+1}$ .  $\Box$ 

Note that Lemma 1 can be strengthened to say a completely intersecting graph is a forest of caterpillars. The next lemma for completely intersecting sets of edges, is the analogue of Lemma 2.2 in [15] for completely crossing sets of edges. Generalising a result of Tarjan [21], it says that book thickness can be determined efficiently if the spine ordering is a canonical ordering of a bipartite graph.

**Lemma 2.** Let  $\pi$  be a given canonical ordering of a 2-coloured bipartite graph  $G = (V_L, V_R; E)$  with m edges and n vertices. If at most k edges are completely intersecting with respect to  $\pi$ , then a k-page degree-1 book embedding of G with spine ordering  $\pi$  can be determined in  $O(m \log \log n)$  time.

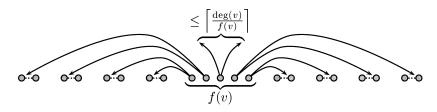


Fig. 2. Constructing  $\pi_f$ .

**Proof.** Define a poset  $(E, \preceq)$  as follows. For all  $e_1, e_2 \in E$  let

$$e_1 \leq e_2 \stackrel{\text{def}}{=} e_1 = e_2 \quad \text{or} \quad \left( L(e_2) <_{\pi} L(e_1) \quad \text{and} \quad R(e_1) <_{\pi} R(e_2) \right)$$

It is a simple exercise to check that  $\leq$  is reflexive, transitive and antisymmetric, and thus is a partial order. Two edges are incomparable under  $\leq$  if and only if they intersect. Thus an antichain is a completely intersecting set of edges, and a chain is a set of pairwise disjoint edges. By Dilworth's Theorem [5] there is a decomposition of *E* into *k* chains where *k* is the size of the largest antichain. That is, there is a *k*-page degree-1 book embedding of *G* with spine ordering  $\pi$ . The time complexity can be achieved using a dual form of the algorithm by Heath and Rosenberg [13, Theorem 2.3].  $\Box$ 

Note that an equivalent result to Lemma 2 with a more lengthy proof is given by Malucelli and Nicoloso [16]. To enable Lemma 2 to be extended to degree-fbook embeddings, consider the following construction. Let  $\pi$  be a linear ordering of the vertices of a graph G = (V, E), and let f be a constraint function of G. We define a graph  $G_{\pi,f}$  and a linear ordering  $\pi_f$  of  $G_{\pi,f}$  as follows (see Fig. 2). For each vertex  $v \in V$ , replace v by f(v) consecutive vertices in  $\pi_f$ , which we call *sub-vertices* of v. Let  $\alpha_v$  and  $\beta_v$  be the unique integers such that

$$\alpha_v + \beta_v = f(v)$$
 and  $\alpha_v \left[\frac{\deg(v)}{f(v)}\right] + \beta_v \left\lfloor\frac{\deg(v)}{f(v)}\right\rfloor = \deg(v).$ 

To each of the  $\alpha_v$  leftmost sub-vertices of v, connect  $\lceil \deg(v)/f(v) \rceil$  edges incident to v, and to each of the  $\beta_v$  rightmost sub-vertices of v, connect  $\lfloor \deg(v)/f(v) \rfloor$  edges incident to v, such that no two edges cross. Note that the choice of sub-vertices which are incident to  $\lceil \deg(v)/f(v) \rceil$  or  $\lfloor \deg(v)/f(v) \rfloor$  edges is not important. We simply want  $G_{\pi,f}$  to be uniquely determined by  $\pi$  and f.

**Lemma 3.** Let f be a constraint function, and let  $\pi$  be a given canonical ordering of a 2-coloured bipartite graph  $G = (V_L, V_R; E)$  with m edges and n vertices. If at most k edges of  $G_{\pi,f}$  are completely intersecting with respect to  $\pi_f$ , then a k-page degree-f book embedding of G with spine ordering  $\pi$  can be determined in  $O(m \log \log(\sum_v f(v)))$  time.

