

Models of chaos

in dimensions

2 and 3.

A. Hammerlindl

Joint w/ C. Bonatti, A. Gogolev, R. Potrie

Consider a flow $\{\varphi^t\}_{t \in \mathbb{R}}$.

What is the long term behaviour?

Define the ω -limit set

$$\omega(x) = \lim_{T \rightarrow \infty} \overline{\{\varphi^t(x) : t > T\}}.$$

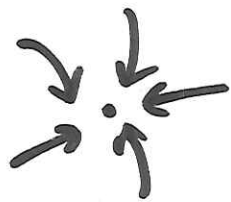
What are the possible ω -limit sets?

After perturbation, what are the possible ω -limit sets?

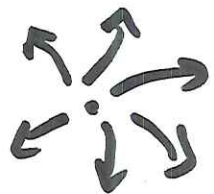
Thm [Peixoto 1962]

Let φ^t be a flow on a compact surface.

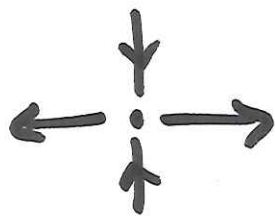
After a C^r -small perturbation, every omega-limit set $\omega(x)$ is of the form



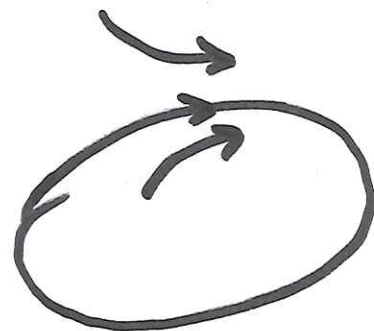
sink



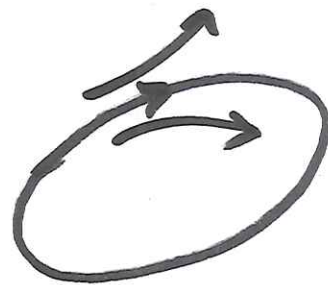
source



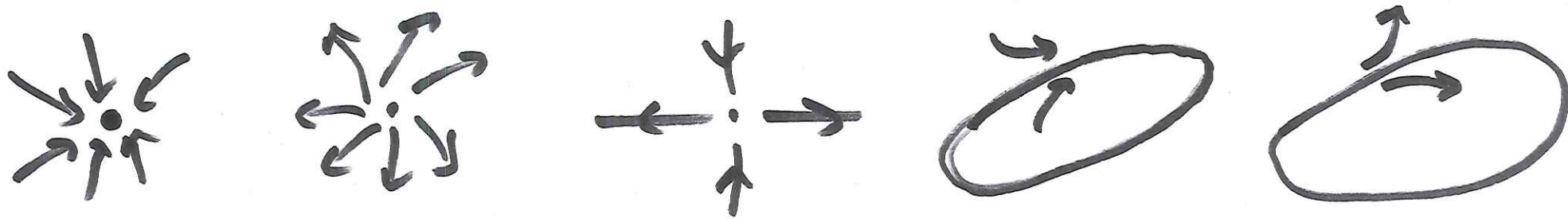
saddle



attracting /
periodic



repelling
orbit

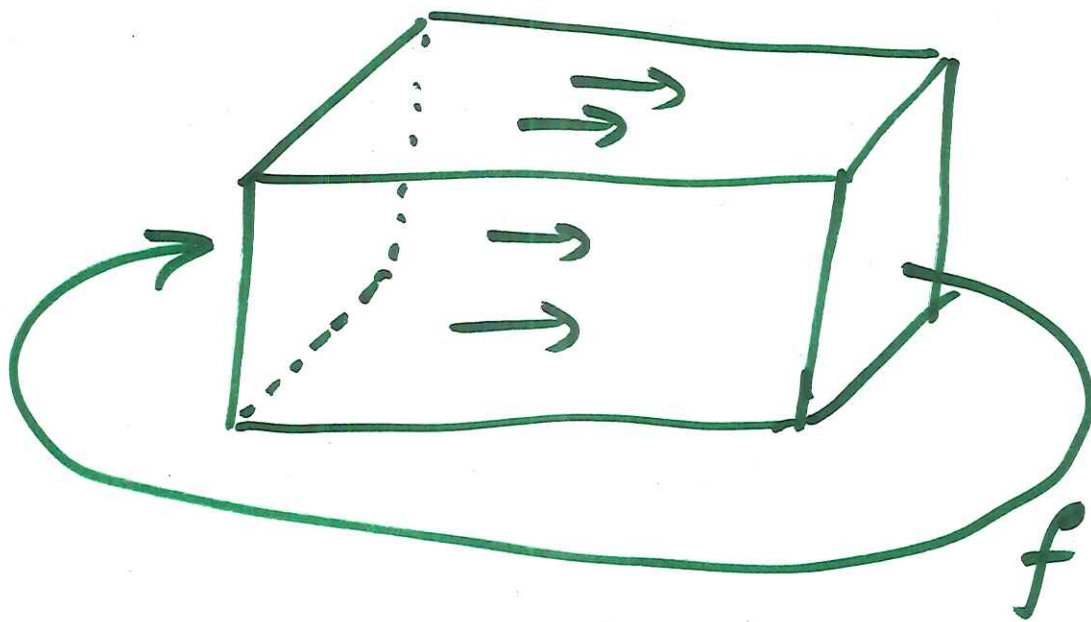


Note: all of these are uniformly hyperbolic.

What about flow in dimension 3?


First consider diffeomorphisms of surfaces.