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**Proof.** Apply Lemma 2 to  $G_{\pi,f}$  with spine ordering  $\pi_f$ , to obtain a degree-1 book embedding  $(\pi_f, \rho)$  of  $G_{\pi,f}$  with at most k pages. In  $(\pi_f, \rho)$ , the page degree of a sub-vertex is at most one. Thus, in the book embedding  $(\pi, \rho)$  of G, the page degree of v is at most f(v); that is,  $(\pi, \rho)$  is a degree-f book embedding of G. The time bound follows from Lemma 2 and that  $G_{\pi,f}$  has  $\sum_v f(v)$  vertices.  $\Box$ 

#### 3. Main result

To prove Theorem 1 we will need the following lemma.

**Lemma 4.** Let f be a constraint function and let  $\pi$  be a random canonical ordering of a 2-coloured forest  $T = (V_L, V_R; E)$  with  $n = |V_L \cup V_R|$  vertices and m = |E| edges. The probability that  $T_{\pi, f}$  is completely intersecting with respect to  $\pi_f$  is at most

$$\frac{2^n \left(Q_f(T)\right)^m}{m!}$$

**Proof.** The probability that  $T_{\pi,f}$  is completely intersecting with respect to  $\pi_f$  is the number of canonical orderings  $\pi$  of T for which  $T_{\pi,f}$  is completely intersecting with respect to  $\pi_f$ , divided by the number of canonical orderings of T. If  $T_{\pi,f}$  is completely intersecting with respect to  $\pi_f$  then all edges incident to a vertex v must be incident to the same sub-vertex of v in  $\pi_f$ , and thus, T is completely intersecting with respect to  $\pi$ . (Note that this implies that  $\Delta(T) \leq Q_f(T)$ .) Thus, the desired probability is at most the number of canonical orderings  $\pi$  of T in which T is completely intersecting, divided by the number of canonical orderings of T.

We first bound the number of canonical orderings of *T* for which *T* is completely intersecting. Initially suppose *T* is connected; that is, n = m + 1. For some fixed ordering  $(v_1, v_2, ..., v_l)$  of  $V_L$ , an ordering of  $V_R$  which makes *T* completely intersecting must be of the form

$$\{R(e): v_1 \in e\}, \{R(e): v_2 \in e\}, \dots, \{R(e): v_l \in e\}.$$

Similarly, if  $(w_1, w_2, ..., w_r)$  is a fixed ordering of  $V_R$ , then an ordering of  $V_L$  which makes T completely intersecting must be of the form

$$\{L(e): w_1 \in e\}, \{L(e): w_2 \in e\}, \dots, \{L(e): w_l \in e\}.$$

The vertices within each set  $\{R(e): v_i \in e\}$  and  $\{L(e): w_i \in e\}$  possibly can be permuted. Thus the number of canonical orderings of *T* which are completely intersecting is at most  $\prod_x \deg_T(x)!$ .

We claim that  $\prod_x \deg_T(x)! \leq \Delta(T)^m$ . To prove this claim, we proceed by induction on *m*. The basis of the induction with m = 1 is trivial. Suppose for all

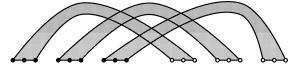


Fig. 3. Completely intersecting canonical ordering of the connected components of T.

connected trees T' = (V', E') with |E'| < m that  $\prod_{x \in V'} \deg_{T'}(x)! \leq \Delta(T')^{|E'|}$ . Let v be a leaf of T incident to the edge vw. Let  $T' = (V', E') = T \setminus \{vw\}$ . Since  $\deg_{T'}(w) = \deg_T(w) - 1$ , and by the inductive hypothesis applied to T',

$$\prod_{x \in V} \deg(x)! = \deg(w) \prod_{x \in V'} \deg_{T'}(x) \leqslant \deg(w) \cdot \Delta(T')^{m-1} \leqslant \Delta(T)^m.$$
(1)

Thus the claim is proved.

Now suppose *T* is disconnected. Then *T* has n - m connected components. Let  $E_1, E_2, \ldots, E_{n-m}$  be the edge sets of the connected components of *T*. For *T* to be completely intersecting, the LEFT vertices in each connected component must be consecutive in the ordering, and similarly for the RIGHT vertices. Within  $V_L$ , the components can be ordered (n - m)! different ways. For a fixed ordering of the connected components of  $V_L$ , for *T* to be completely intersecting, the components of  $V_R$  must be ordered the same way, as illustrated in Fig. 3.

By (1), the number of canonical orderings which are completely intersecting is at most

$$(n-m)!\prod_{i=1}^{n-m}\Delta(T)^{|E_i|} \leq (n-m)!\Delta(T)^m.$$

The number of canonical orderings of T is  $|V_L|! \cdot |V_R|!$ . Thus, the probability that a random canonical ordering of T is completely intersecting is at most

$$\frac{(n-m)!\Delta(T)^m}{|V_L|!\cdot|V_R|!} \leqslant \frac{(n-m)!\Delta(T)^m}{\left\lceil \frac{n}{2} \right\rceil! \left\lfloor \frac{n}{2} \right\rfloor!} \leqslant \frac{2^n (n-m)!\Delta(T)^m}{n!} \leqslant \frac{2^n \Delta(T)^m}{m!},$$

where the final three inequalities follow from well-known and easily proved facts concerning factorials. The result holds, since as noted earlier  $\Delta(T) \leq Q_f(T)$ .  $\Box$ 

**Proof of Theorem 1.** Let n' = |V|, and denote  $Q_f(G)$  by Q. Since G is connected,  $m \ge n' - 1$ . If m = n' - 1 then G is a tree. By considering a preorder traversal of G, it is easily seen that G has a book embedding  $(\pi, \rho)$  with one page [4]. The graph  $G_{\pi,f}$  is a forest with maximum degree Q, and thus has a edge-colouring  $\chi$  with Q colours. A book embedding  $(\pi, \chi)$  of G is a degree-f book embedding of G with  $Q \le \sqrt{\Delta Q} \le \sqrt{m Q}$  pages. Thus the result is proved for trees.

Now assume  $m \ge n'$ . Let  $n = 2^{\lceil \log n' \rceil}$ , and add n - n' isolated vertices to *G*. (Unless stated otherwise all logarithms are base 2.) *G* now has *n* vertices, with *n* a power of 2. Clearly,  $n \le 2n'$ , and  $n \le 2m$ .

Let  $\pi$  be a random linear ordering of *V*. For each *j*,  $1 \le j \le \log n$ , divide the linear ordering  $\pi$  into  $2^j$  sections each with the same number of vertices, and label the sections from left to right *L*, *R*, *L*, *R*, etc. The edges whose endpoints are in adjacent *L*–*R* sections (but not adjacent *R*–*L* sections) are called *j*-*level* edges. Note that every edge of *G* appears in a unique level, and edges in adjacent *L*–*R* sections in some *j*-level are canonically ordered by  $\pi$ .

For each j,  $1 \le j \le \log n$ , let  $A_k^j$  be the event that there exists a k-edge 2-coloured subgraph T of G such that:

- T consists solely of *j*-level edges,
- T is canonically ordered with respect to  $\pi$ , and
- $T_{\pi,f}$  is completely intersecting with respect to  $\pi_f$ .

By Lemma 1, such a subgraph T is a forest. The probability that  $A_k^J$  occurs

$$\mathbf{P}\left\{A_{k}^{j}\right\} < \underbrace{\binom{m}{k} 2^{k} \cdot 2^{j-1}}_{(1)} \cdot \underbrace{\binom{n}{2^{j}}}_{(2)} \underbrace{\binom{n}{2^{j}}}_{(3)} \underbrace{\frac{l!r!(n-l-r)!}{n!}}_{(3)} \cdot \underbrace{\frac{2^{l+r}Q^{k}}{k!}}_{(4)},$$

where:

- (1) is an upper bound on the number of *k*-edge 2-coloured forests *T* with no isolated vertices (since a bipartite graph with *k* connected components has  $2^k$  vertex 2-colourings);
- (2) is the number of pairs of adjacent L-R sections in the *j*-level;
- (3) is an upper bound on the probability that π canonically orders T in the fixed pair of adjacent *j*-level sections, where T has *l* LEFT vertices and r RIGHT vertices; and
- (4) is the probability that *T* is completely intersecting, by Lemma 4 and since Q<sub>f</sub>(T) ≤ Q.