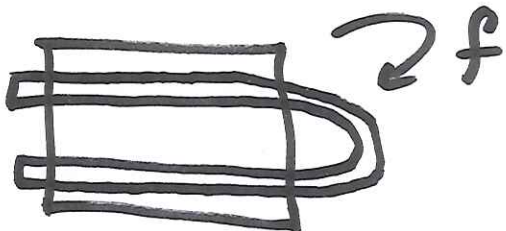
$$f: M \rightarrow M$$

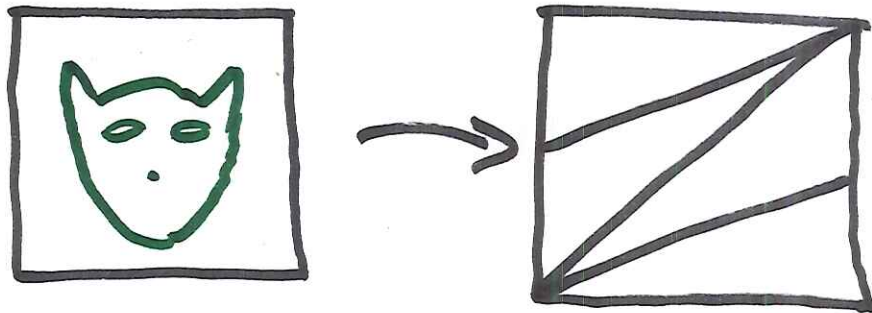


Hyperbolic sets in dim 2 for diffeos

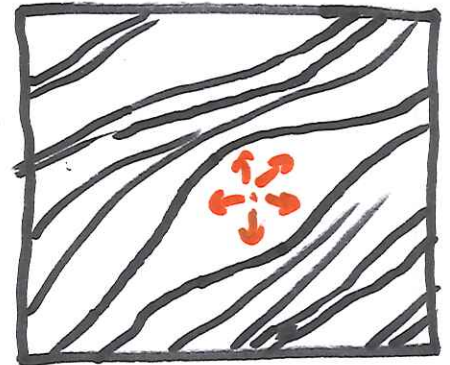
•
periodic
points


attracting / repelling
periodic circles


horseshoes



Anosov maps



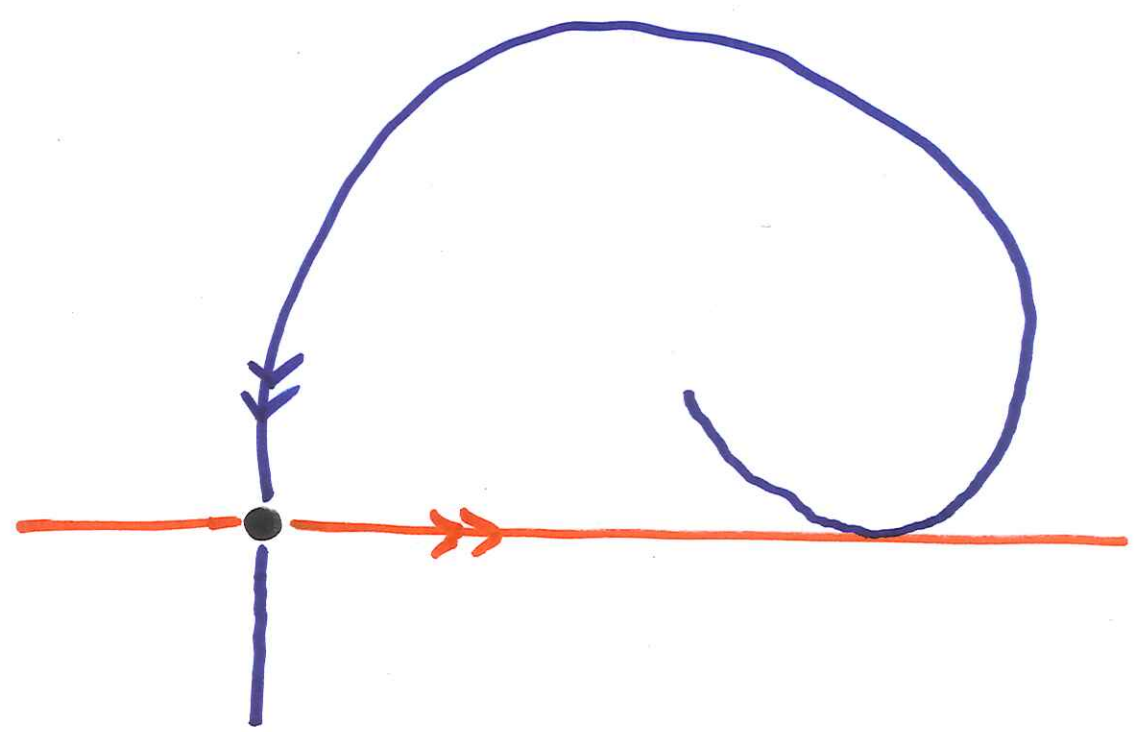
Derived-from
-Anosov
maps

For diffeos in dim 2

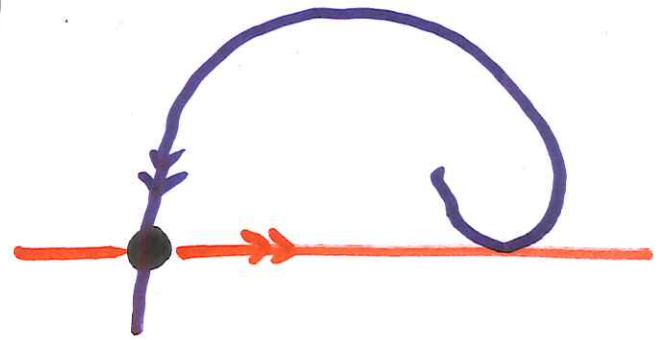
After perturbation, is

every $w(x)$ hyperbolic?

A possible
obstruction:
a homoclinic
tangency



Newhouse 1970: \exists a surface diffeo
 f s.t. every g C^2 -close to f
has a tangency.



Open question for surface diffeos in C^1 .

Say a system is "far from tangencies"
if \exists a C^1 -mbhd with no tangencies.

Thm [Pujals - Sambarino 2000]

"Far from tangencies"

a surface diffeo may be

C^1 -perturbed so that

for every x ,

$\omega(x)$ is hyperbolic.

Flows in dim 3.

Thm [S. Crovisier - D. Yang 2015]

"Far from tangencies" a flow φ^t in $\dim 3$
may be C^1 -perturbed so that

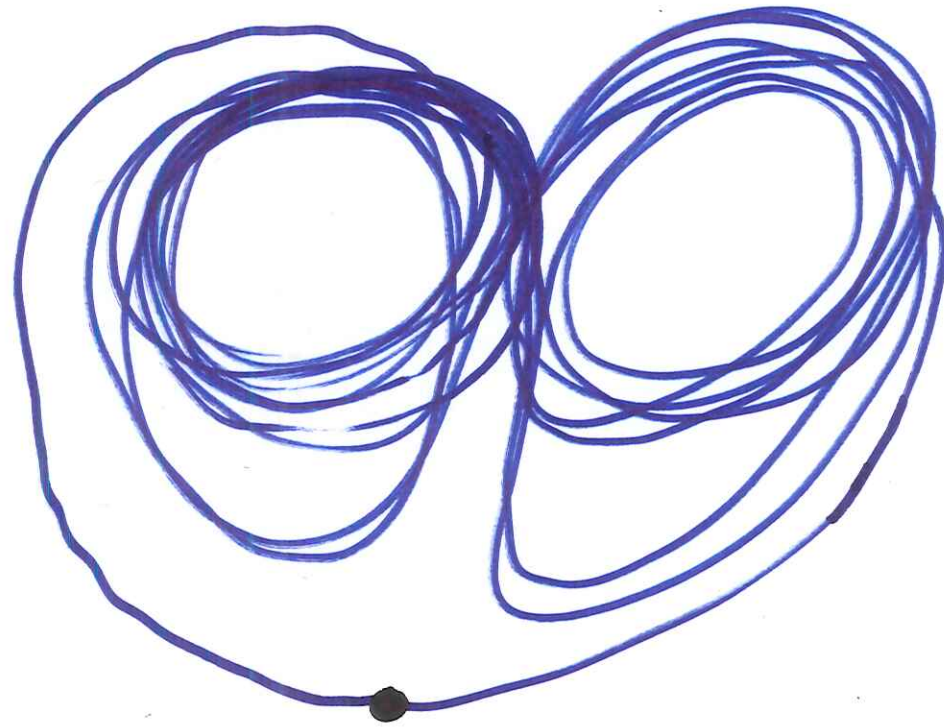
for every $x \in M$, ~~the~~ $\omega(x)$ is