Since 
$$\binom{a}{b} \leq \frac{a^b}{b!}$$
,

$$\mathbf{P}\left\{A_{k}^{j}\right\} < \frac{(2m)^{k}}{k!} \cdot 2^{j-1} \cdot \left(\frac{n}{2^{j}}\right)^{l+r} \frac{(n-l-r)!}{n!} \cdot \frac{2^{l+r}Q^{k}}{k!}.$$

The special case of n = l + r can be handled easily. We henceforth assume l + r < n. The version of Stirling's formula due to Robbins [19] states that for all  $n \ge 1$ ,  $n! = \sqrt{2\pi n} (n/e)^n e^{r_n}$ , where  $1/(12n + 1) < r_n < 1/(12n)$  and e is the base of the natural logarithm. Thus,

$$\begin{split} \mathbf{P}\left\{A_k^j\right\} &< (2m)^k \cdot 2^{j-1} \cdot \left(\frac{n}{2^j}\right)^{l+r} \sqrt{\frac{n-l-r}{n}} \left(\frac{n-l-r}{e}\right)^{n-l-r} \left(\frac{e}{n}\right)^r \\ & \cdot \frac{2^{l+r} Q^k e^{2k} r}{k^{2k+1}}, \end{split}$$

where the error term  $r = e^{1/12(n-l-r)}e^{-1/(12n+1)}e^{-2/(12k+1)} < e^4$ .

Now, n - l - r < n. By elementary properties of a forest,  $k + 1 \le l + r \le 2k$ . Since  $l + r \le 2n/2^j$ , we have  $k \le n/2^{j-1}$ , and hence  $2^{j-1} \le n/k \le 2m/k$ . Thus,

$$\begin{split} \mathbf{P} \Big\{ A_k^j \Big\} &< (2m)^{k+1} \cdot \left(\frac{1}{2^j}\right)^{k+1} n^{(l+r) + (n-l-r) - n} \cdot \mathrm{e}^{-(n-l-r) + n + 2k + 4} \\ & \cdot \frac{2^{2k} Q^k}{k^{2(k+1)}} \\ &< \left(\frac{8\mathrm{e}^4 m Q}{2^j k^2}\right)^{k+1}. \end{split}$$

Define  $k_j = 4e^2 \sqrt{mQ/2^j}$ . Since  $m \ge n/2$  and  $Q \ge 1$ ,

$$\mathbf{P}\left\{A_{k_{j}}^{j}\right\} < \left(\frac{1}{2}\right)^{1+4\mathrm{e}^{2}\sqrt{mQ/2^{j}}} < \frac{1}{2}\left(\frac{1}{2}\right)^{2\sqrt{2}\mathrm{e}^{2}\sqrt{n/2^{j}}}.$$

Consider the event that  $A_{k_i}^j$  occurs for some  $j, 1 \le j \le \log n$ .

$$\mathbf{P}\left\{\bigcup_{j=1}^{\log n} A_{k_j}^j\right\} < \frac{1}{2}\sum_{j=1}^{\log n} \left(\frac{1}{2}\right)^{2\sqrt{2}e^2\sqrt{n/2^j}}.$$

By induction on N, the following can be easily proved.

$$\forall a > 1, \ \forall b \ge \frac{1 - \log_a(a - 1)}{\sqrt{2} - 1}, \quad \sum_{j=1}^N \left(\frac{1}{a}\right)^{b\sqrt{2^{N-j}}} < \left(\frac{1}{a}\right)^{b-1}.$$

Applying this fact with  $N = \log n$ , a = 2 and  $b = 2\sqrt{2}e^2 > 1/(\sqrt{2}-1)$ ,

$$\mathbf{P}\left\{\bigcup_{j=1}^{\log n} A_{k_j}^j\right\} < \frac{1}{2} \left(\frac{1}{2}\right)^{2\sqrt{2}e^2 - 1} = \left(\frac{1}{2}\right)^{2\sqrt{2}e^2}$$