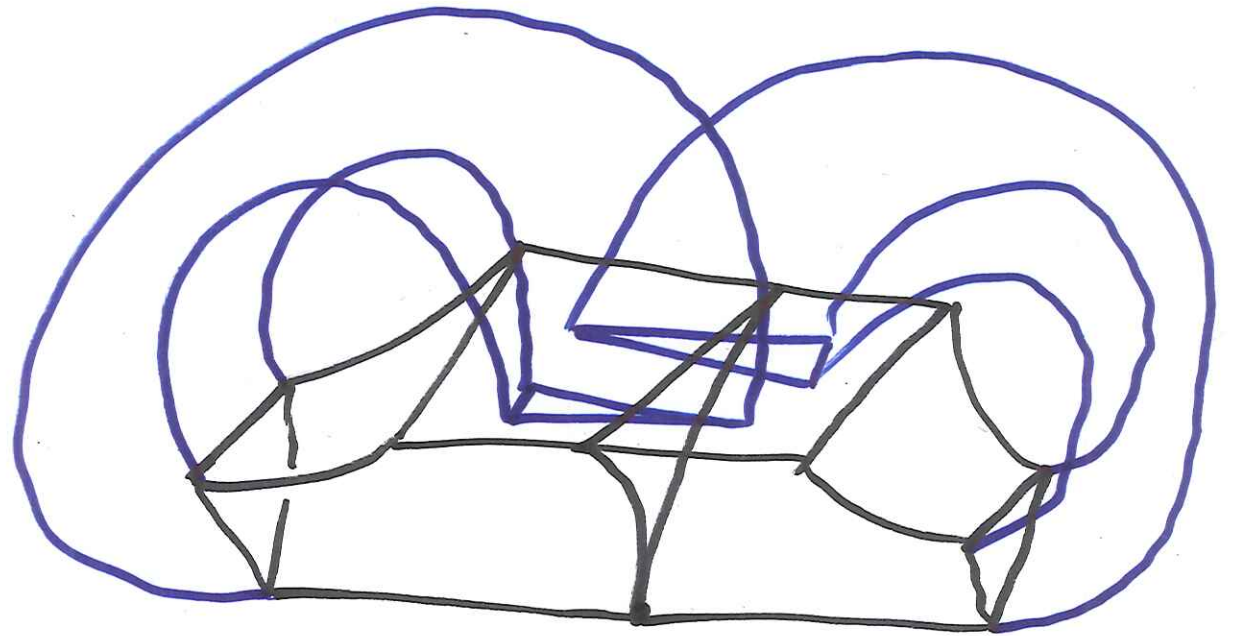
either hyperbolic or

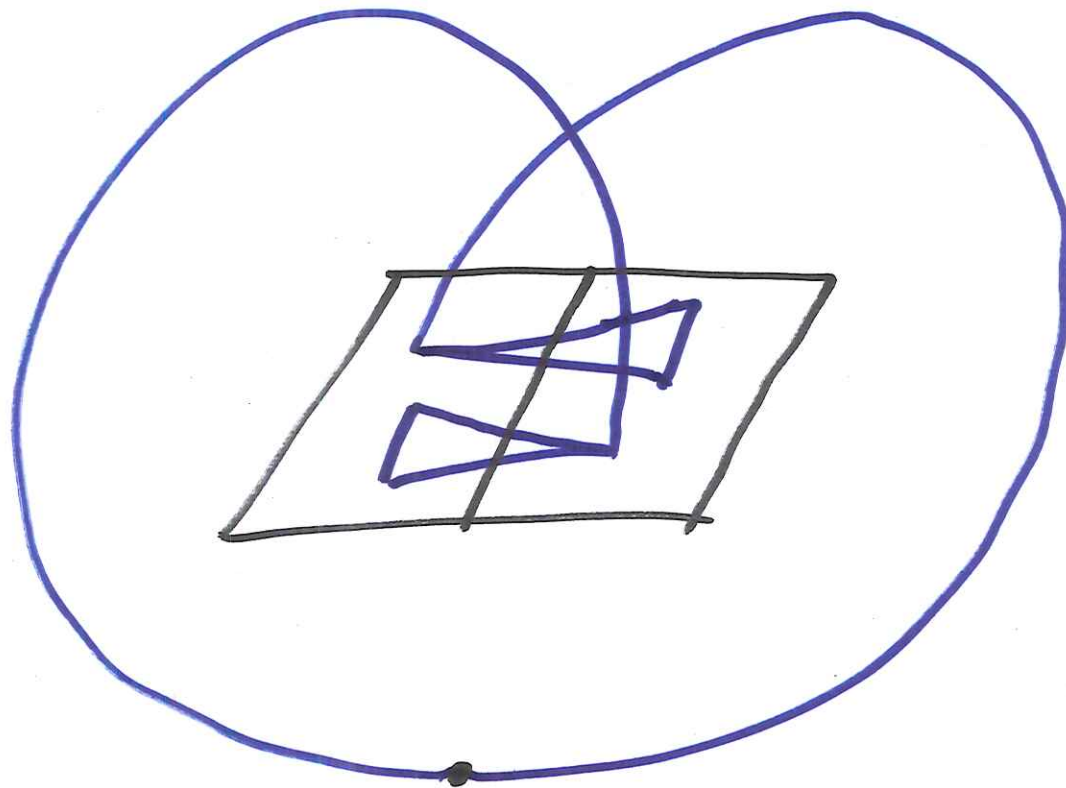
singular hyperbolic.

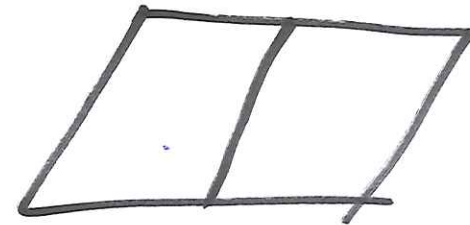
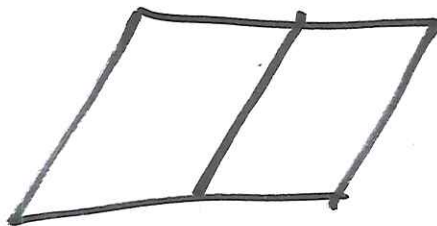
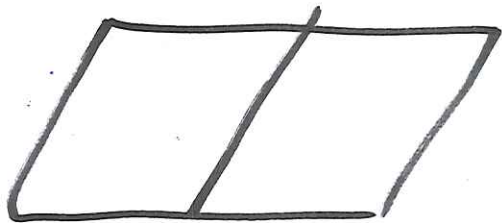
For flows in $\dim 3$,
hyperbolic sets are well-
understood.

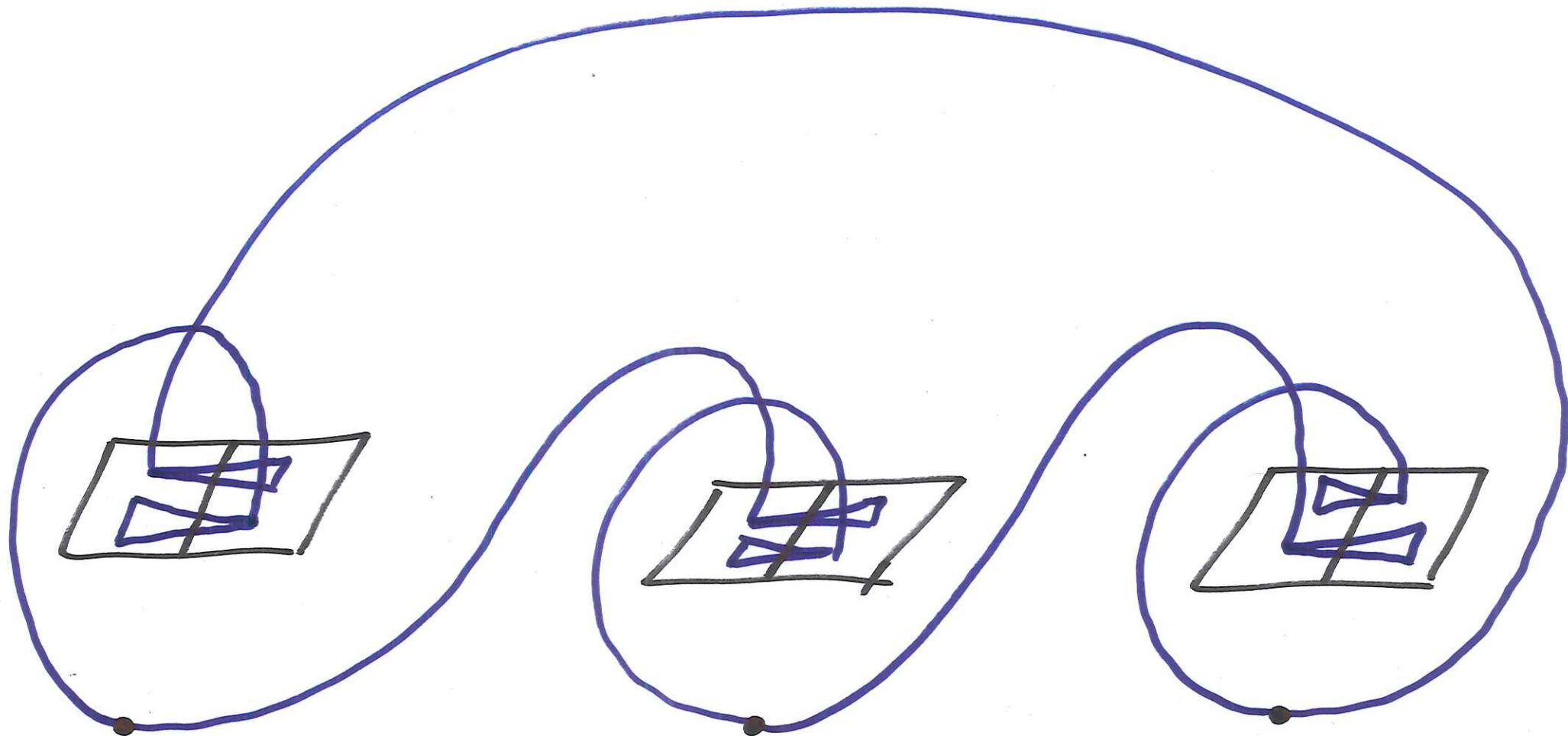
Still some questions about
transitive Anosov flows...