Thus,

$$\mathbf{P}\left\{\bigcap_{j=1}^{\log n}\overline{A_{k_j}^j}\right\} = \mathbf{P}\left\{\bigcup_{j=1}^{\log n}A_{k_j}^j\right\} = 1 - \mathbf{P}\left\{\bigcup_{j=1}^{\log n}A_{k_j}^j\right\} > 1 - \left(\frac{1}{2}\right)^{2\sqrt{2}e^2} > 0.999999.$$

This says that for the random linear ordering  $\pi$ , with (very high) positive probability,  $A_{k_j}^j$  does not occur for all j,  $1 \le j \le \log n$ . Therefore, there exists a linear ordering  $\pi'$  of V such that  $A_{k_j}^j$  does not occur for all j. That is, in each pair of adjacent L-R sections in the j-level, there is no completely intersecting subgraph in  $\pi'_f$  with at least  $k_j$  edges. For each pair of adjacent L-R sections in level *j*, apply Lemma 3 to the subgraph of  $G_{\pi',f}$  consisting of *j*-level edges with endpoints in that pair of sections (using the canonical ordering  $\pi'_f$ ). By using the same set of pages for *j*-level edges, we obtain a degree-*f* book embedding of *G* with spine ordering  $\pi'_f$ , and with the number of pages at most

$$\sum_{j=1}^{\log n} k_j = 4e^2 \sqrt{mQ} \sum_{j=1}^{\log n} \sqrt{\frac{1}{2^j}} < \frac{4e^2 \sqrt{mQ}}{\sqrt{2} - 1} < 72\sqrt{mQ}. \qquad \Box$$

**Example 1.** Let G = (V, E) be a graph with average degree d = 2m/n. By Theorem 1, G has a book embedding with  $O(m/\sqrt{n})$  pages, such that every vertex  $v \in V$  has page degree at most  $\deg(v)/d$ .

**Corollary 1.** Let f be a constraint function of connected graph G = (V, E) with n vertices and m edges. There is a Las Vegas algorithm which will compute, with high probability, a degree-f book embedding of G with  $O(\sqrt{m Q_f(G)})$  pages in  $O(m \log^2 n \log \log m)$  time.

**Proof.** Consider the following Las Vegas algorithm to compute the book embedding whose existence is proved in Theorem 1. First, add  $2^{\lceil \log |V| \rceil} - |V|$  isolated vertices to *G*. Then repeat the following step at most log *n* times. Choose a random linear ordering  $\pi$  of *V*, and embed each set of *j*-level edges in its own set of pages (using Lemma 3 applied to  $G_{\pi,f}$  as described in the proof of Theorem 1). If the total number of pages is at most  $72\sqrt{m Q_f(G)}$  then halt, otherwise repeat.

The time taken for each iteration within each *j*-level is  $O(m \log \log(\sum_v f(v)))$ by Lemma 3. Since  $f(v) \leq \deg(v)$ ,  $\sum_v f(v) \in O(m)$ , and the time taken for each iteration is  $O(m \log n \log \log m)$ . At each iteration of the above algorithm, we say the algorithm *fails* if the randomly chosen linear ordering  $\pi$  does not admit a degree-*f* book embedding with at most  $72\sqrt{mQ_f(G)}$  pages. The probability of failure is at most  $2^{-2\sqrt{2}e^2}$ . The probability of failure every iteration is at most  $2^{-2\sqrt{2}e^2\log n} = n^{-2\sqrt{2}e^2} \to 0$  as  $n \to \infty$ . Thus, with probability tending to 1 as  $n \to \infty$ , the above algorithm will determine a degree-*f* book embedding of *G* with at most  $72\sqrt{mQ_f(G)}$  pages in  $O(m \log^2 n \log \log m)$  time.  $\Box$ 

Note that Theorem 1 with the constraint function  $f(v) = \deg(v)$  is the same result proved by Malitz [15], and the above proof is based on Malitz's idea of defining *j*-levels and applying Dilworth's Theorem to a partial ordering of the edges in each level. However, our proof differs in two respects. First, we do not assume that  $j \leq k$ , as is the case in [15, p. 76] (also see [14, p. 92]). Furthermore, we do not use a book embedding of the complete graph  $K_{\sqrt{n}}$  for levels  $j = \frac{1}{2}\log n + 1, \frac{1}{2}\log n + 2, \dots, \log n$ .

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