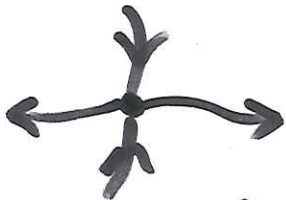




Thm [Araújo - Galatolo - Pacifico 2012]

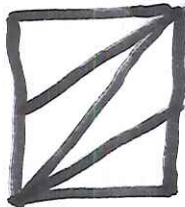
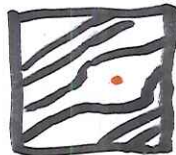
A singular hyperbolic set
is a finite number
of Lorenz attractors
glued together.

Flows in dim 2

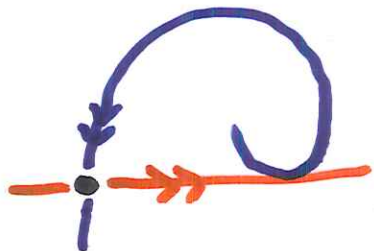


Diffeos in dim 2

hyperbolic sets:



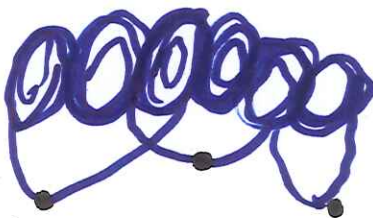
tangencies?



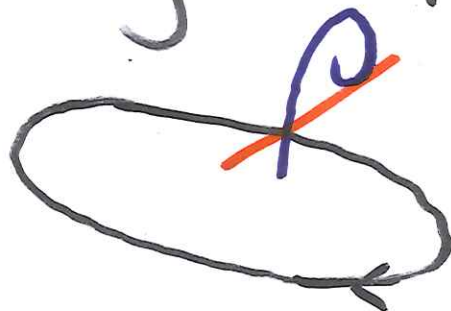
Flows in dim 3

hyperbolic sets

singular hyperbolic sets:



tangencies?



Diffeos in dim 3

TANGENCIES!

OLD EXAMPLES

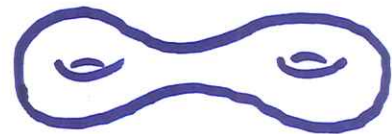
NEW EXAMPLES

WHAT ELSE?

Surface diffeo $\sigma : S \rightarrow S$ (genus ≥ 2)

Derivative $D\sigma : TS \rightarrow TS$

Normalize $D\sigma : T'S \rightarrow T'S$ ← unit tangent bundle



Thm [Bonatti - Gogolev - H - Petric]

For any surface diffeo $\sigma : S \rightarrow S$ there is a

C^1 -robustly transitive diffeo $g : T'S \rightarrow T'S$ (exists s.t. $\omega(x) = T'S$)

$g : T'S \rightarrow T'S$ isotopic to $D\sigma$.

Thank

You

In dim 2

C^1 -RT

Mañé
 \Leftrightarrow

Hyperbolic

In dim 3

C^1 -RT

\Rightarrow

weak Part. Hyp.

\Uparrow

strong Part. Hyp. + Blenders

hyperbolic: $\Lambda \mathcal{G}^f$

$$T_x M = E^u \oplus E^s$$

\uparrow \uparrow
 Df Df

$$\|Df v^u\| < 1 < \|Df v^s\|$$

for unit vectors $v^* \in E^*$

singular hyperbolic: $\Lambda \mathcal{G}_t^p$

$$T_x M = E^{ss} \oplus E^{cu}$$

$$\|D\varphi_t|_{E^{ss}}\| < e^{-\lambda t}, \quad \|D\varphi_t|_{E^{cu}}\| < e^{-\lambda t}$$

partially hyperbolic: $\Lambda \mathcal{G}^f$

$$T_x M = E^u \oplus E^c \oplus E^s$$

$$1 > \|Df v^s\| < \|Df v^c\| < \|Df v^u\| > 1$$

(weak p.h. if one of $E^u = 0$ or $E^s = 0$)